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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA, OAKLAND DIVISION

MARCIANO PLATA, et al.,

Plaintiffs,

v.

GAVIN NEWSOM, et al.,

Defendants.

CASE NO. 01-1351 JST

**JOINT CASE MANAGEMENT
CONFERENCE STATEMENT**

Date: July 28, 2020

Time: 10:00 a.m.

Crtrm.: 6, 2nd Floor

Judge: Hon. Jon S. Tigar

1 The parties submit the following joint statement in advance of the July 28, 2020 Case
2 Management Conference.

3 **I. POPULATION REDUCTION**

4 **A. Status**

5 *Plaintiffs' Position:* Substantially less crowded prisons are necessary to reduce
6 sickness and death from COVID-19, and reduction of population is one way to do that.
7 Preliminarily, the reduction in CDCR's population since March is to a large degree a result
8 of the closure of county jail intake, which we understand typically results in two to three
9 thousand additional people arriving to CDCR prisons each month. Any re-opening of
10 intake (see discussion below) will directly impact the number of incarcerated people and
11 thus crowding in CDCR.

12 The State's early release initiatives are a necessary step to reduce sickness and
13 death from COVID-19, including by reducing crowding in the prisons. However, as
14 Plaintiffs previously described, it is not clear how many releases will be expedited via the
15 three programs announced July 10th, or the degree to which such releases will impact
16 crowding in the prisons.

17 CDCR last week said that a recent point-in-time calculation showed that, applying
18 all exclusionary factors in the July 10th programs, 4,800 were eligible for release under the
19 180 days or less to serve program, 700 under the 365-days or less to serve program, and
20 6,500 were eligible under the high risk for sickness or death from COVID-19 program.
21 Even in the unlikely event that all eligible are released, this would result in a reduction of
22 approximately 340 people per prison; on average, each prison incarcerates almost 2,900
23 people. This is not a substantial reduction, especially given that public health experts
24 recently recommended that San Quentin's population be halved to reduce the risk from
25 COVID-19, the Receiver recommended setting aside 20% of the beds in each prison for
26 quarantine and isolation purposes, and the medically vulnerable need to be offered moves
27 from dorms to cells.

1 Plaintiffs have asked the State and Receiver's office to make changes to increase
2 the number of people eligible for release under the July 10th programs. First, we have
3 asked the State to expand the number of prisons in which people are eligible for early
4 release consideration under the 365-days or less to serve program. That program is
5 currently limited to nine specified prisons, based on the size of the population of medical
6 high-risk people and the physical plant layout. We have pointed out that other prisons
7 have very similar percentages of high-risk patients and physical plant layout.

8 Second, we have asked CCHCS to update its list of COVID-19 risk factors, which
9 currently do not include additional or revised risk factors announced in June and July by
10 the Centers for Disease Control and Prevention ("CDC"). As we understand it, the
11 CCHCS COVID-19 risk factors are used to determine who is at high risk for sickness or
12 death from COVID-19 and thus eligible to be considered for release under the July 10th
13 program. Using the current CDC-listed risk factors should increase the number of
14 medically vulnerable people who can be released. This is important because in addition to
15 reducing crowding, harm from COVID-19 can be lessened by removing from prison those
16 who are medically vulnerable to sickness and death from the disease.

17 *Defendants' Position:* From July 1 through July 26, 2020, a total of 2,345
18 incarcerated persons have been released from custody early as a result of the COVID-19
19 early release programs initiated by Defendants. An additional 1,869 incarcerated persons
20 were released during this same time period in accordance with their natural release date.
21 Thus, a total of 4,214 incarcerated persons were released from CDCR institutions and
22 camps from July 1 through July 26, 2020.

23 **B. Population reduction reports and parties' meet and confer efforts**
24 **regarding same**

25 The parties have met and conferred twice, as well as exchanged substantive emails,
26 regarding the data to be provided to Plaintiffs regarding the number of people released
27 pursuant to the July 10th programs and the impact of those releases on population and
28

crowding in the prisons. Plaintiffs streamlined their request for information. CDCR is working to develop a report that will show, among other things, the number of people released at each prison and statewide under programs announced on July 10th for some with (1) 180 days or less to serve, (2) 365 days or less to serve, or (3) deemed to be at high risk for complications if infected with COVID-19. CDCR expects to share this report on Friday, July 31. CDCR provided a report on population by facility on July 24, and agreed to provide it on a monthly basis. Also on July 24, Plaintiffs requested a bed audit dated July 22 or July 24 to be close in time to the population reports sent on July 24, and that a bed audit be provided monthly. The parties will report further on this matter at the next Case Management Conference.

II. INTAKE

On July 23, CDCR announced it would extend the suspension of county jail intake until August 9, 2020.

Plaintiffs' Position: Plaintiffs continue to believe the prisons should remain closed to intake until CDCR can safely transfer people between prisons, has set aside sufficient space for quarantine and isolation at each prison, and can safely house all patients at risk of severe complications or death from COVID-19.

Defendants' Position: CDCR suspended county intake from March 24, 2020 to May 25, 2020. Beginning the week of May 25, CDCR resumed limited intake of only 50 incarcerated persons per week from only four counties. On June 29, in cooperation with the Receiver, CDCR closed all intake from the counties and that closure will be continued until at least August 9. CDCR will continue to work with its healthcare and county partners to develop safe practices before resuming intake.

III. SETTING ASIDE SPACE FOR QUARANTINE AND ISOLATION AND COURT ORDER REGARDING SAME (ECF No. 3401)

On July 22, the Court issued an order directing Defendants to set aside space for quarantine and isolation purposes at each prison. *See* ECF No. 3401.

1 *Plaintiffs' Position:* As directed by the Court, on July 25 Defendants provided
2 Plaintiffs a list of which housing units would be set aside for quarantine and isolation
3 purposes at 31 of the 35 state prisons. On July 27, Defendants provided a revised list for
4 these 31 prisons. We are reviewing the information provided. We understand that the
5 Receiver's office is in the process of setting up a meeting with the parties' public health
6 experts regarding these plans, and that Plaintiffs' expert, Dr. Adam Luring, will
7 participate in this discussion.

8 *Defendants' Position:* On July 22, 2020, this Court issued its Order to Set Aside
9 Isolation and Quarantine Space. Dock. No. 3401. That order requires CDCR to "disclose
10 to Plaintiffs and the Receiver which housing unit of at least 100 beds will be vacated at 30
11 or more institutions, and what space will be reserved at the remaining institutions to allow
12 a minimum of 100 beds for use in the event of an outbreak at those institutions." *Id.* at
13 3:23-26. The order also requires CDCR to "make such disclosures for as many institutions
14 as practicable within three days of this order." *Id.* at 3:26-27.

15 Even prior to the issuance of the July 22 Order, CDCR and its partners at CCHCS
16 began evaluating space at the prisons and identifying possible housing units and/or
17 alternative space for quarantine and isolation purposes. And, on Saturday July 25, as
18 required by the Court's order, CDCR informed Plaintiffs and the Receiver that it had
19 identified housing units of at least 100 beds that would be vacated and reserved for
20 quarantine and isolation space at 31 institutions. On July 27, CDCR circulated a corrected
21 version of the document that was provided on July 25. (*See* Emails and attachments from
22 Paul Mello dated July 25 and July 27, which are attached as **Exh. A.**) CDCR will also
23 comply with the Court's requirement that it issue disclosures for the remaining institutions
24 by August 5, and will vacate or reserve space at each institution with fourteen days of the
25 applicable disclosure. Dock. No. 3401 at 3:28-4:5.

26 CDCR looks forward to working with CCHCS, Plaintiffs' counsel, and the various
27 public health experts as they work towards complying with the other provisions of the
28

1 Court's July 22 Order. Defendants' expert Dr. Spaulding intends to participate in the
 2 above referenced meeting with the Receiver and the parties' respective experts as well.

3 **IV. TESTING AND TRANSFER PROTOCOLS**

4 CCHCS recently provided a detailed, eight-page "COVID movement matrix"
 5 setting forth new testing, quarantine, and isolation protocols applicable to eighteen
 6 different types of transfers. The matrix also includes limits on transfers, and mandates
 7 related to the housing of patients in quarantine or on medical isolation, designed to reduce
 8 the risk of COVID-19 infection. CCHCS asked the parties to provide comments by July
 9 30.

10 **V. SAFELY HOUSING MEDICALLY VULNERABLE PEOPLE**

11 *Plaintiffs' Position:* Thousands of people at risk of severe complications or death if
 12 infected with COVID-19 remain housed in crowded dormitories in CDCR. Previously, the
 13 parties reported that a program to offer medically vulnerable people moves from dorms to
 14 cells was on hold while CCHCS and CDCR identified and vacated appropriate space at
 15 each prison for quarantine and isolation. We understand the Receiver has since directed
 16 his staff to prioritize implementation of this Court's recent order on quarantine and
 17 isolation, but to also prioritize moving high-risk COVID-19 patients out of dorms, as a
 18 secondary goal. Plaintiffs continue to believe CDCR must have sufficient space to both
 19 safely house medically vulnerable people and maintain dedicated quarantine and isolation
 20 units for use in the event of an outbreak. We hope CDCR will, in cooperation with the
 21 Receiver's office, quickly identify and vacate all necessary quarantine and isolation space
 22 at each prison, and that the program to offer moves from dorms to cells can be
 23 implemented as expeditiously as possible.

24 *Defendants' Position:* Defendants remain committed to working with the Receiver
 25 to facilitate moves of medically high-risk patients from dorms to cells or any other moves
 26 to safely house medically high-risk patients if such moves have been recommended and
 27 approved by the appropriate public health and corrections experts.
 28

1 **VI. COVID-19 TESTING**

2 **A. Staff Testing**

3 During the last Case Management Conference, the Court ordered the parties to meet
 4 and confer regarding CDCR's plan to test staff for COVID-19. The Court directed
 5 Plaintiffs to file a motion by July 24 if the parties' disputes had not been resolved through
 6 the meet-and-confer process. *See* Civil Minutes (July 16, 2020), ECF No. 3393 at 2. On
 7 July 23, the parties engaged in a meet and confer call to discuss Plaintiffs' feedback and
 8 various questions about CDCR's staff testing plan. Dr. J. Watt, Chief of the California
 9 Department of Public Health's Division of Communicable Disease Control, participated in
 10 the call and answered Plaintiffs' questions from a public health perspective. After the call,
 11 the parties exchanged emails about whether their respective positions as to certain portions
 12 of the staff testing plans have changed as a result of the meet and confer call. The parties
 13 were able to reach a consensus on some of the points that Plaintiffs raised and, on July 24,
 14 Plaintiffs filed a motion for an order modifying CDCR's COVID-19 staff testing plan on
 15 the remaining points of contention.

16 *Plaintiffs' Position:* As described above, Plaintiffs filed a motion on July 24,
 17 seeking an order from the Court directing CDCR to modify its COVID-19 staff testing
 18 plan. *See* ECF No. 3402. On July 26, Defendants provided for the first time data showing
 19 the percentage of prison staff that has received baseline testing, which Defendants discuss
 20 below. According to this data, a statewide average of 90% has received baseline testing.
 21 Sixteen prisons have averages below that, including a dozen in which approximately 20%
 22 or more of staff were not tested. *See* Exhibit B attached hereto. This data is concerning, as
 23 it suggests that hundreds of staff, any one of whom could cause an outbreak in a prison,
 24 have not been tested.

25 Defendants assert this could be due to staff being out on long term sick leave, pre-
 26 approved time off, or family and medical leave, as well as a delay in receiving test results.
 27 But, there is no way to determine whether and to what extent that is true from the data
 28

1 reported. CDCR must reliably track and report whether each staff member actually
 2 coming to work has received baseline testing and, if applicable, timely re-testing. CDCR
 3 also must report the number of staff who are not available due to the reasons they state.

4 Defendants report that CDCR has implemented or is considering measures to
 5 increase participation, including expanding the dates/times when testing is conducted.
 6 Plaintiffs also understand that on July 13, CDCR and CCHCS issued a memo stating that
 7 “[s]hould an employee refuse to test they will face progressive discipline up to and
 8 including termination.” Plaintiffs are reviewing the data produced on July 26 and
 9 considering whether and what additional measures might be necessary to protect
 10 incarcerated people when staff refuse testing.

11 *Defendants’ Position:* Defendants intend to continue to meet and confer with
 12 Plaintiffs regarding their remaining concerns and will respond to Plaintiffs’ motion
 13 accordingly.

14 With respect to the status of CDCR’s baseline testing, CDCR completed the first
 15 round of baseline testing at all institutions on July 17, 2020. Per the Court’s request,
 16 attached as **Exhibit B** is an updated table that contains information about CDCR’s baseline
 17 staff testing, including the percent¹ of staff members who have been tested at each
 18 institution during baseline testing.

19 As shown in Exhibit B, as of July 22, 2020, there were six institutions (*i.e.*,
 20 California Correctional Center, California Correctional Institution, California State Prison

21
 22 ¹ As Defendants explained in the last case management conference statement (ECF No.
 23 3389) and the footnotes in Exhibits B and C, there are various factors that might skew the
 24 accuracy of the percentage of staff that has been tested. Nevertheless, CDCR has
 25 exercised its utmost due diligence to provide the most accurate percentages that were
 26 reasonably possible. Also, the tables in Exhibits B and C only represent a snapshot in time
 27 on July 22. Completed tests are not counted until the results are received, and new results
 28 are provided by the labs every day. Further, the total number of individuals tested also
 include contractors and non-staff, such as registry providers. Therefore, in some instances,
 the percentage of tested employees may exceed 100%. Lastly, the format of the tables in
 Exhibits A and B are only temporary. The format of future information about staff testing
 may differ from the format used in Exhibits B and C.

1 - Centinela, Chuckawalla Valley State Prison, High Desert State Prison, and Ironwood
2 State Prison) at which baseline testing was only completed for 72% of the staff or less.
3 CDCR's Director of the Division of Administrative Services reached out to these six
4 institutions to evaluate what circumstances might have led to the lower percentages and
5 learned that baseline testing at these six institutions was performed shortly before the July
6 4 holiday weekend, *i.e.*, on two days sometime between July 1 and July 3. Therefore, it is
7 likely that a large number of staff were on vacation for the holiday weekend and therefore
8 missed the baseline testing. In addition, the lower percentages could have also been
9 attributable to the facts that some institutions have experienced a recent increase in
10 retirements, and a large number of staff members have been out on long term sick leave,
11 pre-approved time off, or family and medical leave. However, as explained below, CDCR
12 is taking steps to ensure that all staff are in compliance.

13 The first round of serial testing commenced on or around July 15, 2020. As shown
14 in the table attached hereto as **Exhibit C**, the percentage of staff who completed serial
15 testing at five of the six institutions increased by 5-10 percent over the baseline testing
16 numbers at those institutions. Unfortunately, the data that is currently available to CDCR
17 does not enable to CDCR to readily determine whether staff members who were not tested
18 during baseline testing have now been tested during the first round of serial testing. A
19 manual comparison of staff names would have to be conducted in order to determine this
20 information. CDCR is therefore working on a technical solution that will enable CDCR to
21 identify which individuals have been tested. Once that is accomplished, the institutions
22 will reach out to the respective employees to remind them to get tested.

23 Lastly, CDCR is monitoring staff testing compliance percentages and has
24 implemented (and is continuously evaluating) measures to increase participation. The
25 additional measures that have been implemented or are being evaluated include, but are not
26 limited to, performing the current and upcoming serial tests on three days at each facility
27 (instead of only two days), performing the tests Monday through Friday (rather than over
28

1 the weekend), increasing the hours the vendor is onsite to perform the tests, assigning a
 2 staff member to call all on-duty staff to ensure they have completed testing, and displaying
 3 posters at the front gate and all entrances to remind staff of the testing dates and times.
 4 Also, on July 13, CDCR and CCHCS issued a joint memorandum that states that “[s]hould
 5 an employee refuse to test they will face progressive discipline up to and including
 6 termination. However, if a staff person has a medical condition or any other reason that
 7 precludes them from COVID-19 testing, they should contact their Return to Work
 8 Coordinator.”

9 **B. Testing Incarcerated People**

10 *Plaintiffs’ Position:* The reported number of patients tested (currently
 11 approximately 65,000 statewide) continues to increase, which is laudable. Re-tests of
 12 patients, however, are not currently reported, according to what CCHCS’s Statewide
 13 Director of Healthcare Operations stated in late June. The number is important to
 14 determine if sufficient test supplies are or will be available, and to monitor, along with
 15 other data, whether directives to, for example, test all previously negative-for-COVID
 16 patients weekly at San Quentin, or serially re-test all such patients at CIM, actually are
 17 done. We continue to discuss these reporting and monitoring imperatives with CCHCS,
 18 which we believe is considering them.

19 **VII. UNIFIED COMMAND POSTS**

20 *Plaintiffs’ Position:* Unified Commands, which among other things provide skills
 21 and resources from the Office of Emergency Services, should be used whenever necessary
 22 to adequately respond to a COVID-19 outbreak in a prison. A Unified Command was
 23 necessary at San Quentin. It appears the same should have occurred during the outbreaks
 24 at CIM, and perhaps ASP and CVSP as well.

25 *Defendants’ Position:* At the July 16, 2020 case management conference, the Court
 26 asked Defendants to explain the trigger for activating unified commands and to describe
 27 plans to set up unified commands and activate assistance from other State agencies at each
 28

1 institution. (Case Mgmt. Conf. Tr. at 33, July 18, 2020.)

2 **Background Information and Triggers.²**

3 The standard basic structure for emergency management system, used at both
4 federal and local levels,³ takes the form of Incident Command Systems (ICSs) at the field
5 level.⁴ An ICS is a nationally-used, standardized, on-scene emergency management
6 structure for running an emergency response in a particular place, and which is staffed and
7 resourced according to the needs and complexity of the emergency.⁵ ICSs can be activated
8 in response to unplanned incidents like air crashes or explosions, planned events like large
9 sporting events or marches, and disasters like earthquakes or wildfires.⁶ ICSs are designed
10 to provide a comprehensive response to emergencies; accordingly, their functions include
11 management, operations, planning and intelligence, logistics, and finance and
12 administration.⁷ ICSs must establish communication with the local government, with the
13 understanding that each jurisdiction has different resources available.⁸ Often, ICS field
14 response units are linked primarily to the Department Operations Center (DOC) with
15 jurisdictional responsibility for the particular emergency.⁹ One or more incident

16
17 ² In response to comments made by the Receiver during the last case management
18 conference, Defendants requested information from the Receiver's office pertaining to
19 regulations governing the establishment of Unified Commands. That information was not
20 available as of the date of this filing.

21 ³ See, e.g., *An Introduction to the Incident Command System, ICS 100* (2018), available at
22 https://training.fema.gov/emiweb/is/is100c/student%20manual/is0100c_sm.pdf and *Law*
23 *Enforcement Guide for Emergency Operations* (2019), available at
24 [https://www.caloes.ca.gov/LawEnforcementSite/Documents/Red%20Book%20ADA%20](https://www.caloes.ca.gov/LawEnforcementSite/Documents/Red%20Book%20ADA%20Compliant.pdf)
25 [Compliant.pdf](https://www.caloes.ca.gov/LawEnforcementSite/Documents/Red%20Book%20ADA%20Compliant.pdf).

26 ⁴ *Law Enforcement Guide for Emergency Operations* (2019) at 9, available at
27 [https://www.caloes.ca.gov/LawEnforcementSite/Documents/Red%20Book%20ADA%20](https://www.caloes.ca.gov/LawEnforcementSite/Documents/Red%20Book%20ADA%20Compliant.pdf)
28 [Compliant.pdf](https://www.caloes.ca.gov/LawEnforcementSite/Documents/Red%20Book%20ADA%20Compliant.pdf).

⁵ *Id.* at 124.

⁶ *Id.* at 17.

⁷ *Id.* at 12.

⁸ *Id.* at 10.

⁹ *Id.* at 10.

1 commanders manage the ICS and are tasked with, among other duties, setting up an
 2 Incident Command Post (ICP)—the field location at which the primary incident command
 3 function is performed, and which serves as the central point of collaboration,
 4 communication, and receipt and dissemination of information.¹⁰ And, as noted below,
 5 ICPs at each institution have been instructed to reach out the local public health
 6 department to establish communication. Because of the flexibility ICSs allow, emergency
 7 responses can be built to fit a specific incident utilizing the various organizational
 8 components as needed, within the general framework of the ICS.¹¹

9 Incidents requiring action from multiple agencies with concurrent jurisdiction are
 10 managed under a Unified Command.¹² Typically, two or more incident commanders
 11 manage a Unified Command. For example, three incident commanders manage San
 12 Quentin’s Unified Command—one each from the Governor’s Office of Emergency
 13 Services (Cal OES), CCHCS, and CDCR.

14 Cal OES recommends that Unified Commands be established to respond to aircraft
 15 crashes in particular, and allows jurisdictions to determine whether a Unified Command is
 16 required to respond to threatened acts of terrorism, bomb threats, a weapons of mass
 17 destruction attack, post-blast event, or a hazardous materials release.¹³ Separate from the
 18 ICS, public health officials at the state and local levels are authorized to impose quarantine
 19 and isolation to assist in the control of communicable disease.¹⁴ Some factors to consider
 20 when establishing a Unified Command include the particular jurisdiction’s policy,
 21 objectives of a Unified Command, entities represented in the Unified Command, needed
 22 and available resources, and the operational plan.¹⁵

23
 24 ¹⁰ *Id.* at 26, 124.

25 ¹¹ *Id.* at 18.

26 ¹² *Id.* at 131.

27 ¹³ *Id.* at 91, 92, 96.

28 ¹⁴ *Id.* at 102.

¹⁵ *Incident Command Systems 300 – Lesson 4: Unified Command,*

1 **CDCR's Efforts.**

2 CDCR activated its Department Operations Center (DOC) on March 15, 2020 in
3 response to the COVID-19 pandemic and tasked it with, among other duties, overseeing
4 ICPs at individual institutions.¹⁶ On July 2, 2020, CDCR issued a directive to all CDCR
5 institutions requiring the activation of ICPs. As reported in the July 16, 2020 case
6 management conference statement, all CDCR institutions have active ICPs as of July 7,
7 2020. (ECF no. 3389 at 23.) On July 12, 2020, each ICP was directed to establish
8 communication with their local public health departments in the event activation of a
9 Unified Command is necessary.

10 Because of the flexibility an ICS allows, each institution may determine its needs
11 based on its unique circumstances, including whether there is an outbreak, and if so, the
12 magnitude. For example, if an institution has few positive cases, it likely does not require
13 Cal OES, CDPH or OSHA onsite to effectively implement its response, particularly at a
14 time when the resources of those other agencies are in such high demand. Even larger
15 scale outbreaks can be contained without the initiation of a Unified Command. For
16 instance, LAC, CMC, and Corcoran were each able to address the potential threat of a
17 COVID-19 outbreak without initiating Unified Commands.

18 The Secretary and the Receiver participate in the daily statewide Unified
19 Coordination Group (UCG) telephone call. This is a multi-agency coordination that works
20 to establish priorities, allocate resources, resolve policy issues, and provide strategic
21 guidance. CDCR continues to consider all available resources in determining how best to
22 respond to a potential outbreak and will consider the need for Unified Commands on an ad

23 _____
24 <https://www.usda.gov/sites/default/files/documents/ICS300Lesson04.pdf> (last visited July
25 24, 2020.)

26 ¹⁶ *Department Operations Center Activation*,
27 <https://www.cdcr.ca.gov/covid19/departement-operation-center-activation/#:~:text=Department%20Operations%20Center%20Activation%20Updated%203%2F16%2F2020%20At%201,prepared%20to%20go%20into%20full%20operation%20as%20needed>. (updated March 16, 2020.)
28

1 hoc basis.

2 VIII. PRISON-SPECIFIC UPDATES

3 A. San Quentin (SQ)

4 *Plaintiffs' Position:* Thankfully, the number of newly identified infections has
5 dropped at the prison, although that in part might be because approximately 60% of the
6 people incarcerated there when the outbreak began have already tested positive.¹⁷ Still, as
7 of July 27, 19 people from San Quentin had died from COVID-19 related conditions, the
8 same number as have died at the California Institution for Men. As of July 23, 45 San
9 Quentin patients were hospitalized, including 14 in Intensive Care Units, and
10 approximately 40, with some on supplemental oxygen, were housed in the "high risk"
11 section of the temporary Alternate Care Site at the prison.

12 While the prison remains on a modified program, referred to more colloquially as a
13 lockdown, late last week outdoor exercise – denied to all for a month or more – began to
14 be offered to some, according to the July 24 CDCR daily COVID-19 update; the apparent
15 intention is to make it available to others but not all in the near future. The lack of outdoor
16 exercise for all was extraordinary, as CCHCS guidelines call for such opportunities even
17 for those on medical isolation. Counsel for the *Coleman* plaintiff class had raised concern
18 about the denial of outdoor exercise, which adversely impacted many patients' mental
19 health. We understand that on July 20, CCHCS's Director of Health Care Services
20 provided clear direction that outdoor exercise be provided even while virus control efforts
21 are being undertaken.

22 Also, according to a CDCR daily COVID update, a prison-wide, one-time, four-day
23 deep cleaning was begun at San Quentin last week, performed by a contractor. It

24
25 ¹⁷ When San Quentin's index patient was tested on May 31, 3,626 were housed at
26 prison; as of July 26, 2,150 patients have tested positive. The percentage of infected
27 incarcerated people rises to 67% if the approximately 425 housed in H-Unit, a separate
28 facility located outside the main walls of the prison, and which apparently has had only
two positive cases, are excluded from the population total.

1 reportedly involved disinfection of all hard surfaces, electrostatic spraying of unoccupied
 2 cells, and removal and proper disposal of soiled linens and bio-hazard material. San
 3 Quentin's Warden also reported last week that N95 masks continued to be provided to
 4 incarcerated people, including when showers are offered every three days. These and
 5 other COVID-19 precautions undertaken at San Quentin should be done at other prisons,
 6 and we intend to discuss this with CCHCS and CDCR.¹⁸

7 *Defendants' Position:* In response to the outbreak at San Quentin, 33 percent of the
 8 incarcerated person population has been tested in the last two weeks, amounting to 1,153
 9 patients as of July 27, 2020. That equates to testing 899.9 patients per 1,000 people at San
 10 Quentin; by comparison, California's statewide cumulative testing rate is 178.4 per 1,000
 11 people. The number of COVID-19 active patients has trended down since July 7, 2020
 12 and, as of July 27, 2020, there were 1,551 resolved patients and 537 active patients in
 13 custody. Of those, 90 patients were newly diagnosed within the last two weeks.

14 **B. California Healthcare Facility (CHCF)**

15 *Plaintiffs' Position:* There have been no other COVID-19 confirmed patients at the
 16 prison beyond the three discussed in the last Case Management Conference Statement. We
 17 continue to hope that the medical isolation of these COVID-positive patients, the
 18 quarantining of others, and other measures such as staff testing, works to stop the spread of
 19 the virus at CHCF.

20 *Defendants' Position:* Since the last case management conference statement, the
 21 number of active COVID-19 cases among the incarcerated population remains at three,
 22 with zero new cases in the last two weeks. Further, in addition to the measures described
 23 in the last case management conference statement, CHCF has taken additional steps to
 24 mitigate the risks and spread of COVID-19 at CHCF, including, but not limited to:

25 _____
 26 ¹⁸ We intend to do the same with regard to the conclusions and recommendations of
 27 the 50-page Amend / Berkeley Public Health July 20, 2020 report (provided to us on July
 28 23), "Evaluation of the April-May 2020 COVID-19 Outbreak at California Men's
 Colony."

1 Displaying posters about the requirements of Personal Protective Equipment (PPE) in all
 2 housing units; CHCF staff attending the daily statewide Incident Commander Post
 3 conference calls with CDCR's Department Operations Center to stay apprised of COVID-
 4 19 trends and challenges; daily accounting for all COVID-19 related supplies in order to
 5 ensure staff and incarcerated people have the necessary safety materials for daily use; daily
 6 accounting of COVID-19 test kits to ensure consistent availability; CHCF's Correctional
 7 Counselor staff communicated with Los Angeles Probation, the Monterey County
 8 Probation, the Tehama County Probation, and the Fresno County Probation about
 9 transportation precautions for the release of incarcerated people; CHCF's Community
 10 Resource Manager communicated with the San Joaquin County Public Health, the El
 11 Concilio of San Joaquin County, the San Joaquin County WorkNet, the San Joaquin
 12 County Salvation Army, the Fathers and Families of San Joaquin County, and the San
 13 Joaquin County Delta College about COVID-19 testing at CHCF, number of positive
 14 incarcerated people, and safety precautions imposed to keep staff and incarcerated people
 15 safe; and CHCF's Chief Medical Executive and Chief Nurse Executive had a telephone
 16 conference with San Joaquin County General Hospital to discuss medical advice for
 17 CHCF's incarcerated population.

18 **C. California Medical Facility (CMF)**

19 *Plaintiffs' Position:* Unfortunately, three patients have now tested positive at CMF.
 20 Reportedly, two of the newly-diagnosed patients lived in 5-person dorms before being
 21 moved to medical isolation.

22 *Defendants' Position:* On July 16, 2020, one incarcerated person tested positive for
 23 COVID-19. On July 22, 2020, two more incarcerated people tested positive for COVID-
 24 19. As a result of these three positive incarcerated people and a few staff members who
 25 tested positive for COVID-19, as of July 23, 2020, CMF has twelve housing units with a
 26 total of 605 incarcerated people on medical quarantine. All movement within the
 27 institution is structured in a way that incarcerated people from different housing units do
 28

1 not mix with other housing units. Incarcerated people have access to showers on a rotating
 2 schedule. Access to dayrooms has been modified to allow for physical distancing.
 3 Incarcerated persons from Unit IV, the Enhanced Outpatient Program, and the main yard
 4 have access to yards based on a schedule to facilitate social distancing. Incarcerated
 5 persons from the Psychiatric Inpatient Program have normal access to yard and custody
 6 staff monitors the yard to ensure that physical distancing is maintained during yard time.
 7 Chaplains conduct rounds in housing units to perform religious services. Access to the law
 8 library is facilitated via a paging system. Lastly, CMF provides a hot morning meal and a
 9 sack lunch followed by a hot evening meal. Incarcerated people in Facility C and D-dorms
 10 are provided meals as usual, but separated by cohorts. All other incarcerated people are
 11 being provided their meals in their cells.

12 Tents remain onsite and are being used to house incarcerated people to increase the
 13 space for physical distancing in housing units and dorms. Currently, a total of 95 people
 14 are housed in CMF's tents.

15 **IX. MEET AND CONFERS BETWEEN PARTIES AND DOCUMENT PRODUCTION SINCE JULY**
 16 **16**

17 On July 20, CDCR produced CDCR's July 2 revised memorandum, which sets forth
 18 the processes for the increase of quarterly packages for the incarcerated population. On
 19 July 21, in preparation for a meet and confer call with Plaintiffs' counsel, CDCR produced
 20 a document titled COVID daily release numbers through July 13 to Plaintiffs. On July 22
 21 and July 24, the parties met and conferred on what kind of reports CDCR can produce that
 22 set forth how many incarcerated people have been released pursuant to CDCR's expedited
 23 release plans and what the timeline for such reports would be. On July 22, in preparation
 24 for a call with San Quentin State Prison's Warden Broomfield and Chief Executive Officer
 25 (CEO) Cryer, CDCR produced a copy of San Quentin's July 22 Program Status Report, a
 26 July 22 memorandum titled Resumption of Inmate Phone Usage in COVID-19 Outbreak
 27 Areas, and two lists with the names of patients who were housed at San Quentin's
 28

alternative care site at that time. The same day, CDCR facilitated a call during for Plaintiffs' counsel with San Quentin during which San Quentin's Warden and CEO answered various questions from Plaintiffs' counsel about (i) exercise opportunities, showers, phone calls, and food service and certain medical care matters at the prison, (ii) the provision of N95 masks to the incarcerated population, (iii) the current process for non-COVID-19 related lab work, (iv) the process for documentation of care at San Quentin's alternative care sites, (v) the use of any temporary tents for medical services, (vi) the current status of medical department staffing, including the use of registry, staff diverted from other locations, and volunteer staff, (vii) the current and near future strategies and locations for housing active, suspected, and resolved COVID-19 patients, and (viii) the process for re-testing incarcerated people who previously tested negative for COVID-19.

DATED: July 27, 2020

PRISON LAW OFFICE

By: /s/
STEVEN FAMA
SOPHIE HART
Attorney for Plaintiffs

1 DATED: July 27, 2020

XAVIER BECERRA
Attorney General of California

2
3
4 By: /s/
5 DAMON MCCLAIN
6 Supervising Deputy Attorney General
7 NASSTARAN RUHPARWAR
8 Deputy Attorney General
9 Attorneys for Defendants

10 DATED: July 27, 2020

HANSON BRIDGETT LLP

11
12 By: /s/
13 PAUL B. MELLO
14 SAMANTHA D. WOLFF
15 Attorneys for Defendants
16
17
18
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25
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28

Exhibit A

Paul B. Mello

From: Paul B. Mello
Sent: Monday, July 27, 2020 9:03 AM
To: Kelso, Clark@CDCR; Clark Kelso; Kirkland, Richard@CDCR; Cullen, Vincent@CDCR; Barrow, Roscoe@CDCR; Martin Dodd; 'dspecter@prisonlaw.com'; Steven Fama; Alison Hardy (ahardy@prisonlaw.com); Sophie Hart
Cc: Neill, Jennifer@CDCR; Gipson, Connie@CDCR; Stafford, Carrie@CDCR; Samantha Wolff; Damon McClain; Nasstaran Tara Ruhparwar (Nasstaran.Ruhparwar@doj.ca.gov); Iram Hasan; Monica Anderson; Adriano Hrvatin; Ambra S. Jackson
Subject: RE: Plata -- Disclosure pursuant to July 22, 2020 order
Attachments: Copy of DAI Institutional Quarantine Isolation space.xlsx as of 7-27-20.pdf

All,

Please see the attached document. The only change from the document sent on Saturday relates to ASP. The attached document shows ASP as dorm versus cell housing.

Thank you.

Paul

From: Paul B. Mello
Sent: Saturday, July 25, 2020 2:23 PM
To: Kelso, Clark@CDCR <Clark.Kelso@cdcr.ca.gov>; Clark Kelso <ckelso@PACIFIC.EDU>; Kirkland, Richard@CDCR <Richard.Kirkland@cdcr.ca.gov>; Cullen, Vincent@CDCR <Vincent.Cullen@cdcr.ca.gov>; Barrow, Roscoe@CDCR (Roscoe.Barrow@cdcr.ca.gov) <Roscoe.Barrow@cdcr.ca.gov>; Martin Dodd <MDodd@fddcm.com>; 'dspecter@prisonlaw.com' <dspecter@prisonlaw.com>; Steven Fama <sfama@prisonlaw.com>; Alison Hardy (ahardy@prisonlaw.com) <ahardy@prisonlaw.com>; Sophie Hart <sophieh@prisonlaw.com>
Cc: Neill, Jennifer@CDCR <Jennifer.Neill@cdcr.ca.gov>; Gipson, Connie@CDCR <Connie.Gipson@cdcr.ca.gov>; Stafford, Carrie@CDCR <Carrie.Stafford@cdcr.ca.gov>; Samantha Wolff <SWolff@hansonbridgett.com>; Damon McClain (Damon.McClain@doj.ca.gov) <Damon.McClain@doj.ca.gov>; Nasstaran Tara Ruhparwar (Nasstaran.Ruhparwar@doj.ca.gov) <Nasstaran.Ruhparwar@doj.ca.gov>; Iram Hasan <Iram.Hasan@doj.ca.gov>; Monica Anderson <Monica.Anderson@doj.ca.gov>; Adriano Hrvatin (Adriano.Hrvatin@doj.ca.gov) <Adriano.Hrvatin@doj.ca.gov>; Ambra S. Jackson <AJackson@hansonbridgett.com>
Subject: Plata -- Disclosure pursuant to July 22, 2020 order

All,

Pursuant to the Court's July 22, 2020 Order to Set Aside Isolation and Quarantine Space (Dock. No. 3401), CDCR provides this disclosure. As you can see, this disclosure provides the required information relating to 31 of CDCR's 35 adult institutions. CDCR will timely provide required disclosures for the 4 remaining institutions in accordance with the Court's order.

Thank you and have a nice Saturday.

Paul Mello

Paul B. Mello

Partner

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The foregoing applies even if this notice is embedded in a message that is forwarded or attached.

Institution	Location and type of reserved space	Number of Bed(s)
ASP	Facility A Housing Unit 120 - Dorm	191
CAC	Facility A, Building 2, A and B Pod - Cells	168
CAL	Facility A Building 5 - Cells	198
CCC	Facility C, Building 3 - Cells	200
CCI	Facility E Clark Hall Dorm (124 beds); Facility D Building 9 (24 beds)Cells	148
CCWF	Facility A, Building 503 - Cells	200
CEN	Facility A Building 5 - Cells	192
CIM	Facility B Building 1 - -Cells	102
CIW	Housing Unit A RCU - Cells	220
CMC	Facility C, Building 5 - Cells	300
CMF	W-1 (41 beds); W-3 (42 beds); S-3 (18 beds) - All Cells	101
COR	Facility 3B Buiding 02 - Cells	200
CRC	Facility D Dorm 410 (78 beds); Dorm 311 (77 beds);	155
CTF	Central Facility Y wing	178
CVSP	Facility D Building 11 Dorm	192
DVI	Facility B, G-wing Cells	264
HDSP	Facility C, Building 1 Cells	128
ISP	Facility C, Building 1 Cells	200
KVSP	Facility D, Building 6 Cells	128
LAC	Facility C, Building 5 Cells	200
MCSP	Facility A Building 2 Cells,	200
NKSP	Facility D, Building 3 Cells	200
PBSP	Facility A Building 1 Cells	128
PVSP	Facility D Building Cells	200
RJD	Facility D, Housing Unit 20 Cells	200
SAC	Facility A, Building 2 Cells (20 beds); Facility B, Building 1 Cells (48 beds); Facility C Cells Building 8 (128)	196
SATF	Facility E, Building 2 Cells	200
SOL	Facility B, Building 7 Cells	200
SVSP	Facility C, Building 7 Cells	128
VSP	Facility A Buiding 4 Cells (88); Facility B Building 4 Dorm	344
WSP	Facility B Building 1 Cells	200

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Cc: Neill, Jennifer@CDCR; Gipson, Connie@CDCR; Stafford, Carrie@CDCR; Samantha Wolff; Damon McClain (Damon.McClain@doj.ca.gov); Nasstaran Tara Ruhparwar (Nasstaran.Ruhparwar@doj.ca.gov); Iram Hasan; Monica Anderson; Adriano Hrvatin (Adriano.Hrvatin@doj.ca.gov); Ambra S. Jackson
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Thank you and have a nice Saturday.

Paul Mello

Paul B. Mello

Partner

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ISP	Facility C, Building 1 Cells	200
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SOL	Facility B, Building 7 Cells	200
SVSP	Facility C, Building 7 Cells	128
VSP	Facility A Building 4 Cells (88); Facility B Building 4 Dorm	344
WSP	Facility B Building 1 Cells	200

Exhibit B

Facility	Agency			Total Count of Employees	Tested during Baseline ³	% of Employees Tested Baseline ^{2,3}
	CCHCS Filled ¹	CDCR Filled ¹	PIA			
Avenal State Prison	214	1,016	50	1,280	1,168	91%
California City Correctional Facility	134	503	4	641	518	81%
Calipatria State Prison	173	930	7	1,110	1,017	92%
California Correctional Center	141	924	7	1,072	662	62%
California Correctional Institution	268	1,221	15	1,504	986	66%
Central California Women's Facility	349	815	37	1,201	1,230	102%
California State Prison, Centinela	186	958	12	1,156	799	69%
California Health Care Facility	2,028	1,253	9	3,290	3,480	106%
California Institution for Men	421	1,167	45	1,633	1,603	98%
California Institution for Women	436	652	14	1,102	1,103	100%
California Men's Colony	465	1,196	45	1,706	1,659	97%
California Medical Facility	874	1,025	16	1,915	2,188	114%
California State Prison, Corcoran	445	1,389	62	1,896	1,964	104%
California Rehabilitation Center	219	945	4	1,168	967	83%
Correctional Training Facility	256	1,053	27	1,336	1,140	85%
Chuckawalla Valley State Prison	149	683	10	842	551	65%

Deuel Vocational Institution	223	798	20	1,041	993	95%
Folsom State Prison	203	828	46	1,077	1,211	112%
High Desert State Prison	238	1,015	10	1,263	910	72%
Ironwood State Prison	158	867	6	1,031	609	59%
Kern Valley State Prison	278	1,162	13	1,453	1,478	102%
California State Prison, Los Angeles County	392	1,019	30	1,441	1,276	89%
Mule Creek State Prison	457	1,164	40	1,661	1,446	87%
North Kern State Prison	304	1,032	10	1,346	1,116	83%
Pelican Bay State Prison	186	1,045	15	1,246	1,147	92%
Pleasant Valley State Prison	211	1,027	6	1,244	1,024	82%
Richard J. Donovan Correctional Facility	536	1,258	28	1,822	1,706	94%
California State Prison, Sacramento	428	1,063	18	1,509	1,358	90%
San Quentin State Prison	394	1,337	22	1,753	1,724	98%
Substance Abuse Treatment Facility	478	1,431	19	1,928	1,537	80%
Sierra Conservation Center	170	912	10	1,092	840	77%
California State Prison, Solano	257	969	61	1,287	1,248	97%
Salinas Valley State Prison	486	1,150	18	1,654	1,503	91%
Valley State Prison	275	736	15	1,026	951	93%
Wasco State Prison	351	1,098	15	1,464	1,280	87%
Grand Total	12,783	35,641	766	49,190	44,392	90%

¹ The phrase "filled" refers to the number of positions that are in fact occupied, as opposed to the number of authorized positions, which refers to the number of positions that have been authorized per institution and which

² There are various factors that might skew the accuracy of the percentage of staff that has been tested. Nevertheless, CDCR has exercised its utmost due diligence to provide the most accurate percentages that were reasonably possible. Also, this table only represent a snapshot in time on July 22. Completed tests are not counted until the results are received, and new results are provided by the labs every day. Lastly, the format of this table only temporary. The format of future information about staff testing may differ from the format used in this table.

³ The total number of individuals tested also includes contractors and non-staff, such as registry providers. Therefore, in some instances, the percentage of tested employees may exceed 100%.

Exhibit C

Exhibit C to July 27, 2020 Case Management Conference Statement

Facility	Agency			Total Count of Employees	Tested during Baseline ³	% of Employees Tested Baseline ^{2, 3}	First Serial Round Tested ³	% of Employees Tested Serial ^{2, 3}
	CCHCS Filled ¹	CDCR Filled ¹	PIA					
California Correctional Center	141	924	7	1,072	662	62%	726	68%
California Correctional Institution	268	1,221	15	1,504	986	66%	1,140	76%
California State Prison, Centinela	186	958	12	1,156	799	69%	855	74%
Chuckawalla Valley State Prison	149	683	10	842	551	65%	592	70%
High Desert State Prison	238	1,015	10	1,263	910	72%	994	79%
Ironwood State Prison	158	867	6	1,031	609	59%	606	59%
Grand Total	1,140	5,668	60	6,868	4,517	66%	4,913	72%

¹ The phrase "filled" refers to the number of positions that are in fact occupied, as opposed to the number of authorized positions, which refers to the number of positions that have been authorized per institution and which may include positions that are vacant.

² There are various factors that might skew the accuracy of the percentage of staff that has been tested. Nevertheless, CDCR has exercised its utmost due diligence to provide the most accurate percentages that were reasonably possible. Also, this table only represent a snapshot in time on July 22. Completed tests are not counted until the results are received, and new results are provided by the labs every day. Lastly, the format of this table only temporary. The format of future information about staff testing may differ from the format used in this table.

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