Case 2:90-cv-00520-KJM-DB Document 6976 Filed 12/07/20 Page 1 of 12 1 XAVIER BECERRA, State Bar No. 118517 PAUL B. MELLO, State Bar No. 179755 LISA M. POOLEY, State Bar No. 168737 Attorney General of California 2 SAMANTHA D. WOLFF, State Bar No. 240280 MONICA N. ANDERSON, State Bar No. 182970 LAUREL E. O'CONNOR, State Bar No. 305478 Senior Assistant Attorney General 3 ADRIANO HRVATIN, State Bar No. 220909 HANSON BRIDGETT LLP Supervising Deputy Attorney General 1676 N. California Boulevard, Suite 620 ELISE OWENS THORN, State Bar No. 145931 4 Walnut Creek, CA 94596 KYLE A. LEWIS, State Bar No. 201041 Telephone: (925) 746-8460 5 LUCAS HENNES, State Bar No. 278361 Fax: (925) 746-8490 NAMRATA KOTWANI, State Bar No. 308741 E-mail: PMello@hansonbridgett.com 6 Deputy Attorneys General Attorneys for Defendants 1300 I Street, Suite 125 7 P.O. Box 944255 ROMAN M. SILBERFELD, State Bar No. 62783 GLENN A. DANAS, State Bar No. 270317 Sacramento, CA 94244-2550 Telephone: (916) 210-7318 8 **ROBINS KAPLAN LLP** Fax: (916) 324-5205 2049 Century Park East, Suite 3400 Los Angeles, CA 90067-3208 9 E-mail: Elise.Thorn@doj.ca.gov Telephone: (310) 552-0130 Attorneys for Defendants Fax: (310) 229-5800 10 E-mail: RSilberfeld@RobinsKaplan.com 11 Special Counsel for Defendants 12 13 IN THE UNITED STATES DISTRICT COURT 14 FOR THE EASTERN DISTRICT OF CALIFORNIA 15 SACRAMENTO DIVISION 16 17 18 **RALPH COLEMAN, et al.,** 2:90-cv-00520 KJM-DB (PC) 19 Plaintiffs. **DEFENDANTS' RESPONSE TO** 20 **NOVEMBER 19, 2020 ORDER** v. 21 22 GAVIN NEWSOM, et al., 23 Defendants. 24 25 26 27 28

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1	In its November 19, 2020 order following the October 23 inpatient transfers evidentiary
2	hearing, the Court asked the parties to address a new question regarding whether it could
3	"presume" harm in the case of delayed transfers, separate from the three narrow questions the
4	Court indicated for months was the focus of the evidentiary hearing, and which in fact were the
5	subject of the hearing. For the reasons set forth below, the Court cannot and should not presume
6	harm to Coleman class members from delayed transfers. It is not consistent with the required
7	analysis under the Eighth Amendment or the Prison Litigation Reform Act. In addition, the
8	Court's approval of exceptions to the Program Guide transfer timelines itself demonstrates that
9	constitutional harm cannot be simply presumed due to a patient's delayed transfer. Such a
10	presumption is also improper because it would deprive Defendants of the ability to demonstrate
11	that it had remedied any harm in individual cases. In fact, Defendant can demonstrate that
12	patients were not harmed by awaiting transfer to Department of State Hospital inpatient beds in
13	recent months.
14	ARGUMENT
15	I. THE PRESUMPTION OF HARM HAS NO LEGAL SUPPORT.
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A.

Objective Harm Must Be Evaluated In The Context Of COVID-19.

"What is necessary to show sufficient harm for purposes of the Cruel and Unusual 2 Punishments Clause depends upon the claim at issue." Hudson, 503 U.S. at 8. The objective 3 4 harm analysis is "therefore contextual and responsive to 'contemporary standards of decency." Id. (citing Estelle v. Gamble, 429 U.S. 97, 103 (1967)). Accordingly, the potential harm from 5 delay in inpatient transfers must be evaluated in light of current conditions, *i.e.*, considering 6 Court-ordered COVID-19 screening and the systemic and unprecedented public health constraints 7 that Defendants face. Harm cannot simply be presumed under the Eighth Amendment. See, e, g_{i} , 8 9 Helling v. McKinney, 509 U.S. 25, 36 (1993) (articulating the high quantum of proof required to show proscribed harm as "risk" that society refuses to tolerate and does not expose anyone to it). 10 There is no doubt that the pandemic presents exigent circumstances where health and public 11 safety may inform the proper scope of constitutional rights. Jacobson v. Massachusetts, 197 U.S. 12 11, 27 (1905); see also Three-Judge Court Order, ECF No. 6574 at 9 (finding that Defendants are 13 confronting an "unprecedented pandemic" that "the entire world was unprepared for"). "Prison 14 officials may be more restrictive than they otherwise may be if a genuine emergency exists, and 15 certain services may be suspended temporarily." Thomas v. Ponder, 611 F.3d 1144 (9th Cir. 16 2010); see also Noble v. Adams, 646 F.3d 1138, 1143-47 (9th Cir. 2011) (a post-riot lockdown of 17 prison that resulted in denial of Eighth Amendment rights was reasonable to furnish safety); 18 19 Norwood v. Vance, 591 F.3d 1062 (9th Cir. 2010) (recognizing that the right to outdoor exercise may be temporarily denied where officials must quickly respond to violence threatening inmate 20 safety). In "genuine emergencies," prison officials may impose a temporary denial of 21 constitutional rights and are afforded "reasonable leeway" to make these "delicate" decisions. 22 Hayward v. Procunier, 629 F.2d 599, 603 (9th Cir. 1980) (internal citations omitted). 23 Here, an analysis of harm under the Eight Amendment must take into account this Circuit's 24 "genuine emergency" standard and "contemporary standards of decency"—a pandemic-era 25 evaluation that must consider the health and safety of *all* prisoners, including *Coleman* class 26 members, DSH patients, state health and correctional employees and their families, and conserve 27 healthcare resources within and outside the custodial environment. (See ECF No. 6843-1, at 12-28

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1 13 ("[A]llowing contagion to be introduced into a DSH facility could have wide-ranging impact 2 on communities throughout the state.").) Defendants' conduct has been (and continues to be) 3 objectively reasonable under these unprecedented circumstances. See Kevin M. A. v. Decker, 457 4 F. Supp. 3d 445, 458 (D.N.J. 2020) (enumerating reasonable steps taken for infection control). In 5 March 2020, DSH acted quickly to prevent infection because it could spread unchecked among its 6 patients who resided in congregate settings. (See ECF No. 6949 at 5.) At the same time, over 7 100 *Coleman* patients have safely and timely transferred to DSH inpatient beds under the 8 COVID-19 screening and transfer guidelines developed by DSH and CDCR with input from the 9 Special Master's experts since April 2020. (See id., at 5-8.) Through the individualized review 10 process established with the Special Master and his experts, patients will continue to transfer to 11 DSH. (Id.) Plaintiffs concede that the "onset of the pandemic may have initially excused delayed 12 transfers in the early Spring." (ECF No. 6948-1 at 4.) They, however, contend that the pandemic 13 is the "new normal" and allege that the current "indefinite suspension of the Program Guide's 14 timelines" harms *Coleman* patients. (*Id.*) The argument fails.

15 First, there is no "indefinite suspension"; that is a mischaracterization. The evidence shows 16 clearly that patients continue to be transferred to DSH, some within Program Guide timelines. 17 Second, the "new normal" Plaintiffs reference has resulted in the unprecedented suspension of 18 assembly and travel, including restrictions applicable to worship services; unprecedented 19 regulation of economic and social activity, including requiring certain types of businesses to close 20 and prohibiting landlords from evicting tenants even in cases of nonpayment of rent; and an 21 unprecedented disruption of medical services for persons in the community as well as persons in prison.¹ During a "public health crisis," government action is unconstitutional only if it has "no 22 23 real or substantial relation ... to [protect public health and safety], or is, beyond all question, a 24 plain, palpable invasion of rights secured by the fundamental law." In re Rutledge, 956 F.3d

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 ¹ Inpatient psychiatric units are tightening admission criteria following "a growing amount of media coverage describing frequent and severe outbreaks occurring on psychiatric units, with some outbreaks leading to multiple COVID-19 related deaths." Ermal Bodjani, et al., *COVID-19 Pandemic: Impact on psychiatric care in the United States*, 289 Psychiatry Res. 113069, https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7200362/pdf/main.pdf.

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1 1018, 1027 (8th Cir. 2020) (quoting Jacobsen). That is not the case here. Plaintiffs have not 2 shown that Defendants' policy choices bear *no* relation to safety and health—accordingly, a 3 presumption of harm would be contrary to well-established public health law and the genuine emergency exception in Eighth Amendment jurisprudence.² Third, Plaintiffs' assertion that the 4 5 COVID-19 pandemic is no longer an emergency and no longer presents unusual circumstance 6 warranting delayed transfers contradicts reality and conflicts with the consensus of public health 7 experts. See, e.g., Foster v. Comm'r of Correction, 484 Mass. 698, 732, 146 N.E.3d 372, 402 8 (2020) ("At this juncture, it appears that the COVID-19 pandemic will continue to demand 9 extraordinary, and coordinated, efforts by all parties, as well as the courts.") With a potentially 10 effective vaccine still only on the horizon, and far from ready for distribution to inmates, the 11 current emergency is anything but "normal." Finally, Defendants have the authority and 12 discretion to be nimble, adjust, and take informed and reasonable steps to address a pandemic like 13 COVID-19, just as quickly as it evolves over time. If community transmission increased and caused rampant staff infection at either a DSH or CDCR facility, transfers would need to be 14 15 delayed until appropriate screening were performed and safe passage assured. The Court should not endorse an inflexible approach to Program Guide timelines risking inmate and staff safety. 16 17 Harm Arising From Delayed Transfer Is An Individualized Medical **B**. **Determination Rather Than A Class-Wide Determination.** 18 19 A class-wide presumption of harm is incompatible with the clinical balancing of risks that 20 CDCR and DSH's mental health personnel and leaders have been carrying out with the assistance 21 and input of the Special Master's experts to inform their transfer decisions during the COVID-19 22 pandemic. (See ECF No. 6949, at 5-6.) This balancing evinces a good-faith effort to comply 23 with Program Guide timelines for inpatient transfers, as modified by the temporary addition of COVID-19 screening.³ Plaintiffs concede an individualized analysis of risk is appropriate. (ECF 24 25 ² A presumption of harm would also violate the well-established tradition of judicial

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- deference to the executive during public health emergencies. "Deference . . . is due to prison authorities to determine which additional measures must be taken to avoid catastrophic results." Three-Judge Court, ECF No. 6574, at 13 (citing *Turner v. Safley*, 482 U.S. 78, 84–85 (1987)). ³ Defendants have previously established that when patients are transferred to DSH
- beyond the Program Guide timeframes due to quarantine and testing protocols contained in the

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No. 6948-1, at 9, 10.) Such an individualized determination of risk is necessarily fluid and
changes with circumstances. Generally, clinicians are required to balance the benefits and risks
before providing a specific treatment to individual patients. Here, Defendants were required to
weigh the increased infection risk caused by transfer against the benefits of treatment at DSH.
(10/23/20 Hrg. Tr. at 87:5 – 88:3, 111:15-18, 161:21 – 162:7.) When pandemic crisis care
standards apply, this analysis is extended further to allow clinicians to balance the obligation to
save the greatest possible number of lives against the need to care for each patient. (Kheriaty

9 Plaintiffs impugn the balancing of harms that informs Defendants' decision to delay 10 inpatient transfers, but their position is not supported by the record. Plaintiffs want patients to 11 transfer within a rigid and uncompromising timeline, even when that would pose catastrophic 12 individual and collective risk to class members and other DSH patients. The California 13 Department of Public Health, federal, and professional guidance regarding crisis care standards 14 supports the finding that the harms, both individual and collective, of immediate transfer clearly 15 outweigh the harms of a temporary delay in transfer. (Kheriaty Decl. ¶ 10.) Transferring 16 COVID-19 positive patients to DSH risks facilitating the spread of COVID-19 throughout a 17 congregate setting that treats a vulnerable population. (Id. at \P 13.) Even a single transferred 18 asymptomatic COVID-19 patient would introduce a highly virulent disease with a high mortality 19 rate to an extraordinarily vulnerable and confined patient population and into the community, 20 threatening to consume scarce medical resources, overburden professionals, and upend the state's 21 medical infrastructure. (Id. at \P 19.) This elevated risk of systemic compromise is not acceptable 22 when compared to the relatively modest risk to an individual of having his or her transfer delayed 23 for safety reasons. (Id. at \P 12.) Further, transferring untested patients, as Plaintiffs propose, 24 exposes them to potential infection, and easily avoidable morbidity and mortality. (Id. at $\sqrt[q]{21}$.) 25 At the start of the pandemic, when Defendants (like the rest of the world) were trying to 26 understand how best to curtail the spread of the insidious coronavirus, all but the most pressing 27 COVID-19 guidelines, such deviations are permitted under the Program Guide under the medical and unusual circumstances exceptions. (ECF No. 6949, at 8-9.)

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Decl. ¶ 9.)

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transfers to DSH were suspended to mitigate individual and collective harm.⁴ Subsequently, with 1 2 the benefit of greater clinical knowledge and guidance about the nature of this disease, CDCR and 3 DSH developed protocols to expedite transfers and prioritize those patients from closed 4 institutions who could be safely transferred without getting infected or causing infections at 5 CDCR or DSH. (ECF No. 6949, at 13.) This approach was reasonable and responsible. In any 6 event, CDCR patients awaiting transfer still receive adequate psychiatric evaluation and treatment 7 (see ECF No. 6949, at 14) and at least a subset of those patients would be clinically inappropriate 8 candidates for transfer, which establishes that any generalized presumption of class-wide harm, as 9 the Court suggests, is improper. (Mehta Decl. ¶ 3.) Rather than presuming generalized harm to 10 the entire class, which would force Defendants to take actions that contravene public health 11 guidance, the Court should allow Defendants to continue to conduct case-by-case patient reviews 12 with the input of the Special Master's experts to make responsible transfer decisions to keep 13 patients and staff safe.

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C. There Is No Evidence of Culpable Intent.

15 Even if the Court presumes an objective harm arising from delayed inpatient transfers, 16 Eighth Amendment liability only attaches if Defendants acted with a subjectively culpable state 17 of mind. Prison officials are not liable if they responded reasonably to a known risk, even if the 18 harm ultimately was not averted. *Farmer*, 511 U.S. at 844. Here, Defendants' conduct 19 demonstrates a recognition that CDCR and DSH faced two competing constitutional duties and 20 sought to act diligently and reasonably to keep inmate and staff safe—the opposite of a culpable 21 state of mind under the Eighth Amendment. On the one hand, CDCR and DSH were obligated to 22 act with utmost caution to keep their patients in congregate living settings safe during the 23 pandemic and mitigate COVID-19 transmission. See Farmer, 511 U.S. at 847; Helling, 509 U.S.

 ⁴ Initially, DSH suspended admissions and focused on developing an emergency plan and infection control protocols to safely treat patients while securing protective equipment and testing resources. (ECF No. 6843-1, at 9.) Subsequently, DSH took steps to allow transfers, on a case-by-case basis, considering the risks for each patient. (ECF No. 6949, at 12-13.) CDCR developed its movement matrix based on guidance from the *Plata* Receiver, the Centers for Disease Control and Prevention, and the California Department of Public Health (CDPH)—which increased patient transfers to DSH in conjunction with updated guidelines that required case-by-case assessments of COVID risk. (*Id.* at 13.)

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1 at 33; DeGidio v. Pung, 920 F.2d 525, 533 (8th Cir. 1990) (finding that prison officials were deliberately indifferent to inmates' serious medical needs in failing to prevent and control prison's 2 3 tuberculosis epidemic); Dunn v. White, 880 F.2d 1188, 1195 (10th Cir. 1989) (observing that a 4 failure to protect inmates from HIV-infection may violate Eighth Amendment); Smith v. Sullivan, 5 553 F.2d 373, 380 (5th Cir. 1977) (housing scabies- and gonorrhea-infected inmates with healthy 6 prisoners violates Eighth Amendment). On the other hand, Defendants had to adhere to *Coleman* 7 Program Guide transfer timelines, subject to limited Court-approved exceptions. CDCR and 8 DSH swiftly moved to develop transfer protocols that they continuously refined in collaboration 9 with the Special Master's experts. As CDCR and DSH were "act[ing] in areas fraught with 10 medical and scientific uncertainties," this Court must afford "especially broad" latitude rather 11 than presuming harm signaling an Eighth Amendment violation. See S. Bay United Pentecostal 12 Church v. Newsom, No. 19A1044, 140 S.Ct. 1613, 1614 (2020) (Roberts, C.J., concurring). 13 II. THE PRESUMPTION OF HARM FROM DELAYS IN THE INPATIENT TRANSFER TIMELINES IS INCONSISTENT WITH THE PROGRAM GUIDE ADDENDA SETTING 14 FORTH EXCEPTIONS TO THE TIMELINES BASED ON JUSTIFIABLE DELAYS. 15 In December 2017, the Court approved an addendum to the Program Guide that recognized 16 exceptions to the requirement to transfer patients to the intermediate level of care within thirty 17 days from the date of referral. (ECF No. 5750.) The Court ordered the parties to develop a 18 policy that explicitly provided for departures from the Program Guide. (ECF No. 5610.) After 19 months of negotiation, the parties and the Special Master agreed on exceptions under the 20 Addendum to 12.11.2101 (A) PIP Policy and Procedure Referral and Admission. (ECF No. 21 5744.) Each month for over three years, Defendants have been reporting patients transfer outside of the required thirty-day timeline to the Court.⁵ The record is devoid of any evidence or 22 23 acknowledgment in the policy or in the Court's orders approving and ordering the Addendum that 24 patients who were transferred under an exception were presumed to have suffered harm as a result 25 of the delayed transfer. 26

⁵ See ECF Nos. 5636, 5647, 5664, 5684, 5715, 5731, 5751, 5757, 5789, 5804, 5819, 5827, 5837, 5856, 5882, 5923, 5960, 6004, 6046, 6072, 6090, 6110, 6128, 6152, 6198, 6222, 6245, 6286, 6342, 6394, 6423, 6446, 6470, 6505, 6611, 6670, 6719, 6762, 6823, 6867, 6912, and 6956.

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1 The Program Guide Addendum implicitly recognizes that conditions or situations exist that 2 outweigh the need for complying with the Program Guide timelines for placing a patient in an 3 inpatient bed. A presumption of constitutional harm whenever a patient is not transferred to an 4 intermediate care program within thirty days from referral cannot be reconciled with the April 19, 5 2017 order that recognized the need for reasonable delays in the transfer timelines. The parties 6 and the Special Master worked for months to identify those reasonable delays, and determined 7 that acceptable reasons for delaying transfer to an inpatient bed include when a patient refuses to 8 be transferred to inpatient care, the placement of a patient at a prison to attend court proceedings 9 and the retention of a patient at a prison to obtain or complete medical treatment that the patient 10 cannot receive at the inpatient program. (ECF No. 5744 at 5-6.) Each exception allows for the 11 suspension of the transfer timelines pending resolution of the exception. (*Id.*) Other than in the 12 circumstance of a patient refusing inpatient care, there are no outer limits placed on the delays 13 allowed under the exceptions. Given the absence of a presumption of harm in the case of court-14 sanctioned delays under the Addendum, there is similarly no justification to presume harm from 15 delays attributed to an unprecedented tragedy such as COVID-19 pandemic. All of the same 16 balancing of risks and other safeguards under the Addendum are applied to the delays attributed 17 to the pandemic, and have been exercised by Defendants in evaluating inpatient transfers to DSH. 18 Indeed, the Court found that exceptions applied to the initial suspension of transfers to DSH 19 inpatient facilities in March 2020, and did not ascribe a harm to that action that occurred over 20 eight months ago now. (ECF No. 6639 at 11.) Defendants have shown that there is no clearer 21 circumstance than a world-wide pandemic to justify the application of the unusual circumstance 22 exception to the inpatient transfer timelines. Any contrary position flouts the public health 23 directives guiding Defendants' policies designed to safeguard the Coleman class from COVID-19 24 exposure and disregards the harm posed to class members by catching COVID-19 and suffering 25 serious and potentially fatal symptoms. 26

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III. THE COURT CANNOT PRESUME HARM BECAUSE DEFENDANTS MAY USE OTHER MEANS TO ADDRESS ANY NON-COMPLIANCE WITH PROGRAM GUIDE TIMELINES.

3 Even if this Court determines that delaying transfers beyond Program Guide timelines 4 because of an unprecedented and ongoing global pandemic is presumptively a constitutional 5 violation, the Court still cannot presume that class members are harmed by these delays. Because 6 Defendants have alleviated any harm, or abated it entirely, through other means, the Court must 7 evaluate, based on particularized evidence, whether Defendants' efforts to abate harm through 8 other ways were successful. See Glover v. Johnson, 138 F.3d 229, 243 (6th Cir. 1998) (holding 9 that defendants must be allowed to demonstrate that they have remedied underlying constitutional 10 violations even without compliance with remedial orders). Presuming harm *solely* from 11 Defendants' failure to comply with Program Guide timelines undermines this foundational 12 principle and is inconsistent with the PLRA. See Hadix v. Johnson, 228 F.3d 662, 670-71 (6th 13 Cir. 2000) ("The fundamental problem with the district court's order is that it focused not on the 14 inquiry required by the [Prison Litigation Reform Act], but rather on the question whether the 15 consent decree had been substantially complied with."). The October 23 hearing did not call for 16 this evidence, as the Court's three narrow questions made no mention of alleged patient harm. 17 The Court has now asked an entirely new question—after the close of evidence—concerning 18 whether harm should be presumed, inviting new evidence to which Defendants have objected.⁶ 19 And it's exclusively to Defendants' prejudice. 20 The questions asked at the hearing and in the recent minute order are fundamentally 21 different. To the extent that Plaintiffs attempt to yet again submit evidence from Dr. Stewart 22 demonstrating alleged harm to patients from delayed transfers, and this evidence is admitted by

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⁶ Plaintiffs attempted to introduce evidence of alleged harm from delayed inpatient transfers through Dr. Pablo Stewart, but his opinions and testimony were properly excluded. (10/23/20 Hrg. Tr. at 270:9 – 271:11.) After evidence closed, Plaintiffs improperly submitted a voluminous declaration from Dr. Stewart containing his anticipated testimony, to which Defendants objected. (ECF No. 6948-1.) None of Dr. Stewart's testimony should be considered in evaluating the questions posed at the October 23 inpatient transfers hearing.

the Court, Defendants submit expert and clinician declarations showing that Dr. Stewart's

opinions are unsupported and overgeneralized, that Defendants acted ethically in weighing the

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harms of transferring patients to DSH facilities during a pandemic, and that patients did not suffer harm while awaiting transfer to DSH inpatient beds. (*See, e.g.*, Scott Decl. ¶¶ 33-34; Meyer Decl. ¶ 15; Kheriaty Decl. ¶¶ 16-21; Stahl Decl. ¶¶ 11, 29-30; Mehta Decl. ¶¶ 3-4, 16-17.) To be clear, Defendants restate all prior objections to late-filed evidence in conjunction with the hearing.

5 Dr. Stewart maintains that the 55 patients previously awaiting transfer to DSH were 6 suffering potential irreparable harm by this delay of transfer. (Kheriaty Decl. ¶ 14.) He claims 7 that some of these patients are suffering from major depression and psychotic disorders, which 8 require inpatient treatment. (Id.) This analysis is incorrect—the medical records show that these 9 patients can and are being treated by CDCR psychiatrists and psychologists adept at treating 10 depression, psychotic disorders, and other mental illnesses with safe and effective medication and 11 psychotherapeutic interventions. (Id. at \P 15; see Meyer Decl. \P 10 (finding that Patient 10) 12 remained psychiatrically stable awaiting transfer, was appropriately prescribed clozapine, 13 received biweekly hematological monitoring and treatment for constipation and drooling that may 14 occur with clozapine, and subsequently, was eager to return to his dorm as an EOP); Scott Decl. ¶ 15 33 (opining that a transfer to DSH for diagnostic clarification was not indicated as asserted by Dr. 16 Stewart, when such a clarification could be properly provided at CDCR and asserting that a 17 finding of harm awaiting transfer was "grossly misleading" as contemporaneous records showed 18 that Patient 3's symptoms of depression, suicidality, auditory hallucinations, and paranoia 19 improved to the extent he was "active and engagement" in attending group therapy); Stahl Decl. 20 ¶ 24-27 (finding that Dr. Stewart's reading of the record missed that Patient 38 had a history of 21 feigning exaggerated psychiatric symptoms to obtain a transfer to his preferred setting at DSH 22 and because the record did not support serious illness, a finding of cognizable harm was not 23 sustainable).) Accordingly, a presumption of harm from delayed inpatient transfers is 24 unwarranted and unsupported by clinical records.

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CONCLUSION

26 The Court's request for supplemental briefing raises, for the first time, a question of
27 presumed harm from delayed transfers. However, the Court cannot and should not presume such
28 harm where patients are undeniably receiving care while awaiting for transfer.

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1	Dated: December 7, 2020		Respectfully Submitted,
2			XAVIER BECERRA Attorney General of California
3			ADRIANO HRVATIN Supervising Deputy Attorney General
4 5			/s/ Elise Owens Thorn Elise Owens Thorn
5 6			Deputy Attorney General Attorneys for Defendants
7			Miorneys for Defendunis
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1 2 3 4 5 6 7 8 9 10 11	XAVIER BECERRA, State Bar No. 118517 Attorney General of California MONICA N. ANDERSON, State Bar No. 182970 Senior Assistant Attorney General ADRIANO HRVATIN, State Bar No. 220909 Supervising Deputy Attorney General ELISE OWENS THORN, State Bar No. 145931 NAMRATA KOTWANI, State Bar No. 308741 KYLE A. LEWIS, State Bar No. 201041 LUCAS HENNES, State Bar No. 278361 Deputy Attorneys General 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 210-7318 Fax: (916) 324-5205 E-mail: Elise.Thorn@doj.ca.gov Attorneys for Defendants	 PAUL B. MELLO, State Bar No. 179755 LISA M. POOLEY, State Bar No. 168737 SAMANTHA D. WOLFF, State Bar No. 240280 LAUREL E. O'CONNOR, State Bar No. 305478 HANSON BRIDGETT LLP 1676 N. California Boulevard, Suite 620 Walnut Creek, CA 94596 Telephone: (925) 746-8460 Fax: (925) 746-8490 E-mail: PMello@hansonbridgett.com Attorneys for Defendants ROMAN M. SILBERFELD, State Bar No. 62783 GLENN A. DANAS, State Bar No. 270317 ROBINS KAPLAN LLP 2049 Century Park East, Suite 3400 Los Angeles, CA 90067-3208 Telephone: (310) 552-0130 Fax: (310) 229-5800 E-mail: RSilberfeld@RobinsKaplan.com 	
12	IN THE UNITED STATES DISTRICT COURT		
13	FOR THE EASTERN DISTRICT OF CALIFORNIA		
14 15	SACRAMEN	TO DIVISION	
16	RALPH COLEMAN, et al.,	2:90-cv-00520 KJM-DB (PC)	
17 18 19	Plaintiffs, v.	DECLARATION OF AARON KHERIATY, M.D., IN SUPPORT OF DEFENDANTS' RESPONSE TO NOVEMBER 19, 2020 ORDER	
20	GAVIN NEWSOM, et al.,		
21	Defendants.		
22			
23	I, Aaron Kheriaty, M.D., declare:		
24	1. I am over 18 years of age and am con	mpetent to testify. I submit this declaration in	
25	support of Defendants' response to the Court's N	lovember 19, 2020 order. I have personal	
26	knowledge of the facts stated in this declaration a	and, if called to testify to those facts, I could and	
27	would do so competently.		
28			
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1 I received my M.D. degree from Georgetown University School of Medicine in 2003. 2. 2 I completed my residency training in psychiatry at the University of California, Irvine in 2007. 3 Since 2009, I have been a board-certified psychiatrist. I am currently a Health Sciences Clinical 4 Professor of Psychiatry and Human Behavior at University of California Irvine (UCI) School of 5 Medicine. I have served as Director of Medical Education in the UCI Department of Psychiatry 6 since 2007. I have also served as chairman of the Medical Ethics Committee and Director of the 7 Ethics Consultation Service at UCI Health since 2008, as well as Director of the Medical Ethics 8 Program at UCI School of Medicine since 2013. I direct the required course in ethics for medical 9 students at UCI. I also serve as chair of the statewide Bioethics Committee at the California 10 Department of State Hospitals (DSH).

In my capacity as Ethics Committee Chair and director of the Ethics Consult Service
 at UCI Health, and chair of the statewide Bioethics Committee at DSH, I have conducted
 thousands of ethics consultations on complex clinical cases. In this capacity, I assist treatment
 providers to resolve some of DSH's most ethically complicated and difficult cases and clinical
 decisions. Each DSH hospital has its own local bioethics committee; however, cases with
 particularly complex ethical issues, or cases that have implications for systemwide DSH policies,
 are referred to the statewide committee that I chair for review, recommendations, and resolution.

18 4. I also have experience and expertise developing crisis standards of care and policies 19 for pandemic triage and allocation of scarce resources during COVID-19 at the statewide and 20 regional levels, including serving as a member of committees and task forces to develop these 21 policies at the University of California Office of the President, the California Department of 22 Public Health, the Orange County Department of Public Health, and the University of California 23 Irvine. This experience is relevant to my expertise regarding the professional judgments and 24 opinions provided in this declaration. As a medical ethicist, I also rely on knowledge of ethical 25 guidelines, landmark court cases, legal standards, and familiarity with the relevant research 26 literature.

5. In my clinical work as a psychiatrist, I have evaluated and treated thousands of
patients with severe, persistent mental illness, including patients hospitalized in DSH settings

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during my residency training. This clinical experience, as well as familiarity with the relevant
 research literature, informs my opinions in this declaration. For a complete listing of my
 professional background, experience, research, responsibilities, and publications, please see my
 Curriculum Vitae, which is attached to this declaration as Exhibit A.

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6. I have been asked by DSH to offer my professional opinion regarding the ethical justifiability of the steps that DSH has taken to protect their patients from COVID-19 infection. My opinions are based upon my medical education, training, research, and over 16 years of clinical experience as a psychiatrist and bioethicist, as well as my familiarity with the medical and bioethics literature. These opinions are my own and do not represent those of the institutions with which I am affiliated. In preparing this declaration I have reviewed the transcript of the hearing before District Judge Kimberly J. Mueller of October 23, 2020.

12 7. Due to widespread community transmission of COVID-19, it is clear that we have 13 moved from the containment phase to the mitigation phase of responding to this unprecedented 14 pandemic. Public health emergencies such as the current COVID-19 pandemic require difficult 15 decisions in situations of time pressure, limited resources, clinician strain, and broader social upheaval. It is vital that these decisions be guided by widely accepted and publicly endorsed 16 17 ethical principles. One of the most familiar frameworks for biomedical ethics centers around a few key principles.¹ These principles, as well as some of their most important implications for 18 19 public health crises, include:

- 20
- 21

<u>Beneficence and Non-Maleficence</u>.² There is a duty to promote health and avoid harm. This general principle has several important corollaries:

¹ For a more detailed description of these principles and their application in the context of pandemics and other public health crises, cf. Dicker R., Kheriaty A., et al. *Allocation of Scarce Critical Resources under Crisis Standards of Care*, University of California Critical Care Bioethics Working Group, April 16, 2020.
 ² Veterans Health Administration (VHA), "Meeting the Challenge of Pandemic Influenza: Ethical Guidance for Leaders and Health Care Professionals in the Veterans Health
 Administration." The Pandemic Influenza Ethics Initiative Work Group of The Veterans Health

Administration's National Center For Ethics In Health Care, July 2010.

 Centers for Disease Control and Prevention, "Ethical Considerations for Decision Making Regarding Allocation of Mechanical Ventilators during a Severe Influenza Pandemic or Other Public Health Emergency." Ventilator Document Workgroup, Ethics Subcommittee of the Advisory Committee to the Director, Centers for Disease Control and Prevention, July 1, 2011

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1	\circ <u>Duty to Care</u> . ³ Healthcare workers have a duty to care for patients in their charge,
2	and patients should not be abandoned.
3	• <u>Duty to Promote the Public Good</u> . There is also a broader duty to promote the
4	common good of the population as a whole, which includes the duty to save the
5	greatest number of lives possible during a pandemic. ⁴
6	• Duty to Steward Scarce Resources. ⁵ There is a duty to carefully steward scarce
7	resources, such as isolation beds, in order to save as many lives as possible and
8	protect the health of the population as a whole, including vulnerable populations
9	such as those housed in congregate living settings.
10	• <u>Respect for Persons</u> . ⁶ There is a duty to recognize and respect the inherent and equal
11	dignity and worth of each human being. This general principle implies, among other
12	things:
13	• <u>Respect for Individual Rights and Freedoms</u> . Individuals have inherent rights and
14	freedoms that must be respected; however, it is also widely accepted that in
15	pandemic situations it might be necessary to curtail some individual liberties
16	during a public health crisis. ⁷ This provides the ethical justification for widely
17	utilized measures such as stay-at-home orders, for example.
18	California Department of Public Health, "California Department of Public Health.
19	Standards and Guidelines for Healthcare Surge during Emergencies: Foundational Knowledge." California Department of Public Health, undated.
20	³ Institute of Medicine, "Guidance for Establishing Crisis Standards of Care for Use in Disaster Situations: A Letter Report (2009)." Washington, DC: The National Academies Press,
21	2009 Institute of Medicine, "Crisis Standards of Care: A Systems Framework for Catastrophic
22	Disaster Response: Volume 1: Introduction and CSC Framework (2012)." Washington, DC: The National Academies Press, 2012
23	⁴ Cf. footnote 2, CDC, CDPH. ⁵ Institute of Medicine, "Guidance for Establishing Crisis Standards of Care for Use in
24	Disaster Situations: A Letter Report (2009)." Washington, DC: The National Academies Press, 2009
25	New York State Task Force on Life and the Law, New York State ⁶ Centers for Disease Control and Prevention, "Ethical Guidelines in Pandemic Influenza."
26	Kathy Kinlaw and Robert Levine for the Ethics Subcommittee of the Advisory Committee to the Director, Centers for Disease Control and Prevention, February 15, 2007
27	⁷ California Department of Public Health, "California Department of Public Health. Standards and Guidelines for Healthcare Surge during Emergencies: Foundational Knowledge."
28	California Department of Public Health, undated.
	4

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1	• <u>Justice</u> . There is a duty to enact only those policies that are just. Justice has many
2	aspects:
3	• <u>Proportionality</u> . ⁸ Crisis planning policies and restrictions must be appropriately
4	limited in time and scale according to the scope and severity of the crisis.
5	• Protection for Populations with Special Needs or Vulnerabilities. Plans and
6	decisions should take into account the special needs or vulnerabilities of certain
7	vulnerable populations. ⁹
8	\circ <u>Duty to Collect Information</u> . ¹⁰ There is a duty to collect the full range of relevant
9	facts before making decisions—such as the decision to transfer care to another
10	facility—and to revisit decisions as new information emerges.
11	8. In a pandemic situation, these principles may sometimes be in tension. For instance,
12	the obligation to provide a needed resource to the extremely ill may conflict with the need to
13	promote the common good by giving those same resources to people who are more likely to
14	survive. In such situations it is widely accepted that medical institutions must shift from their
15	traditional focus on individual patients to a focus on populations, the common good, and the
16	protection of civil society—that is, a shift to crisis standards of care. ¹¹ As the National Academy
17	
18	
19	⁸ Institute of Medicine, "Guidance for Establishing Crisis Standards of Care for Use in Disaster Situations: A Letter Report (2009)." Washington, DC: The National Academies Press,
20	⁹ California Department of Public Health, "California Department of Public Health
21	Standards and Guidelines for Healthcare Surge during Emergencies: Foundational Knowledge." California Department of Public Health, undated.
22	¹⁰ Centers for Disease Control and Prevention, "Ethical Guidelines in Pandemic Influenza." Kathy Kinlaw and Robert Levine for the Ethics Subcommittee of the Advisory
23	Committee to the Director, Centers for Disease Control and Prevention, February 15, 2007 Lee Daugherty-Biddison et al, "Maryland Framework for the Allocation of Scarce Life-
24	sustaining Medical Resources in a Catastrophic Public Health Emergency," August 24, 2017 ¹¹ Institute of Medicine, "Guidance for Establishing Crisis Standards of Care for Use in
25	Disaster Situations: A Letter Report (2009)." Washington, DC: The National Academies Press, 2009.
26	National Academies of Sciences, Engineering, Medicine, "Rapid Expert Consultation," Brett Giroir and Robert Kadlec, March 28, 2020
27	California Department of Public Health, "California Department of Public Health Standards and Guidelines for Healthcare Surge during Emergencies: Foundational Knowledge."
28	California Department of Public Health, undated.

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1 of Medicine (formerly, Institute of Medicine) wrote, "Ultimately, this shift represents not a 2 rejection of ethical principles but their embodiment."¹²

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9. There is no single formula for resolving every ethical conflict during a pandemic; but 4 following the guidelines of various federal and state agencies as well as the ethical principles 5 applicable in a pandemic, institutions should generally balance the competing needs in this way: 6 seek to achieve the greatest medical benefit for the greatest number of patients, in ways that show 7 proper respect for the intrinsic worth of each person, the moral equality of all people, and the 8 requirements of justice.

9 10. The State of California's Health and Human Services Agency echoes this principle in 10 the Crisis Standards of Care published by the California Department of Public Health (CDPH). 11 During a pandemic situation such as the one we currently face, "The goal of health care becomes 12 population-based care rather than individual care. Population based care means that resources are used to do the greatest good for the greatest number rather than providing all resources needed to 13 14 treat each individual. Physicians will need to balance the obligation to save the greatest possible number of lives against the need to care for each individual."¹³ This requires that ethical 15 16 judgments take into account the needs of entire populations and not just the desires or preferences 17 of individual patients. This applies equally to mental health patients as well as medical patients. 18 11. DSH patients are among California's very vulnerable populations during this 19 pandemic for the following reasons. Due to the high rates of schizophrenia and other severe 20 mental illnesses, DSH patients have much higher rates of morbidity and mortality than the general 21 population, including higher rates of many of the co-occurring medical conditions that increase 22 risk of mortality with COVID-19 infection: it has been repeatedly documented in the research 23 literature that patients with schizophrenia have higher rates of diabetes, hypertension, and obesity than the general population.¹⁴ Also, DSH has extremely large and vulnerable congregate living 24 ¹² *Ibid*. 25 ¹³ California Department of Public Health COVID-19 Healthcare System Mitigation 26 Playbook, March 2020. Cf. also California Department of Public Health Standards and Guidelines for Healthcare Surge During Emergencies.

27 ¹⁴ Liao, Chun-Hui, et al. "Schizophrenia patients at higher risk of diabetes, hypertension and hyperlipidemia: a population-based study." Schizophrenia research 126.1-3 (2011): 110-116. 28

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1 environments. Social distancing is extremely challenging for this patient population in this 2 setting: DSH hospitals cannot guarantee the minimum of 6 feet distancing for patients and staff 3 due to the crowded, congregate living situation. The vast majority of DSH beds are in dorm style 4 rooms, often with five beds to a room. Hygiene habits such as handwashing and cough covering 5 can be challenging for this patient population, given the severity of their mental illness and their 6 consequent cognitive impairments. DSH has very high bed occupancy rates, therefore they have 7 very limited quarantine/isolation space for those who do become infected with the novel 8 coronavirus. For these reasons, DSH patients constitute a very vulnerable population in terms of 9 higher morbidity and mortality in the event of a COVID-19 outbreak.

10 12. This brings me to the question at the crux of the Court's November 19 order, namely, 11 can or should the Court presume cognizable harm to class members whose transfer to inpatient 12 care is delayed beyond the typical timelines and for reasons outside the Court-approved 13 exceptions to those timelines? In my opinion, under crisis standards of care, such as the ones 14 currently in effect statewide during the COVID-19 pandemic, the answer to this question is no. 15 The harms, both individual and collective, of immediate transfer clearly outweigh the harms of a 16 temporary delay of transfer. The Court should therefore not presume cognizable harm to those 17 whose transfer to DSH may be delayed during the pandemic.

18 13. During the COVID-19 pandemic, premature transfer from CDCR to DSH of COVID19 positive patients or patients awaiting COVID-19 testing risks unnecessarily exposing to
20 infection the untested patients who are transferred (by exposing them to populations in both
21 facilities), as well as risks of spreading infection to the other patients in the DSH system. These
22 elevated risks are present without commensurate medical or psychiatric benefit to the individual
23 who is transferred. Thus, the balance of benefits and harms clearly benefits delaying these
24 transfers during the pandemic.

25

Auquier, Pascal, et al. "Mortality in schizophrenia." *Pharmacoepidemiology and drug safety* 16.12 (2007): 1308-1312.

Sugai, Takuro, et al. "High prevalence of obesity, hypertension, hyperlipidemia, and diabetes mellitus in Japanese outpatients with schizophrenia: a nationwide survey." *PLoS one* 11.11 (2016): e0166429.

28 Depp, Colin A., et al. "Association of obesity and treated hypertension and diabetes with cognitive ability in bipolar disorder and schizophrenia." *Bipolar disorders* 16.4 (2014): 422-431.

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1 14. I am aware that in his testimony at the October 23 evidentiary hearing, Dr. Pablo 2 Stewart, an expert witness for Plaintiffs, maintained that the 55 patients who were awaiting 3 transfer to DSH are suffering potential irreparable harm by this delay of transfer (cf. page 258 of 4 trial transcript). After searching the trial transcript, I could not find evidence to support this 5 claim, and furthermore, the evidence presented by Dr. Stewart lacks merit. He mentions that 6 some of these patients are suffering from major depression, others from psychotic disorders, and 7 that they require inpatient treatment for these conditions—treatment that, his argument suggests, 8 they cannot receive at CDCR. But it is my understanding from the trial transcript that CDCR 9 employs a spectrum of mental health workers, including psychiatrists and psychologists, to treat 10 depression, psychotic disorders, and other mental illnesses within that system.

11 15. There are effective medication and psychotherapeutic interventions for both of these 12 conditions that can be provided for patients in CDCR facilities. Inpatient hospitalization allows 13 for close monitoring if the individual is deemed suicidal or otherwise dangerous as a result of a 14 mental illness; but according to the trial transcript this monitoring is likewise available in the 15 CDCR system. Indeed, individuals awaiting transfer to DSH from CDCR are already in the 16 CDCR mental health delivery system and are receiving care. So, Dr. Stewart's conclusion that 17 these 55 patients must be transferred without delay to DSH to avoid suffering irreparable harm is 18 meritless and lacks foundation.

19 16. For these reasons, I concur with DSH leadership that extraordinary measures to limit 20 the introduction of the virus into the DSH facilities is imperative and ethically justifiable. Indeed, 21 it would be unethical not to institute such extraordinary measures, as this would place a very 22 vulnerable population at unnecessary risk of potentially lethal infections. These measures taken 23 by DSH include, among others: Restricting almost all admissions, except for a small group of parolees from prison • 24 (with quarantining these admissions for 14 days per CDC guidance). 25 Restricting all visitation, except in special circumstances. 26 Screening all staff using a COVID-19 screening tool and temperature check. 27

• Cancelling all centralized treatment and movement and sheltering units in place.

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2	• Piloting staff reduction and telehealth protocols to reduce crowding and preserve health care personnel.
3	• Instituting a mandatory staff masking protocol once sufficient PPE becomes available
4	(most staff have been masking for over two weeks).
5	• Identifying and preserving spaces for isolation and quarantine where this is feasible.
6	• Restricting almost all discharges.
7	• Restricting new admissions.
8	
9	17. In their recent published guidance specifically for this pandemic, CDPH indicated,
10	"Patients with confirmed or suspected COVID-19 should not be sent to a long-term care facility
11	via hospital discharge, inter-facility transfer, or readmission after hospitalization without first
12	consulting the local public health department. This will prevent the introduction of COVID-19
13	into a highly vulnerable population with underlying health conditions in a congregate setting."
14	DSH hospitals face an analogous situation regarding transfers from CDCR. Thus, per the
15	mutually agreed upon arrangement between DSH and CDCR, transfers from CDCR to DSH are
16	being carefully vetted with infection prevention protocols until the pandemic is under control in
17	California. This is entirely consistent with this CDPH guidance regarding discharge to long-term
18	care facilities or inter-facility transfer.
19	18. This is an entirely sensible and ethically justified policy during the pandemic, since
20	patients continue to have psychiatric care available to them at CDCR while awaiting transfer to
21	DSH. According to CDPH, community transmission from mild or asymptomatic persons is a
22	reality in California and poses a risk to our populations: "The reality is that [COVID-19] infection
23	already exists in many California communities but has been undetected because the vast majority
24	of cases have a mild spectrum of illness The movement to mitigation also signals the need to
25	further engage the healthcare delivery system to prepare for a rapidly rising number of cases." ¹⁵
26	Moreover, "Once the virus has demonstrated the ability to spread through a community, the
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<u> </u>	¹⁵ California Department of Dublia Health COVID 10 Healthears System Mitigation

 ¹⁵ California Department of Public Health COVID-19 Healthcare System Mitigation
 Playbook, March 2020.

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health care delivery system then must shift its response activities to both contain the virus and
 prepare for mitigation of large-scale healthcare system impacts. It is this preparation to preserve
 space capacity, supply chain, and the staffing workforce that determines the health care facility's
 ability to handle the incoming healthcare needs during mitigation."

- 19. This is precisely what DSH is doing, including by limiting transfers from CDCR
 during the current outbreak of COVID. *All it takes is one transferred asymptomatic COVID patient to introduce a highly virulent infection with a high mortality rate to an extraordinarily vulnerable and confined patient population*. CDPH encourages just the kind of creative thinking
 I see from DSH and CDCR to mitigate the impact of this virus: "Sharing real-time creative
 solutions during this rapidly evolving pandemic will need to happen quickly among key leaders
 of large health care systems and public health."¹⁶
- 12 20. Indeed, as CDPH explains, during this pandemic, the healthcare system's typical 13 policies and protocols—including even state and federal statues—will need to be suspended in 14 order to serve the aim of saving as many lives as possible and protecting vulnerable populations 15 from infection: "The State of California recognizes that state and federal statutes will need to be 16 waived when health care facility needs go beyond regulatory changes and require higher level 17 modifications to existing laws governing care delivery such as scope of practice, *movement* 18 between systems of care, transfer of patients, EMTALA [Emergency Medical Treatment and 19 Labor Act], medical licensing of retired inactive or outside of California clinicians, use of 20 supplies and equipment beyond manufacturer's recommended use, Medicaid or Medicare 21 requirements, and liability and immunity protections, among others." Crisis standards of care 22 during a pandemic call for flexibility and include temporary suspension of measures that are 23 routine during non-pandemic times.

24 21. Transferring patients from CDCR to DSH without current infection control vetting
25 protocols while COVID-19 is still an active threat would be premature, unconscionable, and
26 unethical. It would place every patient being transferred at unnecessary risk (by exposing him to
27 an entirely new population) and would likewise place all the patients in the DSH system at

¹⁶ Ibid.

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1	unnecessary risk (by exposing them to potential carriers of the virus). This could lead to
2	unnecessary and preventable morbidity and mortality. And to the extent that psychiatric services
3	are available at both at DSH and CDCR, patients awaiting transfer during the pandemic will be
4	afforded access to psychiatric care.
5	22. The kinds of Crisis Standards of Care for COVID-19 endorsed by CDPH are likewise
6	endorsed by federal healthcare systems and authorities, including the Veteran's Administration, ¹⁷
7	the National Academy of Medicine (formerly Institute of Medicine), ¹⁸ which was commissioned
8	by the CDC ¹⁹ in 2009 to devise crisis standards of care for pandemics and other disaster
9	situations. My opinions here are therefore supported by guidelines published by state and federal
10	agencies, as well as the published bioethics research literature on healthcare system ethics during
11	pandemics.
12	I declare under penalty of perjury under the laws of the United States of America that the
13	foregoing is true and correct.
14	Executed in Orange, California on December 7, 2020.
15	/S/ AARON KHERIATY
16	AARON KHERIATY, M.D.
17	(original signature retained by attorney)
18	
19	
20	
21	
22	¹⁷ Veterans Health Administration (VHA), "Meeting the Challenge of Pandemic Influenza: Ethical Guidance for Leaders and Health Care Professionals in the Veterans Health
23	Administration." The Pandemic Influenza Ethics Initiative Work Group Of The Veterans Health Administration's National Center For Ethics In Health Care, July 2010
24	¹⁸ Institute of Medicine, "Guidance for Establishing Crisis Standards of Care for Use in Disaster Situations: A Letter Report (2009)." Washington, DC: The National Academies Press,
25	2009. Cf. also, Institute of Medicine, "Crisis Standards of Care: A Systems Framework for Catastrophic Disaster Response: Volume 1: Introduction and CSC Framework
26	(2012)." Washington, DC: The National Academies Press, 2012. ¹⁹ Centers for Disease Control and Prevention, "Ethical Considerations for Decision
27	Making Regarding Allocation of Mechanical Ventilators during a Severe Influenza Pandemic or Other Public Health Emergency." Ventilator Document Workgroup, Ethics Subcommittee of the
28	Advisory Committee to the Director, Centers for Disease Control and Prevention, July 1, 2011.
	11

Exhibit A

<u>Aaron Kheriaty, MD</u>

Professor of Psychiatry, UCI School of Medicine Director, Medical Ethics Program, UCI Health

UC Irvine, Department of Psychiatry, Bld 56, Rm 401 101 The City Drive, Orange, CA 92868 mobile: 949-874-4009 | office: 714-456-8774 | fax: 714-456-5112 <u>akheriat@hs.uci.edu</u>

Licensure

-Medical Board of California: Physician's and Surgeon's License (A89144) -DEA number BK9083433 -Diplomate, American Board of Psychiatry and Neurology (Board Certified), Jan

-Diplomate, American Board of Psychiatry and Neurology (Board Certified), Jar 2009, renewed Dec 2019, certificate number 59280.

Residency Training

6/2003 – 6/2007, <u>University of California, Irvine</u> Department of Psychiatry: Completed residency training in Psychiatry

Education

<u>MD: Georgetown University School of Medicine</u>, 8/1999 - 5/2003 *Center for Clinical Bioethics, Georgetown*, Bioethics research completed under direction of Prof. Edmund Pellegrino, former Chairman of the U.S. President's Council on Bioethics.

<u>B.A University of Notre Dame:</u> 8/1995 - 5/1999 Degree: Honors Philosophy and Pre-Medical Sciences, *Magna Cum Laude*

Employment

8/2007 – Present, <u>University of California, Irvine, Department of Psychiatry</u> Health Sciences Assistant Clinical Professor, 2007 – 2013 Health Sciences Associate Clinical Professor, 2013 – 2019 Health Sciences Clinical Professor, 2019 – present

Professional Positions

Director, Medical Ethics Program, UC Irvine Health & UCI School of Medicine 2013 - Present

Director of Medical Education, UCI Department of Psychiatry

Duties include directing required six-week clerkship in psychiatry for third-year medical students, and all electives in psychiatry for fourth-year medical students 2007 – Present

Director, Ethics and Behavioral Science Courses, MS1 & MS2

Required courses for all first- and second-year students in the School of Medicine 2012 – Present

Director of Residency Training, UCI Department of Psychiatry

July 2009 - November 2013

Associate Director of Residency Training, UCI Department of Psychiatry Sept 2008 – June 2009

<u>Founding Director, UCI Psychiatry and Spirituality Forum</u> Sponsored grants from Metanexus Institute, the George Washington Institute for Spirituality and Health, the Templeton Foundation, the UCI Department of Psychiatry, the Reynold's Foundation, and private benefactors. 2006 – 2011

Professional Committees & Consulting

<u>Member, UC Office of the President (UCOP) Critical Care Bioethics</u> <u>Working Group</u>

2020 - Present

- Published, *Allocation of Scarce Critical Resources under Crisis Standards of Care*: guidance for all UC Health hospitals in the allocation of ventilators during the COVID-19 pandemic.
- Published, Allocation Guidelines for Remdesivir if Demand Outstrips Supply
- COVID Vaccine Allocation Institutional Guidelines

<u>Consultant, State of California—Health and Human Services Agency,</u> <u>California Department of Public Health</u>

2020 - Present

- Allocation of Bamlanivimab during COVID pandemic
- <u>California SARS-CoV-2 Pandemic Crisis Care Guidelines</u>

COVID Task Force, County of Orange—Healthcare Agency

2020 – Present

COVID Vaccine Allocation Policy

<u>Medical Ethics Committee, UCI Health</u> **Committee Chair**, 2008 – Present Committee Member, 2007 – Present

Medical Ethics Committee, CA Department of State Hospitals

Committee Chair, 2017 – Present

Advisory Committee, UCI Center for Medical Humanities 2018 – Present

<u>Co-Director, Executive Committee, UCI Medical Humanities Program</u> Collaborative Program with School of Medicine, School of Humanities, and School of the Arts. Supported by UCI Provost's Interschool Excellence Grant. 2014 – 2018

<u>Academy for Innovation in Medical Education</u> UC Irvine School of Medicine, 2014 – Present

<u>Clinical Competence Committee, Psychiatry Residency Training Program</u> 2012 – Present

<u>Mentoring Junior Faculty Mentoring Committee, UCI Dept of Psychiatry</u> 2020 – Present

UCI School of Medicine Admissions Committee 2007

<u>UCI Department of Psychiatry Applicant Ranking Committee</u> 2004 – 2013

UCI Dalai Lama Scholarship Award Selection Committee 2007 – 2008

Worked with Vice-Chancellor for Student Affairs to select the undergraduate who receives this annual scholarship.

<u>UCI Delegate: Spirituality in Higher Education Conference, UCLA</u> 2006

Delegate appointed by Vice Chancellor to represent UCI at a conference sponsored by the Higher Education Research Institute

Professional journal peer-reviewer, Medical Education, 2014 - present.

Professional journal peer-reviewer, *Linacre Quarterly*, 2012 - present.

Professional journal peer-reviewer, *Philosophy, Ethics, and Humanities in Medicine*, 2017 – present.

Grants

UCI Provost's Interschool Excellence Initiative Grant, 2013 – 2016. Three-year, \$150,000 per year (\$450,000 total) Medical Humanities Program, Co-Director (with Prof. Shapiro and Prof. Haynes)

Fieldstead and Company Bioethics Program, UCI School of Medicine, 2011 – Present. \$50,000 – \$60,000 per year.

Metanexus Institute, 2006 – 2009 Local Societies Initiative Grant (Primary Investigator) Three-year, \$30,000 grant to support scholarly, educational, and community outreach initiatives of the UCI Psychiatry and Spirituality Forum

George Washington Institute for Spirituality and Health, 2006 – 2009 Spirituality and Medicine Award for Curriculum Development for Psychiatry Residency Training Programs (Primary Investigator) Three year, \$30,000 grant to support curriculum development, research.

The Center for Theology and the Natural Sciences, Templeton Foundation, 2007 Science and Transcendence Advanced Research Series (STARS) Program \$20,000 planning grant. "Brain Connectivity and Contemplative Experiences": Structural brain MRI study of long-term effects of contemplation and meditation.

The Donald W. Reynolds Foundation, 2008 – 2011 <u>Comprehensive Programs to Strengthen Physicians Training in Geriatrics</u> Co-investigator (10% time). Curriculum development for improving training in geriatric psychiatry for third-year medical students and psychiatric residents.

Fetzer Institute: Inter-Generational Mentoring Community: Fostering an Emergence and Transfer of Leadership in Higher Education, 2009 – 2013 Co-investigator: Three-year project on team of six educators/administrators: Formation Mentoring Community project development.

Professional Awards

County of Los Angeles Board of Supervisors: Commendation. For contributions made in the work of integrating spirituality and mental health for the benefit of citizens of Los Angeles, 5 June 2009.

Excellence in Teaching Award

Given by the Office of Medical Education, UCI School of Medicine.

- 1. 2011 2012 Academic Year, Excellence in Clinical Sciences
- 2. 2012 2013 Academic Year, Excellence in Pre-Clinical Sciences
- 3. 2013 2014 Academic Year, Excellence in Pre-Clinical Sciences
- 4. 2018 2019 Academic Year, Excellence in Pre-Clinical Sciences

Other Appointments and Affiliations

Scholar, <u>Paul Ramsey Institute</u> Center for Bioethics and Culture, San Francisco, CA 2016 – Present

Senior Fellow, Director of Health & Human Flourishing Program Zephyr Institute, Palo Alto, CA 2016 – Present

Books

1. **Kheriaty A**, Bauman D, Taylor E, Felton P, *Transformative Conversations: A Guide to Mentoring Communities Among Colleagues in Higher Education*, Jossey-Bass (2013).

Book Chapters

1. **Kheriaty A**. "From Beneficence to Love: Adequate care for the mentally incapacitated," in *Incapacity and Care: Controversies in Healthcare and Research*, Ed. Helen Watt. The Linacre Center, Oxford: 2009, 24-36.

Publications – Peer Reviewed

- 1. Shapiro J, **Kheriaty A**, Pham T, Chen Y, Clayman R. (2019). The Human Kindness Curriculum: An Innovative Preclinical Initiative to Highlight Kindness and Empathy in Medicine. *Education for Health*, 32(2), 53-61.
- Chan S, Liao S, Randall LM, Kheriaty A, Dayal R, Kuo JV, Nguyen J, Vega C, Bota R, Barrios C, et al. Implementation of a multidisciplinary palliative and supportive care education lecture series. *Journal of Clinical Oncology*. American Society of Clinical Oncology (ASCO). November 01, 2017. 35: 144-144. DOI: 10.1200/JCO.2017.35.31
- Aggarwal S. Kheriaty A. "Same behavior, different provider: American medical students' attitudes towards reporting risky behaviors committed by doctors, nurses, and classmates," *AJOB Empirical Bioethics*, 8 Sep 2017. http://dx.doi.org/10.1080/23294515.2017.1377780
- Kheriaty A. Social Contagion Effects of Physician-Assisted Suicide: Commentary on "How Does Legalization of Physician-Assisted Suicide Affect Rates of Suicide?" Southern Medical Journal 2015;108:10, 605-606. PMID: 26437189.
- 5. Bota RG, Novac A, **Kheriaty A**. Longitudinal reflections of the recent political shifts in the prescription of opiates. *Mental Illness*, 2015; 7:6066, 28-29.
- Kheriaty A. Dementia and its Challenges A Problem-Based Learning Case. *POGOe - Portal of Geriatric Online Education*; 2012 Available from: http://www.pogoe.org/productid/20770.
- 7. **Kheriaty A**. "Philosophical Anthropology and the Psychological Sciences: A Response to E. Christian Brugger", *Edification*, Vol 3, Issue 1, 2009, 23 25.

- 8. **Kheriaty A**. "From Beneficence to Love: Adequate Care for the Mentally Incapacitated," *CMQ*, May 2008, Vol. LVIII.
- 9. Kheriaty A. "The Return of the Unconscious," *Psychiatric Annals*, Vol. 7, Number 4, April, 2007, pp. 285-287.
- 10. **Kheriaty A**., editor and contributing author: "Psychiatry Board Review Study Guide, Part 1, Part 2, Part 3," *Resident & Staff Physician*, March, April, May 2007.
- 11. Kheriaty A. editor, Unit 4: "Mental Health", *Georgetown University Interacting* with the Medical Humanities Curriculum (peer reviewed MedEd Portal).
- 12. **Kheriaty A**. "Sterilizing the Erotic", peer reviewed essay, *Georgetown University Interacting with the Medical Humanities Curriculum* (peer reviewed MedEd Portal).
- 13. **Kheriaty** A. "The Death of Matthew Allen", nonfiction narrative, *Georgetown University Interacting with the Medical Humanities Curriculum* (peer reviewed MedEd Portal).
- 14. Wise TN, **Kheriaty A**, Sheridan M, "Attribution of Cause by Patients With Depression, Anxiety, and Alexithymia", *Psychological Reports*, 2004; 94 (1), pp. 259-263.

Publications - Opinion, Essays, Book Reviews (Selected)

- 1. Kheriaty, A, "<u>The Latent Fascism of Today's Anti-Fascists</u>," Part III of The Crisis of Our Times, *Arc Digital*, 12 July 2020.
- 2. Kheriaty, A, "<u>Revolutions vs. The Total Revolution</u>," Part II of The Crisis of Our Times, *Arc Digital*, 26 June 2020.
- 3. Kheriaty A, "Police Brutality and the Suicide of Revolutionary Violence," Part I of The Crisis of Our Times, *Arc Digital*, 4 June 2020.
- 4. Kheriaty A, et. al., <u>Moral Guidance on Prioritizing Care During a Pandemic</u>," *Public Discourse*, 5 April 2020.
- 5. Kheriaty A, "<u>The Impossible Ethics of Pandemic Triage</u>," TheNewAtlantis.com, April 3, 2020.
- 6. Kheriaty A. "Battlefield Promotions," TheNewAtlantis.com, March 18, 2020.
- 7. Kheriaty A. "First, Take No Stand," *The New Atlantis*, Number 59, Summer 2019, pp. 22-35.
- 8. Kheriaty A. "<u>The Physician's Vocation</u>," *MercatorNet*, 14 September 2018. Reprinted in *Bioethics Outlook* (forthcoming).
- 9. Kheriaty A. "<u>Card-Carrying Precadavers</u>," *First Things*, Number 284, June/July 2018.
- 10. Kheriaty A. "Cyber Self-Harm," First Things web exclusives, 29 January 2018.
- 11. Kheriaty A. "Dying of Despair," *First Things*, Number 275, August/September 2017.
- 12. Kheriaty A. "Killer Show," First Things web exclusives, 8 May 2017.
- 13. Kheriaty A. "<u>Why are doctors afraid of the word 'death'?</u>" *Washington Post*, 26 October 2015.
- 14. Kheriaty A. "<u>The dangerously contagious effect of assisted-suicide laws</u>," *Washington Post*, 20 November 2015.

- 15. Kheriaty A. "<u>The Assisted-Suicide Movement Goes on Life Support</u>," *The Wall Street Journal*, 22 May 2015.
- 16. Kheriaty A. "Apostolate of Death," First Things, April 2015.
- Kheriaty A. "<u>Hooked Up and Tied Down: The Neurological Consequences of</u> <u>Sadomasochism</u>," *The Public Discourse*, 17 February 2015. <u>Reprinted</u> in *MercatorNet*, 25 February 2015.
- 18. Kheriaty A, McHugh P. "<u>Assisted suicide places most vulnerable at risk</u>," *Orange County Register*, 13 February 2015.
- 19. Kheriaty A. "Sterilizing the Erotic," Plexus: UC Irvine School of Medicine Journal of Arts and Humanities, 2014.
- 20. Kheriaty A. "<u>The Era of the Narcissist</u>," *First Things* web exclusives, 16 Feb 2010 (review of, *The Narcissism Epidemic*, by J Twenge and K Campbell).
- 21. Kheriaty A. "Who Can Heal a Guilty Conscience," Mercatornet, 25 March 2010.
- 22. Kheriaty A. "<u>God and the Unconscious</u>", *The Global Spiral*, also published in Conference Proceedings for "Subject, Self, and Soul: Transdisciplinary Approaches to Personhood", July 13-17, 2008, Metanexus Institute Conference, Madrid, Spain.
- 23. Kheriaty A. "<u>Cosmetic Drugs for Mental Makeovers: Antidepressants and Our</u> <u>Discontents</u>," *The Digest*, Volume 6, Issue 6, April 2006.

Invited Lectures (selected)

- 1. *Rioters and Revolutionaries: On the Origins of Our Crisis.* Napa Institute Conference, August 2020.
- 2. *Maintaining Our Mental Health During the COVID Pandemic*. Napa Institute Conference, August 2020.
- 3. *Bioethics and the Human Future*. Invited seminar for UC Office of the President Legal, UCOP Legal Summer Research Fellows, 23 June 2020.
- 4. <u>*The Physician's Vocation.*</u> Keynote address, White Coat Ceremony, UCI School of Medicine, 3 August 2018.
- 5. *The Moral Foundations of Medicine*. Stanford University School of Medicine, sponsored by Zephyr Institute, Palo Alto, CA, 19 January 2018.
- 6. *Dying of Despair: Healing the Depressed, the Lonely, and the Vulnerable.* Napa Institute Conference, Napa CA, 27 July 2017.
- 7. *Positive Psychology: The Science of Happiness and the Virtues*. Napa Institute Conference, Napa CA, 29 July 2017.
- Capacity and Informed Consent in Pellegrino's Philosophy of Medicine, Georgetown University Pellegrino Center for Clinical Bioethics, 4th Annual Pellegrino Seminar, 24 March 2017.
- 9. *Germline Gene Editing: Perspectives from Science, Ethics, and Law*, ABOG Foundation/Kenneth J. Ryan Ethics Symposium, American Society for Reproductive Medicine Annual Conference, Salt Lake City, UT, 17 October 2016.
- Biotechnology and the Human Future: Human-Animal Hybrids, Three Parent Embryos, and the New Genetic Engineering, Napa Institute Conference, Napa CA, 7 July 2016.

- 11. *Transhumanism and the Human Future: A Panel Discussion*, Stanford University, sponsored by the Zephyr Institute, Palo Alto, CA, 8 January 2016.
- 12. *Depression: An Integrated Approach*, Gathering on Mental Health and the Church, Saddleback Church, Lake Forest CA, 9 October 2015.
- 13. The Art of Dying. TEDx UC Irvine, 3 October 2015.
- 14. *Problems with Physician Assisted Suicide*, University Synagogue, Irvine CA, 23 September 2015.
- Public Debate on Doctor Assisted Suicide with Erwin Chemerinsky, UC Irvine, 3 September 2015. Sponsored by UCI Medical Ethics Program, Medical Humanities Initiative, Department of Psychiatry, and School of Law.
- 16. Senate Bill 128 Debates: Problems with Legalizing Physician Assisted Suicide, UC Center, Sacramento CA, 4 August 2015.
- 17. *Discussion with Medical Ethicists on Aid in Dying*, Sacramento Press Club, 30 June 2015.
- 18. *Testimony in opposition to Senate Bill 128*, <u>California Senate Health Committee</u> <u>Hearings</u>, 25 March 2015.
- 19. *Problems with Senate Bill 128*, California Medical Association Council on Legislative Affairs, Sacramento, 20 March 2015.
- 20. *Gender: Perspectives from the Biological, Psychological, and Social Sciences.* Presentation and panel discussion, Humanum Colloquium, Rome (17 November 2014).
- 21. Spirituality and Mental Health (plenary lecture), and Depression: An Integrated Approach (workshop), Gathering on Mental Health and the Church, Lake Forest, CA (28 March 2014).
- 22. *Depression and Spiritual Health* (plenary lecture and workshop), and panel discussion, MindYourHeart Conference, Biola University (1 February 2014).
- 23. *Is Hope Healthy for Body and Soul?* Institute for Psychological Sciences, Cardinal Newman Distinguished Lecture Series, Washington DC (14 November 2013).
- 24. *Ethical Decisions at the End of Life*: Ethics and Spiritual Care at the End of Life CME Symposium, UC Irvine (18 May 2011)
- 25. Compassion in Medicine in the Christian Tradition. Compassion in Medicine Panel Discussion, UC Irvine Student Center (10 May 2011)
- 26. Compassion in Medicine: The Doctor Patient Relationship. Compassion in Medicine Course, UC Irvine Department of Biology (5 April 2011)
- 27. *Conscience from a Psychiatric Perspective*. Integritas Institute, University of Illinois, Chicago: Healthcare Ethics Symposium on Conscience (20 Nov 2009)
- 28. Spiritually Oriented Psychotherapy: An Introduction. Keynote address, Southern California Mental Health and Spirituality Conference, sponsored by the Los Angeles Department of Mental Health and the California Institute of Mental Health, Los Angeles (5 June 2009)
- 29. Cosmetic Drugs for Mental Makeovers: Antidepressants and Our Discontents. Georgetown University Center for Clinical Bioethics (2003), Grand Rounds, U.C. Irvine Department of Psychiatry (2004). Metanexus Institute International Conference: "Continuity and Change: Perspectives on Science and Religion," Philadelphia, PA (June 2006)

- 30. *Psychopharmacology and Human Enhancement*. "California Health Systems Pharmacists Annual Conference," Disneyland Hotel, Anaheim, CA (2005)
- 31. Ethics at the End of Life: The Death of Terry Schiavo. Continuing Medical Education Conference, "End of Life: Medical, Religious, Philosophical, and Spiritual Perspectives," U.C. Irvine Medical Center, Irvine, CA (2006)
- Metabolic Consequences of Psychotropic Therapy. 8th Annual American Foundation for Suicide Prevention, Greater Los Angeles Division's Conference, UCLA, Los Angeles, CA (18 November 2006)
- Model Psychiatry Residency Programs on Religion and Spirituality. "American Psychiatric Association: 160th Annual Conference," San Diego, CA (24 May 2007)
- 34. *Ethical Care for the Mentally Incompetent*. Linacre Center for Healthcare Ethics, International Conference, "Incapacity and Care: Moral Problems in Healthcare and Research", St. Mary's University College, London, England (5 July 2007)
- 35. *Developing Personal Integrity*. UCI 2007 Summer Multicultural Leadership Institute: Lecture at workshop for incoming undergraduate freshman (10 August 2007).
- 36. Ethical Care at the End of Life. Grand Rounds Lecture, Los Alamitos Hospital, CA (10 December 2007). Grand Rounds Lecture, Lakewood Regional Medical Center, CA (15 February 2008). Grand Rounds Lecture, Orange Coast Medical Center, CA (29 April 2008)
- 37. Stress Management and Substance Abuse Prevention. MCLE Conference for Lawyers, Thomas More Society of Orange County (26 January 2008)
- 38. Spirituality and Mental Health: A Panel Discussion. Moderator and Panelist, sponsored by Psychiatry and Spirituality Forum. (10 April 2008)
- 39. *God and the Unconscious*. "Subject, Self, and Soul: Transdisciplinary Approaches to Personhood", July 13-17, 2008, Metanexus Institute Conference, Madrid, Spain.

Radio Interviews (selected)

- 1. NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: "<u>What Are The Ethical Considerations When Deciding Who Gets</u> <u>The Coronavirus Vaccine First?</u>" 6 August 2020.
- NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: "<u>COVID-19: Difficult Ethical Considerations For Care And</u> <u>Treatment</u>," 27 March 2020.
- NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: "<u>A Look At The Legal And Personal Ramifications Of Sperm</u> <u>Donation. What's Your Story?</u>" 22 August 2019.
- 4. NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: "<u>Embryo Mix Up at SoCal Fertility Center Sheds Lights On Lack</u> <u>Of Regulations For Clinics Nationwide</u>," 11 July 2019.
- 5. NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: "<u>The impending ubiquity of DNA-sequencing for infants and the bioethical challenges</u>," 23 April 2018.

- 6. Relevant Radio Network, <u>weekly series on mental health and bioethics</u> (episodes available <u>here</u>), A Closer Look with Sheila Liaugminas, 2017 Present.
- 7. NPR Los Angeles (Southern California Public Radio, KPCC 89.3), <u>One year of legal doctor-assisted suicide in California</u>, with Michelle Faust, 9 June 2017.
- 8. KQED Public Radio (NPR/PBS) San Francisco, Forum with Michael Krasney, "California Readies for Aid-in-Dying Law to Take Effect," 9 June 2016.
- 9. NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: "Ethical, legal questions surrounding Pentagon initiative to freeze eggs, sperm," 5 February 2015.
- 10. NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: <u>Oregon family's decision to let 4-year-old daughter choose death</u> sparks ethical debate, 28 October 2015.
- 11. KABC Los Angeles 790, The Peter Tilden Show: The End of Life Option Act, 20 October 2015.
- 12. NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: <u>What's next now that CA becomes 5th state in nation to allow</u> assisted suicide for the terminally ill?, 6 October 2015.
- 13. Capital Public Radio (NPR Sacramento), <u>California Governor Signs Hard-Fought</u> <u>End-Of-Life Legislation</u>, News with Ben Adler, 5 October 2015.
- NPR Los Angeles (Southern California Public Radio, KPCC 89.3), Air Talk with Larry Mantle: <u>Health committee ends consideration of 'End of Life Option Act</u>,' 7 July 2015.
- 15. CBS Los Angeles Radio (KNX 1070): SB 128 Passes CA Senate, interview with Mike Landa, 4 June 2015.
- 16. NPR Los Angeles (Southern California Public Radio, KPCC 89.3): <u>'Assisted</u> <u>suicide' or 'aid in dying?' The semantic battle over SB 128</u>, 4 June 2015.
- 17. NPR Los Angeles (Southern California Public Radio, KPCC 89.3): <u>Doctors</u> debate the ethics of assisted suicide, 18 May 2015.
- 18. CBS Los Angeles Radio (KNX 1070): The End of Life Option Act, interview with Margaret Carrero, 7 April 2015.
- 19. Capitol Public Radio (NPR Sacramento): Insight With Beth Ruyak, "<u>Right-To-Die' Legislation In California</u>," 25 March 2015.
- 20. Regular guest 2012 present: The Drew Mariani Show, Relevant Radio Network.
- 21. Regular guest 2015 present: A Closer Look with Sheila Liaugminas, *Relevant Radio Network*.

Television Interviews (selected)

- 1. *Fox News*, Happening Now, "<u>Scientists hope human organs grown in animals can save lives</u>," 13 January 2016.
- 2. CBS News Los Angeles, "Brown Signs Hard-Won Right-To-Die Legislation," 5 October 2015.
- 3. *Fox News*, "Should you have the right to die: California bill would allow assisted suicide," 9 July 2015.
- 4. CBS News Los Angeles, "California's end of life legislation," 7 July 2015.

- 5. America Tonight on *Al Jazeera*, "Debates over CA Senate Bill 128," 24 June 2015.
- 6. CBS News Los Angeles, "Medical Association No Longer Opposes 'End-Of-Life Option' Act As Bill Goes Through Legislature," 20 May 2015.
- 7. America with Jorge Ramos, *Fusion*, "Discussion: Brittany Maynard's end of life option," a debate with Dan Diaz (husband of Brittany Maynard), 19 May 2015.
- 8. *NBC Los Angeles*, NewsConference with Conan Nolan, "Problems with End-of-Life Legislation," 29 March 2015. Links to 2 segments <u>here</u> and <u>here</u>.
- 9. "Depression: An Integrated Approach." Interview with Scott Hahn and Regis Martin, *EWTN*, June 2014.
- 10. "Understanding and Overcoming Depression." Five-part interview with Johnnette Benkovic, *EWTN*, 27 Sept 2013.

Podcast Interviews

- 1. "Dr. Aaron Kheriaty on America's pandemic response and the frontline realities confronting our physicians and medical personnel," *Life, Liberty, and Law,* 20 April 2020.
- "Hospital Ethics in the Face of COVID-19," The Accad & Koka Report, 1 April 2020
- 3. "Simple Ways to Cope with Stress During Challenging Times," Good Things Radio with Brooke Taylor, 8 April 2020.
- 4. "<u>Is Physician Assisted Suicide Good Policy? A discussion with Dr. Aaron</u> <u>Kheriaty</u>," *The Paradocs*, 20 December 2019.
- 5. "<u>Healing Depression with Dr. Aaron Kheriaty</u>," *The Lila Rose Show*, 24 July 2019.

Print Interviews, Media Citations, Reviews of My Work

- 1. "<u>Could California's psych hospitals be ordered to admit inmates with COVID?</u>" By Lee Romney, *Cal Matters*, 18 November 2020.
- 2. "<u>These Daily Habits Will Make You Happier</u>," In Person with Aaron Kheriaty, by Joan Frawley Desmond, *National Catholic Register*, 23 August 2017.
- 3. "Doctors want to end life support for fatally ill baby; his parents want to try experimental therapy," by Alexandra Zavis and Christina Boyle, *Los Angeles Times*, 4 July 2017.
- 4. "<u>Euthanasia: Quebec, Dutch, Belgian and Oregon laws a 'mess'</u>," by Debra Vermeer, *News Weekly*, 11 February 2017
- 5. "<u>California Aid-In-Dying Law Concerns Some Latinos, Blacks</u>," by Julie Watson, *Associated Press*, 8 June 2016. Also ran in *ABC News*, *Fox News*, *The New York Times, Orange County Register*.
- 6. "<u>As California's End of Life act goes into effect, some doctors question where to</u> <u>draw the line</u>," by Soumya Karlamangla, *Los Angeles Times*, 6 June 2016.
- 7. "Suicides Are up What to Do About It," by John Burger, Aleteia, 9 May 2016.
- "<u>Will California's end-of-life law push lethal drugs over costlier care?</u>" by Soumya Karlamangla, *Los Angeles Times*, 18 October 2015.

- 9. "<u>California Governor Signs Assisted Suicide Bill Into Law</u>," by Ian Lovett and Richard Perez-Pena, *The New York Times*, 5 October 2015.
- 10. "Joy, concern over passage of California's right-to-die law," by Deepa Bharath, *The Orange County Register*, 5 October 2015.
- 11. "<u>Will Gov. Jerry Brown Legalize Assisted Suicide in California?</u>" by Joan Desmond, *National Catholic Register*, 15 September 2015.
- 12. "Will Sacto enact this bad end-of-life bill?" by Debra J. Saunders, *San Francisco Gate*, 14 September 2015.
- 13. "<u>California Legislature Approves Assisted Suicide</u>," by Ian Lovett, *The New York Times*, 11 September 2015.
- 14. "Laws allowing assisted suicide can have far-reaching impact," *The Oklahoman*, by The Oklahoman Editorial Board, 20 July 2015.
- 15. "Aid in dying' causes a Democratic split: Divisive bill pits Latino Democrats v. wealthy coastal legislators," by Steven Greenhut, *San Diego Union-Tribune*, 6 July 2015.
- 16. "CMA's Change of Stance on Assisted Suicide Bill Sets Off Wave of Controversy," *Physicians News Network*, 25 May 2015.
- 17. "Assisted-suicide debate focuses attention on palliative, hospice care," by Lisa Schencker, *Modern Healthcare*, 16 May 2015.
- 18. "Physician-assisted suicide supporters try courts to win legalization," by Lisa Schencker, *Modern Healthcare*, 16 May 2015.
- 19. "In end-of-life debate on Sen. Bill Monning's bill, words matter," by Jason Hoppin, *Santa Cruz Sentinel*, 6 April 2015.
- 20. "Assisted Suicide Promotes a Culture of Death," by Kathryn Jean Lopez, *Chicago Sun Times*, 1 April 2015.
- 21. "Is there a time to end life," by Deepa Bharath, *Orange County Register*, 1 March 2015.
- 22. "Woman suing California for her right to die at home," by Stephanie Gallman, *CNN*, 13 February 2015.
- 23. "Beyond the Misconceptions about Depression," interview with Kathleen Naab, *Zenit*, 9 September 2014.
- 24. "Depression and Suicide," National Catholic Register, 11 October 2014.
- 25. "Getting Free: Combatting Depression Today," interview with Kathryn Jean Lopez, *National Review Online*, 27 February 2014.
- 26. "Psychiatrist merges faith and medicine: science and religions intersect at Psychiatry and Spirituality Forum started by a UCI doctor," by Courtney Perkes, *Orange County Register*, 23 March 2008.
- 27. "A public death," (interview on the topic of suicide prevention), by Courtney Perkes, *Orange County Register*, 23 April 2008.
- 28. "Patrols, railing are new span's key safeguard," by Lynn Safranak, *Omaha World Herald*, 2 October 2008.
- 29. "Mind and Soul: A unique forum on psychiatry and spirituality at the University of California, Irvine," an interview with Carolyn Monahan, *Mercatornet*, 29 November 2007.
- 30. "On Campus at UCI: Forum Fosters Rare Dialogue on Faith," *Daily Pilot*, 26 August 2007.

Expert Witness Testimony

- 1. Expert witness for the defense, *Becerra v. Duffy*, Case No. 900-2017-000223, 2020.
- 2. Expert witness for the defense (Attorney General, State of AZ), declaration dated 11/16/20 in *PPAZ v. Brnovich*.
- 3. Expert witness for the defense (Attorney General, State of MS), declaration dated 4/24/20 in *Jackson v. Dobbs*, Case No. 3:18-CV-171–CWR-FKB.
- 4. Expert witness for the defense (LA County), Haftevani v. LA County, 2019-2020.
- 5. Expert witness for the defense (Kaiser Permanente), *Battaglia v. Golden*, 2019-2020.
- 6. Expert witness for the defense (Attorney General, State of IN), declaration dated 8/26/19 and deposition in *WWHA v. Hill*, Case No.: 1:18-cv-01904-SEB-MJD.
- Expert witness for the defense (Attorney General, State of IN), declaration dated 10/4/16 in *PPINK v. Commissioner, Indiana State Department of Health*, Case No. 1:16-cv-01807-TWP-DML
- 8. Declaration for the plaintiffs dated 6/7/16 in *Ahn v. Hestrin*, Case No. RIC 1607135.
- 9. Testimony in CA mental health LPS conservatorship writ hearings, Riese petition hearings, and 5250 writ hearings.

Community Service

- 1. Board of Directors, J Serra High School, San Juan Capistrano, CA, 2012 2013.
- 2. <u>Board of Directors</u>, *Center for Bioethics and Culture*, Pleasant Hill, CA, 2015 2019.
- 3. <u>Board of Directors</u>, *Seymour Institute for Black Church and Policy Studies*, Boston, MA, 2016 – Present.

	Case 2:90-cv-00520-KJM-DB Document 697	6-2 Filed 12/07/20 Page 1 of 12				
1 2 3 4 5 6 7 8 9 10 11	XAVIER BECERRA, State Bar No. 118517 Attorney General of California MONICA N. ANDERSON, State Bar No. 182970 Senior Assistant Attorney General ADRIANO HRVATIN, State Bar No. 220909 Supervising Deputy Attorney General ELISE OWENS THORN, State Bar No. 145931 NAMRATA KOTWANI, State Bar No. 308741 KYLE A. LEWIS, State Bar No. 201041 LUCAS HENNES, State Bar No. 278361 Deputy Attorneys General 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 210-7318 Fax: (916) 324-5205 E-mail: Elise.Thorn@doj.ca.gov Attorneys for Defendants	 PAUL B. MELLO, State Bar No. 179755 LISA M. POOLEY, State Bar No. 168737 SAMANTHA D. WOLFF, State Bar No. 240280 LAUREL E. O'CONNOR, State Bar No. 305478 HANSON BRIDGETT LLP 1676 N. California Boulevard, Suite 620 Walnut Creek, CA 94596 Telephone: (925) 746-8460 Fax: (925) 746-8490 E-mail: PMello@hansonbridgett.com Attorneys for Defendants ROMAN M. SILBERFELD, State Bar No. 62783 GLENN A. DANAS, State Bar No. 270317 ROBINS KAPLAN LLP 2049 Century Park East, Suite 3400 Los Angeles, CA 90067-3208 Telephone: (310) 552-0130 Fax: (310) 229-5800 E-mail: RSilberfeld@RobinsKaplan.com 				
12	IN THE UNITED STATES DISTRICT COURT					
13	FOR THE EASTERN DISTRICT OF CALIFORNIA					
14 15	SACRAMEN	TO DIVISION				
16 17	RALPH COLEMAN, et al.,	2:90-cv-00520 KJM-DB (PC)				
17 18 19	Plaintiffs, v.	DECLARATION OF A. MEHTA, M.D., IN SUPPORT OF DEFENDANTS' RESPONSE TO NOVEMBER 19, 2020 ORDER				
20	GAVIN NEWSOM, et al.,					
21	Defendants.					
22						
23	I, A. Mehta, M.D., declare as follows:					
24	1. I am the Deputy Director of the Statewide Mental Health Program for the					
25	California Department of Corrections and Rehab	ilitation (CDCR). I have held this position in an				
26	appointed or Acting position since July 2020, and	d before that I was the Statewide Chief of				
27	Telepsychiatry. I have worked at CDCR since Ju	aly 2013, during which time I have also served as				
28	a staff telepsychiatrist, site director for residency	training, institutional clinical lead, and acting				

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statewide Chief of Psychiatry. I attended residency in Adult Psychiatry, and completed
 fellowships in both Child & Adolescent Psychiatry and Forensic Psychiatry. I submit this
 declaration in support of Defendants' response to the Court's November 19, 2020 order regarding
 the transfer of patients to inpatient care.

5 2. At the request of the Office of the Attorney General, during the week of November 6 30, 2020 I reviewed the records of 11 CDCR mental health patients identified in a November 13 7 declaration by Dr. Pablo Stewart addressing the treatment those patients received while awaiting 8 transfer to Department of State Hospital (DSH) inpatient beds. For the evidentiary hearing 9 conducted on October 23, I had previously performed a cursory review of the 55 patients that 10 were awaiting transfer, and my staff assessed a subgroup of 11 patients identified by Dr. Stewart, 11 but we did not have the necessary information to perform a detailed review. Given the number of 12 patients and the sheer magnitude of the voluminous health records, it was impossible to divine 13 what Dr. Stewart was reviewing for the hearing or the opinions that he was forming. After the 14 hearing, I was informed for the first time that Dr. Stewart had examined records for his self-15 selected group of 11 inmates covering the two-month period preceding his testimony. Below are 16 my analyses of the treatment received by these 11 patients based on a review of these patients' 17 medical records for the months preceding Dr. Stewart's declaration, utilizing his patient 18 identification system.

19 3. Novel coronavirus 2019 has forced CDCR to walk a tightrope while wearing a 20 blindfold. This unexpected pandemic has caused harm to the global society that could not be 21 predicted, and the virus's stubborn nature has frustrated medicine's attempts to limit its spread. 22 This has made CDCR's mission to keep our patients safe and improve their health, both mentally 23 and physically, incredibly challenging. Trying to balance these competing demands of limiting 24 the spread and providing mental health care has consumed many thousands of hours of work in 25 CDCR over the past nine months during which officials have devised innovative strategies to 26 provide care in the COVID-19 environment. Bringing treatment to the patients is a highly 27 individualized process, and every case requires a deep knowledge of that particular patient. As 28 such, someone unfamiliar with CDCR systems and capabilities is ill-equipped to sweep in and

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1 claim superior knowledge of all the nuances involved in these decisions, especially when the 2 system is responding to something no one has ever seen before. We carefully considered the 3 needs of each of the 11 mental health patients selected by Dr. Stewart for his declaration in 4 collaboration with the physicians and therapists that know them best, as we do with all patients. 5 Some of the patients described here were held at the appropriate level-of-care, but were not 6 transferred to their least restrictive housing setting, during a time when quarantines and 7 lockdowns were the norm everywhere anyway. Some of these patients were held at a higher 8 level-of-care than their referral indicated, while we waited for the data that could help guide 9 future decisions, removing that blindfold.

10 4. When patients are referred to DSH to receive an Intermediate Care Facility (ICF) 11 level-of-care, CDCR clinicians know exactly what type of care is being requested for those patients. That is because CDCR provides its own patients with an ICF level-of-care every day, 12 13 and we share the knowledge and experience that makes that possible. In the months between 14 acceptance and transfer to DSH for these patients, CDCR was generally able to provide the care 15 that they required. What Dr. Stewart's selected list of 11 mental health patients addressed in his 16 declaration does not show is the patients that needed more mental health care, and were 17 transferred to other CDCR facilities to receive it. This list is presented as representative, but it is 18 in fact a cherry-picked list of borderline cases that were inherently complicated to resolve. The 19 patients that improved were taken off the transfer list; the patients that decompensated were 20 moved to higher levels of care. The relative few that were particularly difficult to categorize are 21 the ones that we see in Dr. Stewart's declaration. As shown below, many of these patients 22 received robust mental health services, clinical encounters, and recreational therapy while waiting to transfer, and I disagree with Dr. Stewart's blanket assertion that patients suffered harm during 23 24 this period.

Patient 3

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5. Patient 3 has been diagnosed with Schizoaffective Disorder Depressive Type,
 Unspecified Schizophrenia Spectrum or Other Psychotic Disorder, and Unspecified Depressive
 Disorder. The patient was compliant with a medication regimen of lithium, haloperidol,

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1 quetiapine, venlafaxine, mirtazapine, prazosin, and PRN haloperidol, benztropine, and 2 hydroxyzine. Patient 3 was admitted to California Health Care Facility's Psychiatric Inpatient 3 Program (CHCF-PIP) at the ICF level-of-care on 3/30/20. DSH was notified of the referral to an 4 unlocked dorm on $\frac{6}{24}$, accepted the patient on $\frac{7}{14}$, and the patient was transferred to 5 DSH's Atascadero State Hospital (ASH) on 10/14/20. In the three months between acceptance 6 and transfer, Patient 3 remained at the ICF level-of-care and there were no lapses in individual 7 care or treatment planning. Patient 3 attended individual sessions fairly regularly (at least one 8 clinical contact with a mental health clinician or provider per week), and was offered 9 approximately three to five treatment groups per week, but their attendance was poor due to 10 reported safety concerns and anxiety around others. Nonetheless, the patient was working with 11 the clinician to challenge himself to attend more groups. Symptom changes were addressed with 12 adjustments to the patient's regimen as appropriate, with reports of some success, and the patient 13 was regularly on STEP 3, which allowed access to all of property, leisure groups, yard, and TV 14 on a regular basis. Patient 3 had one episode of superficial self-harm with a staple on 9/18/20, 15 requiring no significant medical attention. Although noteworthy, this was an improvement over 16 the patient's behavior in the preceding months. In my clinical opinion, the attention and 17 treatment that Patient 3 received before transfer to DSH was ardent, effective, and produced some 18 obvious reductions in symptoms. There was no obvious or objective evidence that the delay was 19 the cause of any harm suffered by this patient.

20

Patient 7

21 6. Patient 7 has been diagnosed with Major Depressive Disorder, Post Traumatic 22 Stress Disorder, and Opioid Use Disorder. The patient has been compliant with prescribed 23 medications, including olanzapine, paroxetine, buspirone, clonidine, hydroxyzine, and PRN 24 hydroxyzine. Patient 7 was referred to the ICF level-of-care from a California Medical Facility 25 (CMF) Mental Health Crisis Bed MHCB (MHCB), of which DSH was notified on 7/3/20. 26 Patient 3 was accepted on 7/8/20, and was transferred to ASH on 10/20/20. In the three-and-a-27 half months between acceptance and transfer, Patient 7 remained at the MHCB level-of-care. The 28 patient received daily individual contacts or appointments from either psychiatry or psychology

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during this time, and had weekly Interdisciplinary Treatment Team meetings (IDTTs), all with good attendance. Patient 7 was offered approximately one leisure/recreation group once per week and regular yard time, and attendance increased steadily. The patient's antipsychotic dose was increased to better target their refractory psychotic symptoms, including paranoia, with some reported improvement. The patient was given in-cell activities, and stayed on full issue of regular property, as opposed to receiving suicide-resistant products. In my clinical opinion, there was no obvious or objective evidence that the delay was the cause of any harm suffered by this patient.

Patient 10

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7. 9 Patient 10 has been diagnosed with Schizophrenia, Amphetamine Use Disorder, 10 and Alcohol Use Disorder. The patient has been on an involuntary medication order (under 11 California Penal Code section 2602), and was compliant with a psychiatric medication regimen of 12 clozapine, divalproex sodium, fluoxetine, and buspirone. Patient 10 at ASH from 11/28/18 until 13 1/16/20, after which they were housed at the Enhanced Outpatient (EOP) level-of-care. The 14 patient was eventually referred back to the ICF level-of-care on 7/17/20. DSH was notified of the 15 referral on 7/18/20, accepted the patient on 7/28/2020, and transferred to ASH occurred on 16 10/20/20. In the three months between acceptance and transfer, Patient 10 engaged meaningfully 17 in their treatment. Some medication adjustments and increases were undertaken, resulting in a 18 reduction is symptoms. The patient's clozapine dose was increased, and the mirtazapine was 19 switched to fluoxetine to better target depressive symptoms. The patient was briefly hospitalized 20 in the MHCB from 8/4/20 to 8/14/20 due fleeting suicidal ideation related to relatively minor 21 incompatibilities with a cellmate. During this time, Patient 10 was seen daily by either a 22 psychiatrist or mental health clinician, and five-day follow ups occurred after the patient returned to EOP housing. The patient's condition was notably improved upon return, where they attended 23 24 required IDTTs and uninterrupted contact with psychiatrist and other mental health clinicians. In 25 my clinical opinion, there was no obvious or objective evidence that the delay was the cause of 26 any harm suffered by this patient.

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Patient 11

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2 8. Patient 11 has been diagnosed with Schizoaffective Disorder Bipolar Type. The 3 patient was compliant with medications including quetiapine, bupropion, diphenhydramine, and 4 PRN chlorpromazine, quetiapine, and diphenhydramine. Patient 11 was admitted to CMF PIP at 5 the Acute Psychiatric Program (APP) level-of-care on 3/12/20. DSH was notified of the referral 6 to ICF on 7/18/20, accepted the patient on 7/23/20, and transferred occurred on 10/19/20. In the 7 three months between acceptance and transfer, the patient remained at the highest level-of-care 8 (APP), and the intense surveillance provided in that setting allowed quick identification of the 9 self-harm incident/suicide attempt on or around 7/30/20, which resulted in placement of 20 10 sutures. Around the time of this incident, and in order to implement improved treatment 11 strategies to target symptoms that contributed to the incident, thoughtful and significant 12 medication adjustments were made, which resulted in improved symptoms. Bupropion was 13 added and titrated upwards to better target depressive symptoms; low-dose chlorpromazine was 14 added to better target refractory psychotic symptoms and related agitation/anxiety; and the 15 quetiapine dose was increased to better target refractory psychotic symptoms. Following these 16 adjustments, the patient voiced improvement of some symptoms and stated that their auditory 17 hallucinations were well controlled. Patient 11 weekly contacts with her clinician or psychiatrist. 18 Though the patient refused confidential sessions with their clinician, they regularly attended 19 confidential sessions with a psychiatrist, with whom the patient had a particularly positive and 20 trusting relationship. Patient 11 attended weekly IDTTs, and was offered approximately three to 21 seven treatment groups a month. Although attendance at treatment groups was sporadic, the 22 patient engaged day room activities. Regarding the delay in transfer, Patient 11 was quoted as 23 saying: "I do not have a problem waiting, I just wanted know what is going on [referencing the 24 explanation provided to them about COVID-19 outbreaks causing changes to safe transfer timelines]." In my clinical opinion, there was no obvious or objective evidence that the delay was 25 26 the cause of any harm suffered by this patient.

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Patient 15

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2 9. Patient 15 has been diagnosed with Major Depressive Disorder and Unspecified 3 Schizophrenia Spectrum and Other Psychotic Disorder. The patient was compliant with 4 medications including sertraline, bupropion, and PRN olanzapine and hydroxyzine. Patient 15 5 was referred from the MHCB level-of-care to APP on 5/28/20, and after showing significant 6 improvement, was referred to ICF on 7/16/20. DSH was notified of the referral on 7/28/20, and 7 accepted the patient on 8/4/20. The patient is currently housed in an EOP setting, awaiting 8 transfer. In the four months since acceptance by DSH, Patient 15's sertraline dose was increased 9 in September 2020 in an effort to better target some refractory anxiety and depressive symptoms, 10 and high-dose bupropion has continued. The patient's treatment includes weekly clinician 11 contacts and seeing a psychiatrist once every 30 days, both of which they attend on a regular 12 basis. The patient is offered approximately 8-10 treatment groups per week, with attendance 13 slightly over 50 percent. Records show that Patient 15 is future oriented, and hopeful about an 14 upcoming Parole Board Hearing in January 2021. The patient is in regular contact with their 15 mother, who is a source of emotional support, and reports they are "coping more" and pulling 16 their hair out less. While the patient asks when they will transfer to ICF on a regular basis and is 17 frustrated by the delays caused by the pandemic, there is no obvious or objective evidence that 18 this delay is the cause of any harm to the patient.

19

Patient 16

20 10. Patient 16 has been diagnosed with Schizoaffective Disorder, Bipolar Type and 21 Delusional Disorder, with a Disability Placement Program code of DD2. The patient was 22 compliant with medications including lithium, divalproex sodium, haloperidol, benztropine, and 23 PRN olanzapine and hydroxyzine. DSH was notified of Patient 16's referral from EOP to the ICF 24 level-of-care on 7/28/20, and accepted the patient on 8/3/20. The patient is currently housed in an 25 EOP setting while awaiting transfer. In the four months since acceptance, several medication 26 adjustments were made to better target some of Patient 16's refractory symptoms. Haloperidol 27 was increased, and lithium was added to the medication regimen. Also during this time, the 28 patient was briefly hospitalized in the MHCB from 10/5/20 to 10/20/20. Special local attention

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1 has been given to Patient 16 in many aspects of their care—due to anxiety brought about by 2 shared or dorm housing, the patient has been housed in a fashion to give them additional privacy. 3 Patient 16 was offered about 4.74 hours of group treatment per week, but refused about 3.84 4 hours per week. As the team has become more familiar with this patient, they have noted that the 5 patient's baseline level of functioning appears low and the current level of functioning appears 6 close to it. Patient 16 remains symptomatic (paranoia, anxiety, depressed mood), and is frustrated 7 and reports some anxiety related to transfer delays. While not an ideal situation, the patient's 8 mental health needs are being met while awaiting transfer.

9

Patient 24

10 11. Patient 24 has been diagnosed with Unspecified Depressive Disorder and 11 Unspecified Anxiety Disorder. The patient's medications fluoxetine, atomoxetine, and 12 diphenhydramine were discontinued on 9/29/20, and has remained stable without them. Patient 13 16 was initially referred to APP level-of-care on 6/2/20, but the referral was changed to ICF on 14 $\frac{8}{6}$ DSH was notified of the referral on $\frac{8}{12}$, and accepted the patient on $\frac{8}{21}$, and 15 they are currently housed in the MHCB setting while awaiting transfer. Of note, the patient is 16 unable to transfer to EOP within the same institution during this waiting period due to custody 17 status. In the four months since acceptance, Patient 16's MHCB stay has been uneventful, and 18 they have been seen daily by his psychologist and met timelines for psychiatry contacts and 19 IDTTs. No treatment groups were offered to the patient, but they attended recreation/leisure 20 groups once a week. Some consideration was given to changing the patient's referral to the EOP 21 level-of-care, but due to the serious nature of a relatively recent suicide attempt, it was 22 recommended that they remain at the ICF. Patient 24 reports frustration with the transfer delays, 23 but on the whole, they are receiving sufficient mental health treatment while awaiting transfer.

24

Patient 28

12. Patient 28 has been diagnosed with Schizoaffective Disorder Bipolar Type and
Intellectual Disability, and has a Disability Placement Program designation of DD2. The patient
was on an involuntary medication order, and was compliant with medications including lithium,
olanzapine, benztropine, and PRN haloperidol and hydroxyzine, and they were reportedly helpful

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1 for his mental health conditions. Patient 28 was referred to the ICF level-of-care from EOP, 2 where they were housed in the Administrative Segregation Unit (ASU) for non-disciplinary 3 reasons. DSH was notified of the referral on $\frac{8}{13}/20$, accepted the patient on $\frac{8}{21}/20$, and transfer 4 occurred transferred on 10/19/20. Five days after the ICF referral, Patient 28 became more 5 distressed about their hallucinations and delusions, and stated suicidal ideations. The patient was 6 referred to the MHCB, where they superficially cut their wrist and swallowed plastic. Patient 7 28's presentation and anxiety improved steadily until they requested discharge back to ASU, and 8 to rescind their ICF referral. The patient attended nearly all their individual contact appointments 9 in the MHCB, including 23 sessions with the recreational therapist, and was eating well, had full 10 property issue, and appeared calmer and less distressed. Patient 28 was discharged from the 11 MHCB back to EOP ASU, with the ICF referral. In the EOP ASU, the patient was offered and 12 attended five to six treatment groups per week, two weekly individual sessions with a clinician, 13 and an initial evaluation by a psychiatrist. Patient 28's treatment history and condition indicate 14 that there is no evidence that a delay in transferring them to DSH inpatient care caused any harm.

15

Patient 38

16 13. Patient 38 has been diagnosed with ADHD, Other Specified Trauma Disorder, and 17 Other Specified Disruptive, Impulse Control, and Conduct Disorder. The patient was prescribed 18 the medications olanzapine, divalproex sodium, fluoxetine, and hydroxyzine until they were 19 discontinued 9/17/20, and was later prescribed lamotrigine from 10/20/20. DSH was notified of 20 the patient's referral to the ICF level-of-care from the MHCB on 8/28/20, and accepted the 21 patient on 9/3/20. The patient is currently housed in an EOP setting, awaiting transfer. In the 22 three months since acceptance for transfer, Patient 38 began in the MHCB, with safety concerns 23 due to a debt that they owed on the yard. After the ICF referral was made, the patient was tapered 24 off of psychiatric medications as their endorsed symptoms of psychosis were not believable, and there was a suspicion that the patient was "cheeking" the medications. After completing the 25 26 taper, Patient 38 reported that they were feeling good and requested to be discharged. Since 27 being in the EOP yard, the patient reported feeling "great." The patient reported on 10/12/20 that 28 they did not believe they needed to go to ICF, unless they were referred to ASH because he could

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use a "cheeseburger and milkshake." On 10/20/20, the patient was prescribed lamotrigine with a
 slow titration. Patient 38 attended their individual contacts and treatment groups, though it
 appears they were not offered consistently (at least partially due to quarantine and COVID-19
 related program status changes). Based on the records, there is no indication that the delay in
 transfer has caused harm suffered to this patient.

Patient 39

6

7 14. Patient 39 has been diagnosed with Unspecified Schizophrenia and Other 8 Psychotic Disorder, Major Depressive Disorder, and Major Neurocognitive Disorder due to 9 Traumatic Brain Injury. The patient has a long history of paranoid delusions and psychotic 10 symptomology, which CDCR staff has continually monitored. The patient was prescribed 11 lamotrigine, which was discontinued on 9/11/20 due to side effects, and an atomoxetine trial from 12 $\frac{9}{23}$ to $\frac{10}{120}$, which was discontinued due to non-compliance. DSH was notified of Patient 13 39's referral to the ICF level-of-care from an EOP program on 8/28/20, accepted the patient on 14 9/3/20, and the patient was transferred to DSH's Coalinga State Hospital on 10/29/20. In the two 15 months between acceptance and transfer, Patient 38 has had individual contacts with their 16 primary clinician one to two times per week, and with their psychiatrist every one-to-two weeks. 17 Although the patient appeared to have only two treatment groups offered in the last month, did 18 not have as many IDTTs as he should have, and documentation of treatment should be improved, 19 staff were responding to his needs. There is no indication that Patient 38 has been harmed by the 20 delay in transfer to DSH.

21

Patient 52

15. Patient 52 has been diagnosed with Schizophrenia. The patient was compliant
with his prescribed medications including quetiapine and PRN hydroxyzine. The patient was
referred to the ICF level-of-care from the MHCB on 9/28/20, and DSH accepted the patient on
10/01/20. When referred two months ago, Patient 52 was in the MHCB due to the severity of
their mental illness. While in the MHCB, the patient was medication compliant and their thought
process became more linear, the patient was calmer, and was more appropriate staff and peers.
Patient 52 was discharged to the EOP level-of-care on 9/29/20, with an ICF referral. The patient

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1 has good rapport with their treatment team as evidenced by attending most confidential individual 2 sessions, as well as participating in some structured out-of-cell therapeutic services that they are 3 offered. While the patient is reporting and has been observed to be adjusting better to their 4 current housing, it is clear that the patient is severely mentally ill and in need of ongoing 5 treatment. While no harm can be attributed to a delayed transfer to inpatient care, Patient 52 has 6 continued to exhibit signs of disorganized and bizarre behavior due to psychotic symptoms that 7 significantly impair their functioning, and additional follow up and documentation is important to 8 ensure that the patient's needs are being met.

9 16. These patients received all possible treatment while they were being monitored 10 and cared for without exposing them and all of their peers to the greater risks that accompanied 11 transfers during the ongoing COVID-19 pandemic. This has occurred while California was 12 undergoing various restrictions on travel, gatherings, and services due to concerns over virus 13 spread, and CDCR was reeling from the outbreak at San Quentin and lessons learned from that 14 experience. We saw that we could offer these patients individual treatment from skilled professionals, both psychiatrists and psychologists; medication adjustments to address changes in 15 16 symptoms and coping strategies; collaborative, inter-disciplinary team meetings to discuss 17 strategies and successes; support groups wherever it was safe to do so, for both our patients and 18 our staff; recreational activities; in cell activities; psychoeducation; social contact, leisure 19 activities, distractions, and everything else we could think of. These treatment components were 20 based on CDCR's experience providing care to these same patients over decades, and under the 21 careful supervision of the court through its monitors.

17. CDCR's mental health patients were not harmed by delays in transfers to DSH
inpatient beds, and they were protected from other risks associated with COVID-19. CDCR
weighed the benefits of know mental health treatment against the virus's unknowns and made the
safest decision possible for its patients. Given the potential mortality associated with COVID-19,
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1	I agree with the risk balancing that CDCR officials have exercised when examining any transfer
2	to a DSH inpatient bed, and will continue to work with my colleagues to perform this assessment
3	going forward.
4	
5	I declare under penalty of perjury under the laws of the United States of America that the
6	foregoing is true and correct.
7	Executed in San Quentin, California on December 7, 2020.
8	<u>/s/A. Mehta</u>
9	A. Mehta, M.D.
10	(original signature retained by attorney)
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	Case 2:90-cv-00520-KJM-DB Document 697	6-3 Filed 12/07/20 Page 1 of 21				
1 2 3 4 5 6 7 8 9 10 11	XAVIER BECERRA, State Bar No. 118517 Attorney General of California MONICA N. ANDERSON, State Bar No. 182970 Senior Assistant Attorney General ADRIANO HRVATIN, State Bar No. 220909 Supervising Deputy Attorney General ELISE OWENS THORN, State Bar No. 145931 KYLE A. LEWIS, State Bar No. 201041 LUCAS HENNES, State Bar No. 278361 NAMRATA KOTWANI, State Bar No. 308741 Deputy Attorneys General 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 210-7318 Fax: (916) 324-5205 E-mail: Elise.Thorn@doj.ca.gov Attorneys for Defendants	 PAUL B. MELLO, State Bar No. 179755 LISA M. POOLEY, State Bar No. 168737 SAMANTHA D. WOLFF, State Bar No. 240280 LAUREL E. O'CONNOR, State Bar No. 305478 HANSON BRIDGETT LLP 1676 N. California Boulevard, Suite 620 Walnut Creek, CA 94596 Telephone: (925) 746-8460 Fax: (925) 746-8490 E-mail: PMello@hansonbridgett.com Attorneys for Defendants ROMAN M. SILBERFELD, State Bar No. 62783 GLENN A. DANAS, State Bar No. 270317 ROBINS KAPLAN LLP 2049 Century Park East, Suite 3400 Los Angeles, CA 90067-3208 Telephone: (310) 552-0130 Fax: (310) 229-5800 E-mail: RSilberfeld@RobinsKaplan.com 				
12	IN THE UNITED STATES DISTRICT COURT					
13	FOR THE EASTERN DISTRICT OF CALIFORNIA					
14 15	SACRAMEN	TO DIVISION				
16	RALPH COLEMAN, et al.,	2:90-cv-00520 KJM-DB (PC)				
17 18 19	Plaintiffs, v.	DECLARATION OF JONATHAN M. MEYER, M.D., IN SUPPORT OF DEFENDANTS' RESPONSE TO NOVEMBER 19, 2020 ORDER				
20	GAVIN NEWSOM, et al.,					
21	Defendants.					
22						
23	I, Jonathan M. Meyer, M.D., declare:					
24	1. I have been asked by the California I	Department of State Hospitals (DSH) to offer my				
25	professional opinion regarding any potential harr	n suffered by a certain California Department of				
26	Corrections and Rehabilitation (CDCR) mental h	ealth patient who was awaiting admission to				
27	DSH at the time of an evidentiary hearing in this	matter which took place on October 23, 2020. I				
28	submit this declaration in support of Defendants	response to the Court's November 19 order. I				

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have personal knowledge of the facts stated in this declaration and, if called to testify to those
 facts, I could and would do so competently.

- 2. My opinions in this declaration are based on my medical education, training,
 research, and my over 20 years of experience as a psychiatrist and researcher, as well as my
 familiarity with the medical and psychiatric literature. They are also based on my expertise in
 psychopharmacology, particularly psychopharmacology as it relates to persons diagnosed with
 schizophrenia. These opinions are my own and do not represent those of the institutions with
 which I am affiliated.
- 9

Professional Background and Experience

10 3. I received my M.D. degree from Harvard Medical School in 1988. I became a board-11 certified psychiatrist and neurologist in 1998. Since 1998, I have held several Professorships in 12 Psychiatry including at the University of Southern California, Oregon State Hospital, and 13 University of California San Diego. I have also served as the Principal Investigator for NIH 14 grant-funded research involving medication resistance and sensitivity in persons with mental 15 illness, particularly schizophrenia. I am currently a Psychopharmacology Consultant for DSH-16 Patton. In my capacity as a Pharmacological Consultant, I serve as an expert advisor on which 17 medications might have the best clinical outcome(s) on patient with a particular mental health 18 condition(s). During the course of this work, I have conducted hundreds of consultations, 19 involving review and analysis of complex mental diagnoses, treatment outcomes and 20 expectations, and determinations regarding the levels of treatment appropriate for given patients 21 with certain mental health disorders.

22

4. Additionally, I serve as the Deputy Editor for Current Psychiatry. Current

Psychiatry is the leading peer-reviewed source of practical, evidence-based information that is
valued by psychiatric clinicians. I have held this position since 2015, and this position requires
me to remain up to date and well-versed in the latest psychiatric research and data. Additionally,
in my capacity as the Principal Investigator of grant-funded research, I have studied thousands of
patient-records, specifically patients diagnosed with schizophrenia. This experience is relevant to
my expertise regarding the professional judgments and opinions provided in this declaration.

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- 5. I have lectured and published extensively on psychopharmacology, and am the sole
 author of the chapter on "The Pharmacotherapy of Psychosis and Mania" for the last 3 editions of
 Goodman & Gilman's *The Pharmacological Basis of Therapeutics*. Along with Dr. Stephen
 Stahl, I am the co-author of *The Clozapine Handbook* published by Cambridge University Press
 in May 2019, and the upcoming handbook entitled *The Clinical Use of Antipsychotic Plasma Levels*, to be released in May 2021 by Cambridge University Press. I am also a Distinguished
 Fellow in American Psychiatric Association.
- 8 In my clinical work as a psychiatrist, I have evaluated and treated numerous patients 6. 9 with severe, persistent mental illness, including patients committed to and treated at DSH 10 institutions since 2009. Overall, my combination of clinical and research experience over the past 11 20+ years, as well as my familiarity with the relevant research literature, informs my opinions. 12 For a complete listing of my professional background, experience, research, responsibilities, and 13 publications, please see my Curriculum Vitae, which is attached to this declaration as Exhibit A. 14 **Opinions Regarding Any Harm to Patient 10 Associated with Delayed Transfer to** DSH. 15
- 7. I reviewed the following records to prepare this declaration: (a) CDCR health records
 for a particular patient covering 06/12/2020 to 10/17/2020 (389 pages); (b) transcript of testimony
 from Dr. Pablo Stewart dated 10/23/2020; and (c) the declaration of Dr. Pablo Stewart filed
 11/13/2020.
- 20 8. From these records, I observed the following regarding a California Department of 21 Corrections and Rehabilitation (CDCR) mental health patient identified in Dr. Stewart's 22 declaration as Patient 10. This patient is a 50-plus-year-old male with a long history of severe 23 mental illness in the schizophrenia spectrum, with the most common working diagnoses being 24 schizophrenia and schizoaffective disorder, bipolar type. Patient 10 has a record of 12 stays at 25 DSH facilities since 1996 under California Penal Code sections 1370 and 2684, with 3 admissions 26 specifically to Atascadero State Hospital (ASH) in 1997, 2000, and 2018-2020 under Penal Code 27 section 2684. Patient 10 had proven treatment resistant and was started on clozapine on 28 10/22/2019 while at ASH, and remained on clozapine at the time of discharge back to CDCR in

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1 January 2020. He was also discharged on divalproex for mood stabilization and continued on 2 haloperidol decanoate 200 mg IM every 2 weeks despite having inadequate response to this medication prior to starting clozapine.

3

4 9. The records from CDCR prior to June 2020 were not available to me at the time of 5 this declaration; however, notes from June 2020 indicate that Patient 10's condition declined in 6 mid-May, requiring admission to the crisis stabilization unit on May 14, 2020 for psychosis with 7 some elements of catatonia. Clozapine is utilized when schizophrenia spectrum patients do not respond adequately to other medications such as haloperidol.¹. The medication records note that 8 9 the clozapine dose was increased, medications were added for mood and anxiety (buspirone, 10 mirtazapine) and by June 12, 2020, Patient 10 had improved enough that he could be sent to an 11 unlocked dorm and managed in EOP. He was medication adherent, and the psychiatry notes from June 24 indicate that the patient was at baseline, but there was concern that without an 12 13 involuntary medication order (IMO) he might be less adherent with oral medication (note dated 14 July 8), so the IMO was renewed on July 23, 2020.

15 10. From June 12, 2020 until his transfer to ASH on October 20, 2020, Patient 10 16 remained psychiatrically stable with limited changes in his psychotropic medications. There was 17 a brief crisis stabilization unit admission from August 4-14, but the record documents that he was 18 frustrated with his cellmate (p. 145) for not showering or cleaning up and for constantly talking 19 about drugs. After his 2 prior requests for a cell change were not acted upon, Patient 10 20 complained of suicidal ideation in order to be removed and transferred eventually to a new cell. 21 The admission note from August 5, 2020 comments that he was calm and cooperative, and that 22 there was no suicidal ideation, or any overt psychotic symptoms (i.e. hallucinations or delusions). 23 A note from August 8, 2020 also documents that he was future oriented and looked forward to his 24 parole in 2024 with the hope of perhaps driving a truck with his father (p. 187). Due to 25 complaints of sedation from mirtazapine, mirtazapine was discontinued in lieu of a nonsedating 26 antidepressant fluoxetine. By August 14, 2020, Patient 10 indicated that he was eager to return to 27

¹ Rubio, J. M. and Kane, J. M. (2019). How and when to use clozapine. Acta Psychiatr 28 Scand.

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the dorm and be followed in EOP. Of note, despite occasionally skipping meals in response to
 delusions, the patient maintained a normal body mass index (BMI) of 23.1 kg.m² (noted on
 August 5, 2020).

4 11. Given that the August admission was prompted by a desire to have a new cellmate, it
5 is not surprising that the antidepressant switch represents the only psychotropic change made
6 during the 4 months from the June discharge to the dorm and the transfer to ASH on October 20,
7 2020. The last social work note dated October 13, 2020 comments (p. 386) that the patient's
8 mood was "good," with some delusional content that was not dominant in their conversation.

9 When considering whether patients are harmed by delays in transfers to DSH, Dr. Stewart 10 opines that that because clozapine is used for treatment resistant patients these individuals must 11 have a level of severity which demands intensive inpatient treatment, but this is not necessarily 12 true. While some patients may be started on clozapine during a period of exacerbation coincident 13 with an inpatient stay, the vast majority of patients are maintained on clozapine as outpatients once they reach a level of minimal/moderate severity.² A US study of clozapine use among 14 15 Medicaid beneficiaries from 2002-2005 found that there were 15,524 outpatient clozapine 16 treatment episodes. Clozapine requires hematological monitoring, but the psychiatric records 17 document that this was performed on a routine basis at CDCR, and that the interval was changed 18 from weekly to biweekly (i.e. every 14 days) after 6 months of clozapine treatment as 19 recommended by the manufacturer. In addition to understanding the appropriate complete blood 20 count (CBC) monitoring protocol, the psychiatrists at CDCR also managed the patient's 21 complaints of constipation from clozapine with docusate and sennosides, and used atropine drops 22 in the mouth for the complaint of drooling that can occur with clozapine, clearly showing a level 23 of sophistication in managing these problems. Patient 10 also has no record of aggression or self-24 injurious behavior, nor any episodes of polydipsia since being started on clozapine, and the last 25 record of any symptom that might be construed as catatonia was during the May 2020 admission. 26 Although there are some psychiatric symptoms present (e.g. delusions) that occasionally

27

² Stroup, T. S., Gerhard, T., Crystal, S., et al. (2014). Geographic and clinical variation in clozapine use in the United States. *Psychiatr Serv*, 65, 186-192.

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influence Patient 10's behavior (e.g. skipping a meal), he was maintained successfully in the
dorms from June-October 2020 with the exception of one 10-day crisis bed admission prompted
by his desire to change cellmates. During the entire period from June-October 2020 there were
virtually no psychotropic medication changes made, and this patient maintained a normal weight
as evidenced by a normal BMI of 23.1 kg/m² noted on August 5.

12. Although this patient has several medical issues, these are quite stable with no 6 7 changes required in the nonpsychiatric medical regimen in 2020. A note from July 14, 2020 8 indicates that Patient 10 is neat and has good hygiene, and a primary care medical note from July 9 21 (p. 43) states that that he has no acute medical issues, that his constipation from clozapine had 10 resolved, and that he does pushups for exercise. Importantly, this note also states that he has no 11 complaints of palpitations, dizziness, or syncope. The latter is relevant as Patient 10 had 12 previously been diagnosed with atrial fibrillation. He has not required medications for heart rate 13 control, and was on a stable dose of an anticoagulant (apixaban 5 mg qD) that had not changed 14 since at least 2018 and which itself required no monitoring (as opposed to warfarin that requires 15 frequent and intensive monitoring). Apixaban was the only nonpsychiatric medication used by 16 Patient 10 aside from those mentioned above to control constipation and sialorrhea. Other lab 17 results from July 28 showed normal renal function, no evidence of diabetes, and a normal CBC 18 and chemistry panel with the exception of a slightly low serum sodium of 131.

19 13. While it is true that Patient 10 had been diagnosed with hepatitis C virus (HCV)
20 genotype 1A and developed some degree of cirrhosis as noted by right upper quadrant ultrasound
21 (RUQ US), there are several important facts that were omitted in Dr. Stewart's declaration
22 informing this patient's condition:

23

24

25

a. This patient was treated for HCV with Epclusa for 12 weeks in 2017, and he had a complete response with **no detectable HCV RNA** when checked by PCR as recently as January 22, 2020 (p. 44, p. 140, and p. 305). HCV is thus no longer an active issue.

b. While cirrhosis has been documented, the latest RUQ US dated September 10, 2020
notes no progression from earlier scans obtained at 6 month intervals. Moreover, the medical
note from July 21, 2020 states that there is no evidence of ascites, and that there is no history of

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1	hepatic decompensation. The subsequent AST, ALT, serum albumin and total bilirubin drawn
2	one week later (July 28, 2020) were all normal. From those lab results, and the fact that this
3	patient does not have ascites nor require medication for hepatic encephalopathy, one can classify
4	Patient 10 as having the lowest severity stage of chronic hepatic disease (Child-Pugh A). Patients
5	who are Child-Pugh A do not require dosing adjustments to their medications as their hepatic
6	ability to metabolize drugs is normal. ³ This patient thus requires no active interventions for his
7	liver disease, and only receives an RUQ US and HCV PCR at 6 month intervals as part of routine
8	surveillance. There is so little active concern over this patient's liver status that he is prescribed
9	divalproex, a medication that at times can induce liver function test abnormalities, but which he
10	tolerates as documented by the normal ALT and AST on July 28, 2020.
11	14. Based on my detailed reviews of this patient's records, his nonpsychiatric medical
12	issues are stable, not complex, and require limited oversight as documented by the laboratory
13	reports and the detailed examination from July 21, 2020.
14	15. Based on a detailed review of the psychiatric and nonpsychiatric medical notes
15	from CDCR from 06/12/2020 to 10/17/2020, Patient 10 has not suffered harm due to any
16	potential delays in transfer to DSH and has received appropriate care.
17	I declare under penalty of perjury under the laws of the United States of America that the
18	foregoing is true and correct.
19	Executed in San Diego, California on December 7, 2020.
20	
21	<u>/s/ Jonathan M. Meyer</u> Jonathan M. Meyer, M.D.
22	(original signature retained by attorney)
23	
24	
25	
26	³ Cholongitas, E., Papatheodoridis, G. V., Vangeli, M., et al. (2005). Systematic review:
27 28	The model for end-stage liver diseaseshould it replace Child-Pugh's classification for assessing prognosis in cirrhosis? <i>Aliment Pharmacol Ther</i> , 22, 1079-1089; Verbeeck, R. K. (2008). Pharmacokinetics and dosage adjustment in patients with hepatic dysfunction. <i>Eur J Clin Pharmacol</i> , 64, 1147-1161.

Exhibit A

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UCSD ACADEMIC BIOGRAPHY

Personal Dat	a						
Name: Last, F	irst, Middle	Meyer, Jonathan, M	Michael				
Department	Psychiatry			Title(s)	Clinical Prof	essor (Vol)	
Home Addres	s						
Street X	XXX				Phone:		
City, State, Zi	р						
Business Add	ress						
Street 465	3 Carmel Mou	ntain Road - Suite 308-12	21		Phone:	858-964-0777	
City, State, Zi	p San Die	ego, CA 92130			Mai	I Code	
Date of Birth:	XX/XX/196	2					
Are you a citiz	zen or permane	ent resident of the U.S.?	Yes XX	No			
If no, what is y	your current Vi	sa status?		_			
Date this statu	us began:		Date this stat	us expires:			-
Person to be	contacted in ca	se of emergency:					
Name X	XXX						
Street					Phone:		
City, State, Zi	р						
Family Memb	ers employed l	by the University:					
Name I	None		Relationship		C	Department	

Previous Applicable Employment

Months and years of employment	Institution, firm or or organization of employment	Location	Rank, title, or position	Approximate annual salary
7/97 – 8/98	Univ. of Southern California	Los Angeles, CA	Assistant Professor of Psychiatry Staff Psychiatrist, and Adj. Asst.	Does not wish to state
8/98 — 6/01	Oregon State Hospital	Salem, Oregon	Prof. of Psychiatry, Oregon Health and Sciences University	Does not wish to state
7/01 - 8/02	UCSD Dept. of Psychiatry	La Jolla, CA	Asst. Clinical Professor	Non-sal.
7/01 - 8/02	UCSD Dept. of Psychiatry	La Jolla, CA	MSP/Assoc. Physician	Does not wish to state
9/02 - 6/06	UCSD Dept. of Psychiatry	La Jolla, CA	Asst. Adj. Professor	Does not wish to state
7/06 - 12/09	UCSD Dept. of Psychiatry	La Jolla, CA	Asst. Professor in Residence	Does not wish to state
7/01 – 02/15	VA San Diego	La Jolla, CA	Staff Psychiatrist (part-time)	Does not wish to state
12/09 – present	California Dept. of State Hospitals	Patton, CA	Psychopharmacology Consultant	Does not wish to state

Education

Section I

School, college, university, or hospital (internship, residency, or fellowship)	Dates of attendance	Location	Major subject or field	Degrees or certificates	Date received
Stanford University	9/80 - 6/84	Stanford, CA	Biology	B.S.	6/7/84
Harvard Medical School	9/84 - 6/88	Boston, MA	Medicine	M.D.	6/9/88
PGY I – II	6/88 – 6/90	Los Angeles County – USC Medical Center	General Surgery	N/A	N/A
PGY II	10/92 – 9/93	Cedars Sinai Medical Center – L.A., CA	Psychiatry	N/A	N/A
PGY III – IV	10/93 – 12/95	Los Angeles County – USC Medical Center	Psychiatry	Yes	12/95
PGY V	1/96 – 6/96	Los Angeles County – USC Medical Center	Psychopharm Research Fellow	N/A	N/A
PGY VI	7/96 – 6/97	Los Angeles County – USC Medical Center	C/L Psychiatry Fellow	N/A	N/A

Please indicate areas of sub-specialization or board certification, if any.

Board Certified, American Board of Psychiatry and Neurology #44770 - January 1998, Latest Recertification - February 2018

Section II

Professional Data

Provide a list of your activities, with dates of award or service, in each of the following eight categories.

(a) University Service (Include service at the departmental, college, Academic Senate, campus-wide, and system-wide levels.)

Departmental Committee – Psychiatry Residency Selection Committee, 2003 – 2009 Supervisor, UCSD Dept. of Psychiatry PGYIV Senior Independent Study Projects, 2003 - 2009 Hospital Committee – VA Pharmacy and Therapeutics Committee, 2003 – 2009 IRB Committee A, UCSD Human Research Protections Program (HRPP) – January 2006 – December 2009 Roundtable Presider, Annual UCSD Undergraduate Research Conference - May, 2007 Co-director VA VISN 22 Mental Illness Research Education and Clinical Centers (MIRECC) research fellowship -September 2007 - December 2009 Associate UCSD Psychiatry Residency Training Director - September 2007 - December 2009 Weekly psychopharmacology instruction for UCSD psychiatry residents and staff (4 hours/month). Biweekly psychopharmacology instruction for Balboa Naval Medical Center psychiatry residents, and monthly case consultation with early psychosis group - July 2015 - present:

(b) Memberships (Include scholarly societies, professional boards, civic organizations, etc.)

American Psychiatric Association, 1993-present American Medical Association, 1998 – present San Diego Psychiatric Society, 2001 – present Society of Biological Psychiatry, 2005 - present

(c) Honors and Awards (Include the dates they were received.)

UCSD Dept. of Psychiatry Residency Teaching Award 2004-2005 UCSD Dept. of Psychiatry Award for Excellence in Research Mentorship 2007-2008 American Psychiatric Association Fellow, 2009; Distinguished Fellow 2010 - present

(d) Contracts and Grants Please provide the following information for current contracts and grants:

Title	Granting agency	Amount of total award (include indirect costs)	Time period of contract/grant	Role
Visceral Adiposity and Insulin Resistance in Patients with Schizophrenia	Pfizer, Inc.	\$122,512	11/01/2006 – 10/31/2008	Principal Investigator (5% - no salary)
The Metabolic Syndrome in Patients with Schizophrenia	NIMH K23MH074540	\$838,620	10/01/2005 – 09/30/2010	Principal Investigator (75%)
Acute Impact of Antipsychotics on Insulin Sensitivity: A Novel Human Model	NIMH R21MH082805	\$311,850	04/01/2008 – 12/31/2010	Principal Investigator (5% - no salary)
Acute Impact of IM Aripiprazole and Olanzapine on Insulin Resistance in High Risk Prediabetics	BMS	\$166,160	03/01/2009 - 02/28/2010	Principal Investigator (5% - no salary)
A Pilot Study of Varenicline for Smoking Cessation in Schizophrenia	UCSD Academic Senate	\$10,000	07/01/2008 - 06/30/2009	Principal Investigator (5% - no salary)
Neurocognitive Effects of Inflammation Reduction in Schizophrenia	VA VISN 22 MIRECC PALA/Pilot	\$11,135	04/01/2009 - 03/31/2010	Principal Investigator (5% - no salary)

(e) <u>External Professional Activities</u> (Examples include, but are not limited to, presentation of papers and lectures, technical service to organizations and agencies, acting as a reviewer of journal or book manuscripts or contract and grant proposals, or professional committee service.)

- 1. Consultant to Prof. Dilip Jeste (RO1 MH071536 Metabolic Effects of Newer Antipsychotics in Older Patients), 2004 2008.
- 2. Core member of NIMH-Funded CATIE Schizophrenia Trial Metabolic Working Group, 2004 2009
- 3. Contributing Writer (Multiple Sections) of American Psychiatric Association Position Paper: Antipsychotic Drugs and Adverse Metabolic Risk, 2005 – 2007
- 4. Ad Hoc Reviewer for the following professional journals. 2001 present

1.	Acta Psychiatrica Scandinavica	28.	Israel Journal of Psychiatry
2.	American Journal of Cardiology	29.	Journal of Affective Disorders
3.	American Journal of Clinical Nutrition	30.	Journal of Brain Disease
4.	American Journal of Geriatric Psychiatry	31.	Journal of Child and Adolescent
5.	American Journal of Managed Care	Psyc	chopharmacology
6.	American Journal of Psychiatry	32.	Journal of Clinical Psychiatry
7.	Annals of Clinical Psychiatry	33.	Journal of Clinical Psychopharmacology
8.	Annals of General Psychiatry	34.	Journal of Outcomes Research
9.	Archives of General Psychiatry	35.	Journal of Pharmacy and Pharmacology
10.	Archives of Medical Research	36.	Journal of Psychiatric Research
11.	Biological Psychiatry	37.	Journal of Psychosomatic Research
12.	Bipolar Disorders	<i>3</i> 8.	Journal of Psychopharmacology
13.	Child and Adolescent Psychiatry and Mental Health	39.	Molecular Psychiatry
14.	Clinical Chemistry and Laboratory Medicine	40.	Neuropsychiatric Disease and Treatment
15.	Clinical Drug Investigation	41.	Neuropsychopharmacology
16.	Clinical Schizophrenia & Related Psychoses	42.	Obesity Research
17.	CNS Drugs	43.	Pharmacopsychiatry
18.	CNS Spectrums	44.	Progress in Neuro-Psychopharmacology and
19.	Diabetes Care	Biolo	ogical Psychiatry
20.	Drug Safety	45.	Psychiatric Services
21.	European Journal of Psychiatry	46.	Psychiatry Research
22.	European Psychiatry	47.	Psychoneuroendocrinology
23.	General Hospital Psychiatry	48.	Psychopharmacology
24.	International Clinical Psychopharmacology	49.	Schizophrenia Bulletin
25.	International Journal of Neuropsychopharmacology	50.	Schizophrenia Research
26.	International Journal of Psychiatry in Medicine	51.	Social Psychiatry & Psychiatric Epidemiology
27.	International Medical Case Reports Journal	52.	Southern Medical Journal

5. Member, Editorial Board *Current Psychiatry*, 2007-present; Deputy Editor 2015 - present.

6. Ad Hoc Grant Consultant/Reviewer: UK Medical Research Council (2006), Thrasher Foundation (2007); Raine Medical Research Foundation (Australia) (2007); Food and Health Bureau, Hong Kong SAR Government (2009)

7. Invited Lectures: 2005-2017

i. American Psychiatric Association Annual Meeting, Diabetes in Patients with Schizophrenia: French & US Experience: "Diabetes Prevalence in Patients with Schizophrenia" Atlanta, GA, May 24, 2005.

ii. Canadian Psychiatric Association Annual Meeting, "Impact of Antipsychotics on Serum Glucose and Lipids" Vancouver, BC, November 4, 2005.

iii. Oregon Health and Sciences University Department of Psychiatry Annual Rondeau Memorial Lecture. "Improving Health Outcomes in Patients with Schizophrenia" Portland, OR, November 14, 2005.

iv. County of San Diego-Health and Human Services Agency Training and Development. "Medical Monitoring of Atypical Antipsychotics and Mood Stabilizers." March 23, 2006.

v. UCSD School of Medicine, Department of Psychiatry: Advances in Psychopharmacology Throughout the Life Span: "Are All Antipsychotics Equal: Lessons from CATIE." San Diego, CA, April, 6, 2006.

vi. Loma Linda University Medical School Department of Psychiatry Grand Rounds. "The Metabolic Syndrome and Schizophrenia: Disease and Drug Issues." Loma Linda, CA December 15, 2006.

vii. U. of Wisconsin, Depts. of Psychiatry & Public Health: Psychiatry Update. "An Update on the Metabolic Effects of Antipsychotics: Lessons from CATIE and Other Interesting Data." Madison, WI March 3, 2007. viii. UCSD School of Medicine, Dept. of Psychiatry: Geropsychiatry Update. "Psychopharmacologic

Treatment of Psychosis in Older Patients" and "Antipsychotics: Safety Issues in the Elderly" San Diego, CA, March 9, 2007.

ix. UCSD School of Medicine, Dept. of Psychiatry: Advances in Psychopharmacology Throughout the Life Span. "Are All Antipsychotics Equal: *Further* Analyses of CATIE & Another Important Study." San Diego, CA, April, 13, 2007.

x. NAMI Annual Meeting: "Schizophrenia Update: Treatment Options and Side Effects." San Diego, CA, June 23, 2007.

xi. City and County of San Francisco Department of Public Health, Population Health And Prevention: Community Behavioral Health Services. "Metabolic Syndrome and Serious Mental Illness: A Practical Update." Fort Mason Conference Center, San Francisco, September 11, 2007.

xii. Naval Medical Center, San Diego, California - Department of Psychiatry Grand Rounds. "Clinical Psychopharmacology: Kinetics & Drug-Drug Interactions. San Diego, CA March 28, 2008.

 xiii. UCSD School of Medicine, Department of Psychiatry: Advances in Psychopharmacology Throughout the Life Span. "Safety Issues in Psychotropics - Things You Mostly Didn't Know." San Diego, CA, April 24, 2008.
 xiv. Centre for Addiction and Mental Health: Schizophrenia Update 2008. "New Treatment Options for Schizophrenia: Managing Metabolic Effects of Antipsychotic Therapy." Toronto, Canada October 3, 2008
 xv. Naval Medical Center, San Diego, California - Department of Psychiatry Grand Rounds. "Clinical Psychopharmacology Update: Antidepressant Augmentation in Major Depression." San Diego, CA April 9, 2009.

xvi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Understanding the concept of fast dissociation from dopamine receptors for atypical antipsychotics." July 7, 2010.

xvii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. 'P-Glycoprotein and drug transport.' August 25, 2010.

xviii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. 'Aripiprazole review.' January 26, 2011.

xix. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Lurasidone review.' March 2, 2011.

xx. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Risperidone and Paliperidone Review.' April 27, 2011.

xxi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Haloperidol and fluphenazine decanoate review.' May 4, 2011.

xxii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Consta, Sustenna and Relprevv review.' May 25, 2011.

xxiii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Glutamate and schizophrenia.' June 1, 2011.

xxiv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Antipsychotic drug-drug interactions." August 31, 2011.

xxv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Psychiatry Maintenance of Certification.' September 7 and 14, 2011.

xxvi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "MAO inhibitors.' May 16, 2012.

xxvii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "The use of antidepressants in patients with schizophrenia.' July 18, 2012.

xxviii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "The use of benzodiazepines in patients with schizophrenia.' August 29, 2012.

xxix. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Neuroleptic malignant syndrome.' October 17, 2012.

xxx. NEI Congress. Topics: "Lithium"; "Depot Antipsychotics" San Diego, CA November 10, 2012 **xxxi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds.** "Managing CBC changes and early side effects from clozapine." February 13, 2013.

xxxii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Anticholinergics." April 17, 2013.

xxxiii. San Diego Psychiatric Society CME conference DSM-5: What You Need To Know. "Psychosis Diagnoses in DSM-5 - Debates and Change." La Jolla, CA June 8, 2013

xxxiv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Schizophrenia rating scales for the busy clinician." June 12, 2013.

xxxv. UCSD Department of Psychiatry Grand Rounds. 'Psychosis Diagnoses in DSM-5 - Debates and Change.' San Diego, CA August 1, 2013

xxxvi. CMEOlogy "Mastering Clinical Challenges in Schizophrenia". Anaheim, CA, March 15, 2014 xxxvii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Understanding D₂ blockade and antipsychotic plasma levels." May 29, 2014

xxxviii. CME Outfitters. "Treatment of Schizophrenia: Current Strategies and Future Paradigm Shifts." Los Angeles, CA, May 31, 2014

xxxix. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Clozapine and the treatment of violent psychotic patients." July 31, 2014.

xI. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Lithium primer - I." August 28, 2014.

xli. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Lithium primer - II." September 11, 2014.

xlii. Psychiatric Congress. "Optimizing Outcomes in Patients with Schizophrenia." Orlando, FL, September 21, 2014

xliii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "SSRI antidepressants." October 16, 2014.

xliv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Tricyclic antidepressants." October 30, 2014.

xIv. Prime Education, CME Course. "Advances in Psychotic and Mood Disorders." Los Angeles, CA, November 15, 2014

xlvi. 23rd Congress of the European Psychiatric Association. "The Newly Diagnosed Patient With Schizophrenia." Vienna, Austria, March 30, 2015

xlvii. American Academy of Clinical Psychiatrists Annual Meeting. "Defining Moments: Early Schizophrenia and Schizoaffective Disorder." Chicago, IL, April 17, 2015.

xlviii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Benign Ethnic Neutropenia." May 21, 2015.

xlix. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Hepatic Disease and Medication Dosing." May 28, 2015.

I. NEI Congress, Topics: "Kinetics of depot antipsychotics."; "Cognition in schizophrenia." Orlando, FL November 8-11, 2015

Ii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Clozapine REMS Update and Review of Benign Ethnic Neutropenia." January 20, 2016.

lii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Updated Evidence for Rational Use of Long-Acting Injectable Antipsychotics." February 10, 2016.

liii. NEI Synapse Conference, March 5, 2016 Jersey City, NJ. Topic: The treatment of psychosis in patients with Parkinson's Disease-a neuropharmacology update.

liv. Naval Medical Center, San Diego, California - Department of Psychiatry Grand Rounds. "Lessons from RAISE-ETP and Other First Episode Studies". March 18, 2016

Iv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Haloperidol Essentials." June 22, 2016.

Ivi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Beyond P450 - PGP Transport and CNS Drug Response." August 17, 2016.

Ivii. NEI Congress, Topics: "Tardive dyskinesia"; "P-glycoprotein transporters and psychotropics."

Iviii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Tardive Dyskinesia." November 16, 2016. November 3-6, 2016, Colorado Springs, CO.

lix. California Dept. of Corrections and Rehabilitation Grand Rounds. "D2 Blockade and Antipsychotic Plasma Levels." November 17, 2016

Ix. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Antipsychotics and Congenital Malformations." January 11, 2017.

Ixi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Managing Lithium's Renal Effects." May 3, 2017.

Ixii. American Psychiatric Association Annual Meeting. "Managing the Nonhematological Adverse Effects of Clozapine." San Diego, CA, May 21, 2017.

Ixiii. American Psychiatric Association Annual Meeting. " The Pharmacological Management of Persistent Violence in Psychiatric Inpatients." San Diego, CA, May 21, 2017.

Ixiv. American Psychiatric Association Annual Meeting. "The Modern Use of Plasma Antipsychotic Levels." San Diego, CA, May 23, 2017.

Ixv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Loading Haloperidol Decanoate." May 31, 2017.

Ixvi. World Federation of Societies of Biological Psychiatry Annual Meeting. "Update on assessing and managing lithium's renal effects." Copenhagen, Denmark, June 21, 2017.

Ixvii. Brazilian Psychiatric Congress. "Use of Plasma Antipsychotic Levels in Patients With Schizophrenia." São

Paulo, Brazil, August 12, 2017

Ixviii. University of Cincinnati Psychopharmacology Update. "At Last: Effective Treatments for Tardive Dyskinesia." Cincinnati, OH, October 28, 2017

Ixviii. NEI Congress Topics: "Applying Antipsychotic Pharmacokinetics to Best Dosing Practices - Depot Medications"; "Psychosis - Challenging Issues in Parkinson's Disease and other Neurodegenerative Disorders". Colorado Springs, CO. November 9-11, 2017.

Ixix. AACP/GME Conference. "Innovations in the Management of Tardive Dyskinesia." Las Vegas, NV, December 11, 2017

Ixx. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Managing the Nonhematological Adverse Effects of Clozapine." March 28, 2018.

Ixxi. American Psychiatric Association Annual Meeting. "TD or Not TD: Movement Disorders Every Psychiatrist Should Know." New York City, CA, May 5, 2018.

Ixxii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Clozapine update: REMS and Benign Ethnic Neutropenia." May 16, 2018.

Ixxiii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "NMS and Benign CKemia. June 27, 2018.

Ixxiv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "How to Initiate Clozapine and Use Plasma Levels." July 18, 2018.

Ixxv. UCSD Department of Psychiatry Resident Rounds. "Use of Plasma Antipsychotic Levels." San Diego, CA October 18, 2018

Ixxvi. NEI Congress, Topics: "Optimizing Functional Outcomes In Schizophrenia: Managing Negative Symptoms, Cognitive Impairment, and Adverse Effects"; "Keeping Up With Clinical Advances: Schizophrenia." Orlando, FL November 8-11, 2018.

Ixxvii. AACP/GME Conference. "Diagnostic Dilemmas in Psychiatric Patients with Dyskinesia." Las Vegas, NV, December 2, 2018

Ixxviii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Fluphenazine and fluphenazine decanoate." January 16, 2019.

Ixxix. San Diego Psychiatric Society CME conference. "Is It Safe? Using Psychotropics in Patients With Hepatic Issues." San Diego, CA, January 26, 2019.

Ixxx. UCSD School of Medicine- Essentials of Advanced Practice Psychiatric Nursing. "Antipsychotics and

Major Congenital Malformations." San Diego, CA, February 9, 2019. Ixxxi. Nevada Psychiatric Assn. 24th Psychopharmacology Update. Topics "Antipsychotics & Major Congenital Malformations"; "At last-Effective Treatments for Tardive Dyskinesia." Las Vegas, NV, February 14, 2019.

Ixxxii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Dosing Lithium and Managing Lithium's Renal Adverse Effects Patton State Hospital Psychopharmacology Lectures." March 20, 2019. Ixxxiii. VA Sepulveda Grand Rounds, Sepulveda, CA. "Rapid Acting Antidepressants: Emerging Treatments for Major Depressive Disorder." May 30, 2019.

Ixxxiv. Department of State Hospitals - 2019 Public Forensic Mental Health Forum. "Unique Aspects of Psychopharmacology for the Diversion Population." June 13, 2019, Sacramento, CA. Sponsor: University of California, Davis School of Medicine.

Ixxxv. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Evidence Based Use Of Benzodiazepines In Schizophrenia Patients." June 19, 2019.

Ixxxvi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Clozapine Drug Interactions." July 24, 2019.

Ixxxvi. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Delays in Starting Clozapine Decrease Chances of Clozapine Response." October 16, 2019.

Ixxxvii. GME Psychiatry. "Managing TD in the Real World: How Far Have We Come?" October 26, 2019. Grapevine, TX.

Ixxxviii. NEI Congress, Topics: "If You Treat Schizophrenia You Must Prescribe Clozapine-Here's Why and How;" "Is it Safe? Using Psychotropics in Patients With Hepatic Issues;" "Psychosis and Dementia in Parkinson's Disease and Other α-Synucleinopathies." Colorado Springs, CO November 8-9, 2019.

Ixxxix. GME Psychiatry. "Managing TD in the Real World: How Far Have We Come?" November 16, 2019. Grapevine, TX.

xc. AACP/GME Conference. "Diagnosing TD in Clinical Practice." Las Vegas, NV, December 8, 2019. xci. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "How to Initiate Clozapine and Use Plasma Levels." February 5, 2020.

xcii. Patton State Hospital, Patton, CA - Department of Psychiatry Grand Rounds. "Managing Clozapine Related Seizures." February 12, 2020.

(f) <u>Most Significant Contributions to Promoting Diversity</u> (Examples include, but are not limited to, developing strategies for the educational or professional advancement of students in underrepresented groups, contributions that promote equitable access and diversity in education, and in activities such as recruitment, retention, and mentoring.)

(g) <u>Other Activities</u> (List those that do not fit into categories a – f above, including community service). Community service - Weekly psychopharmacology instruction for UCSD psychiatry residents and staff (4 hours/month). Biweekly psychopharmacology instruction for Balboa Naval Medical Center psychiatry residents, and monthly case consultation with early psychosis group.

Community service – Lectures to community psychiatrists on navigating the ABPN Maintenance of Certification Process (2013, 2015)

(h) Student Instructional Activities

Course load information is reported separately in faculty review files. Please list here all students mentored outside of the structured classroom setting. Please list by category (e.g., undergraduate research students, masters or doctoral candidates, postdoctoral or medical fellows, interns, residents) and indicate your role

UCSD Dept. of Psychiatry - See Voluntary Credit Worksheet

UCSD Skaggs School of Pharmacy and Pharmaceutical Sciences – Core lecturer on the treatment of psychosis and mania (90 minutes): 2012, 2013, 2014, and 2015

UC Irvine Dept. of Psychiatry – Voluntary Asst. Clinical Professor, core faculty on forensic psychiatry fellowship, 06/01/2014 – 06/30/2017.

Section III - Bibliography

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A. Primary Published or Creative Work

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I have provided the information contained in the Biography/Bibliography packet or have reviewed it for accuracy.

pratte m Mayor

06/30/2020

Signature

Date

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1 2 3 4 5 6 7 8 9 10 11	XAVIER BECERRA, State Bar No. 118517 Attorney General of California MONICA N. ANDERSON, State Bar No. 182970 Senior Assistant Attorney General ADRIANO HRVATIN, State Bar No. 220909 Supervising Deputy Attorney General ELISE OWENS THORN, State Bar No. 145931 NAMRATA KOTWANI, State Bar No. 308741 KYLE A. LEWIS, State Bar No. 201041 LUCAS HENNES, State Bar No. 278361 Deputy Attorneys General 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 210-7318 Fax: (916) 324-5205 E-mail: Elise.Thorn@doj.ca.gov Attorneys for Defendants	 PAUL B. MELLO, State Bar No. 179755 LISA M. POOLEY, State Bar No. 168737 SAMANTHA D. WOLFF, State Bar No. 240280 LAUREL E. O'CONNOR, State Bar No. 305478 HANSON BRIDGETT LLP 1676 N. California Boulevard, Suite 620 Walnut Creek, CA 94596 Telephone: (925) 746-8460 Fax: (925) 746-8490 E-mail: PMello@hansonbridgett.com Attorneys for Defendants ROMAN M. SILBERFELD, State Bar No. 62783 GLENN A. DANAS, State Bar No. 270317 ROBINS KAPLAN LLP 2049 Century Park East, Suite 3400 Los Angeles, CA 90067-3208 Telephone: (310) 552-0130 Fax: (310) 229-5800 E-mail: RSilberfeld@RobinsKaplan.com 				
12	IN THE UNITED STATES DISTRICT COURT					
13	FOR THE EASTERN DISTRICT OF CALIFORNIA					
14 15	SACRAMEN	TO DIVISION				
16 17	RALPH COLEMAN, et al.,	2:90-cv-00520 KJM-DB (PC)				
17 18 19	Plaintiffs, v.	DECLARATION OF CHARLES SCOTT, M.D., IN SUPPORT OF DEFENDANTS' RESPONSE TO NOVEMBER 19, 2020 ORDER				
20	GAVIN NEWSOM, et al.,					
21	Defendants.					
22						
23	I, Charles Scott, M.D., declare as follows	:				
24	1. I am a Professor of Clinical Psych	iatry at the University of California, Davis. I am				
25	licensed to practice medicine in California and A	laska and am board-certified by the American				
26	Board of Psychiatry and Neurology (ABPN) in fe	our separate areas of psychiatry. I have ABPN				
27	qualifications in General Psychiatry, Child and A	Adolescent Psychiatry, and Added Qualifications				
28	in Forensic Psychiatry and Addiction Psychiatry.	I have served as the Forensic Psychiatry				

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Fellowship Training Director at the University of California, Davis since October of 1998 and as
 the Chief, Division of Psychiatry and the Law at the University of California, Davis since 2002.
 From 1996 to 1998, I served as an Assistant Professor of Psychiatry at the Tulane University
 Medical Center.

5 2. In addition to the above academic and professional services, I have provided 6 mental health services to inmates detained at the Sacramento County Jail from 1998 through 7 2010. I have also provided psychiatric consultation on issues related to the evaluation and 8 treatment of offenders with mental illness who are detained at Napa State Hospital under 9 designated penal codes for involuntary commitment. Prior to my employment with UC Davis, I 10 was responsible for psychiatric services provided at the only maximum-security psychiatric unit 11 in Louisiana and for direct clinical care to inmates at Hunt Correctional Facility in Louisiana. 12 Between 1991 and 1996, I provided psychiatric services to children, adolescents and adults for 13 the United States Army while stationed in Germany and in Texas.

I am the Past-President of the American Academy of Psychiatry and the Law
(AAPL) and have also served as Vice-President of AAPL and as a national Counselor of AAPL.
Since 1996, I have been one of four United States psychiatrists selected to provide national
training for the AAPL Annual Forensic Review Course, and was the sole national faculty member
selected to provide the national training for issues related to correctional mental health care for
this national annual training. I am also the Vice-Chair of the AAPL Research and Education
Institute.

4. I have also served on the AAPL Ethics Committee, which helps review and
 establish ethical guidelines for the practice of forensic psychiatry, including the standards for
 rendering expert witness opinions and the ethical guideline to strive for honesty and objectivity in
 rendering such opinions.

5. I have served as an expert witness on litigation issues involving the standard of
care in Alabama, Arizona, California, Florida, Illinois, Iowa, Louisiana, New Mexico, and
Pennsylvania. I have publications related to correctional mental health care and have served as
Editor of two editions of the American Psychiatric Association's Handbook of Correctional

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- 1 Mental Health Care. I have provided numerous trainings on standards of care in correctional 2 settings, have served on California's Judicial Action Committee and have served as a member of 3 the American Psychiatric Association's Council on Psychiatry and the Law.
- 4

8

6. I am co-editor of the Principles and Practice of Forensic Psychiatry, which 5 received the American Psychiatric Association Guttmacher Award for the most influential 6 forensic psychiatry publication for that year. I am editor of the textbook titled DSM-5 and the 7 Law: Changes and Challenges, which reviews revisions to the diagnostic criteria to make a mental disorder diagnosis.

9 7. My curriculum vitae (a true and correct copy of which is attached as Exhibit A) 10 lists my experience in the field of psychiatry, publications, and presentations.

11 8. I submit this declaration in support of Defendants' response to the Court's 12 November 19, 2020 order regarding the transfer of patients to inpatient care. To prepare this 13 declaration, I reviewed the declaration of Pablo Stewart dated November 12, 2020 regarding 14 Defendants' transfers of class members to inpatient care at the California Department of State 15 Hospitals (DSH) during this ongoing international COVID-19 health crisis

16 9. In preparing this report, I reviewed the records of "Patient 3," who is on the CDCR 17 list of patients awaiting transfer to DSH. Patient 3 was cited as one of 11 individual cases that Dr. 18 Stewart reviewed to reach his opinions in his declaration. Dr. Stewart reached three general 19 conclusions in his declaration. First, he concluded that the 11 cases he reviewed were appropriate 20 for transfer to DSH. Second, he concluded that, as a whole, this group of patients had serious 21 issues that required prompt transfer to inpatient level of care. Third, he concluded that as a 22 whole, this group was experiencing significant harm from having their transfer to DSH inpatient 23 hospital programs delayed. Dr. Stewart stated that he conducted an "in depth review" of 11 cases 24 on the wait list and his reviewed confirmed and strengthened his conclusions.

25 10. DSH requested that I review Dr. Stewart's conclusions in at least one of the 11 26 cases that he reviewed to determine if he had fairly and objectively communicated factual data in 27 the records from his "in depth" review. I conducted only a record review and no in person or 28 virtual evaluations were conducted. I selected randomly the first case, Patient 3, he described in

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his declaration. Due to time constraints created by the Court's November 19 order, I was only
able to complete an in-depth clinical review of this case. But my overall analysis of Dr. Stewart's
hearing testimony and declaration suggest that he has grossly mischaracterized the clinical status
of the patient cases and he has ignored factual information in the record to reach his opinion that
this entire group was experiencing significant harm from having their transfer to DSH inpatient
hospitals delayed.

To place Dr. Pablo Stewart's opinions in context, it is important to provide brief
information about Patient 3, without providing specific information that might identify him.
Based on the records reviewed by Dr. Stewart and using his citation method, Patient 3 is a man in
his 20s without a known history of psychiatric treatment prior to this incarceration. He has a
substantial history of substance use from his early teenage years, including methamphetamine,
cocaine, heroin, marijuana and alcohol use. [PT 3 000017; PT 000198.]

13 12. Because of his early onset and duration of substance use, the exact etiology of 14 some of his reported mental health symptoms may be secondary to substance use, an independent 15 mental disorder, exaggeration of symptoms to avoid inmate/s that he feared, or some combination 16 of all of these factors. His treatment records note that he has the diagnoses of schizoaffective 17 disorder, depressive type, unspecified depressive disorder, and unspecified schizophrenia 18 spectrum and other psychotic disorder. The use of an unspecified disorder qualifier is commonly 19 and appropriately used when there may be more than one etiology for the symptom presentation, 20 or the person does not meet sufficient criteria for a specific diagnosis. The records also note that 21 he had a history of posttraumatic stress disorder.

13. In his declaration, Dr. Stewart opines that Patient 3 would require a transfer to
Atascadero State Hospital (ASH) because "he was carrying two unspecified diagnoses, which
suggests a need for diagnostic clarification." Diagnostic clarifications happen routinely in
community outpatient settings, residential treatment facilities, general medical inpatient units,
psychiatric inpatient settings, and in general population settings in a correctional population.
Transferring someone to a forensic psychiatric hospital is not required to clarify a diagnosis,

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particularly when trained psychiatrists, psychologists, social workers, and rehabilitation therapists
 are all present at the correctional institution.

- 3 14. Dr. Stewart further writes, "He was also being treated with two different anti-4 psychotics, along with Prazosin, which is only prescribed for PTSD. However, Patient 3 did not 5 have a confirmed diagnosis of PTSD at the time (although it appears staff were considering the 6 diagnosis)." Patient 3 was noted to have a history of PTSD recorded in the record. For example, 7 on June 18, 2020, Dr. Dhillon specifically records that he was aware that Patient 3 had a 8 diagnosis of PTSD. [PT 3 000335.] Furthermore, in her note dated July 27, 2020, Dr. Aloi 9 documents specific PTSD symptoms and responses as well as triggers for Patient 3. He was 10 appropriately treated with Prazosin, a medication for PTSD nightmares and he described that this 11 medication helped decrease his nightmares. [PT 3 000502.]
- 12 15. The records indicate that Patient 3 has an early history in his teenage years of gang 13 involvement, which is relevant to the evaluation and treatment of his reported symptoms while 14 incarcerated. [PT 3 000017.] In particular, Patient 3 told staff that feared being harmed by 15 another prison inmate while incarcerated related to his prior gang affiliation and renouncing of his 16 gang affiliation when placed in a sensitive needs yard (SNY). [PT 3 000081.] Many of the 17 treatment notes describe Patient 3 as being "paranoid." As Patient 3 was in a gang and then 18 renounced his gang affiliation, there is a strong likelihood that his paranoia was at least partially 19 reality based/
- 16. As a result of his former gang affiliation, Patient 3 feared he could be harmed by
 another inmate and was distressed by this fear. On April 27, 2020, his social worker writes, "He
 reported that he would prefer to die from his own hand rather than a gang assault." [PT 3
 000019.] On May 2, 2020, Dr. Martinez notes that Patient 3 told her that he turned down joining a
 gang so "they put a hit on me." [PT 3 000081.]
- 25 17. At various times during his incarceration, he was noted to superficially scratch his
 26 wrist with his fingernail or a staple, often related to his fears of being attacked.
- 27

28

18. On May 13, 2020, the social worker notes that Patient 3 reported that anotherpatient threatened to "stab" Patient 3 and he superficially scratched himself. He was subsequently

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admitted to the inpatient psychiatric unit. Patient reported that one of his main sources of
 stress/worry as to due to "prison politics" and "there are rules you have to live by in prison and I
 broke one of them." [PT 3 000214.] According to the psychiatry note dated May 13, 2020, when
 asked why he superficially cut himself, Patient 3 "responded for 'safety." [PT 3 000219.]

5 19. During his current incarceration, Patient 3 has reported various symptoms
6 including auditory hallucinations, visual hallucinations of gnomes coming out of the sockets and
7 the drains, anxiety, depression, nightmares, and fluctuating suicidality. He was recommended for
8 transfer to ASH, accepted for transfer, and placed on a waiting list for transfer considering the
9 COVID-19 epidemic. As documented below, many evaluators assessed that his report of such
10 severe and unusual symptoms were not consistent with his actual observed behavior.

11 20. Patient 3 is repeatedly evaluated by both psychiatry and psychology while 12 awaiting transfer to ASH. At times, he is readmitted to the inpatient psychiatric unit after he 13 superficially scratches his wrist and he sometimes describes "hearing voices" and/or feeling 14 suicidal. In her note dated June 18, 2020, Dr. Aloi, psychologist, reevaluates Patient 3 and writes, 15 "It is worth noting that pt appears to be over endorsing severity of mental health sxs as his 16 presentation is incongruent with his reported sx severity...Additionally, pt's sx endorsement may 17 be motivated by secondary gain as he was transferred to this unit in response to any enemy 18 concern in his prior unit and this may be his driving factor to ensure his safety in a prison 19 environment. [PT 3 000353-54.]

20 21. In her note dated August 5, 2020, Dr. Aloi writes, "Patient also reported being
21 bullied and identified 'enemies' which was the reason for his transfer from another ICF unit to his
22 current placement...pt continues to present primarily with anxiety related to safety concerns,
23 particularly in a prison environment..." [PT 3 000512.]

24 22. During his inpatient psychiatric hospitalization, Patient 3 is repeatedly encouraged
25 to go out and participate in groups as part of his treatment. On September 18, 2020, he attends a
26 large group with other inmates but leaves after approximately 15 minutes. He subsequently cuts
27 himself with a staple and tells clinicians that the voices told him to "cut himself" and that he
28 didn't feel "safe." [PT 3 000735.]

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1 2 23. After Patient 3 superficially scratched his wrist, he is placed on a 1:1 watch and is repeatedly reevaluated for thoughts of suicide, which are noted to dissipate.

24. In his report, Dr. Stewart communicates only one portion from the treatment
record to describe Patient 3's presentation. He writes: "Patient 3 also experienced ongoing
suicidal ideation and some self-injurious behavior while waiting to be transferred to DSH,
including cutting himself on September 18, 2020, which resulted in his placement on 1:1
observation. He told staff he cut himself because the voices he hears were getting louder and
because he was not feeling safe. These factors highlight some of the potential risks of delaying
treatment in an inpatient hospital for someone like Patient 3-self-harm or even suicide."

10 25. Dr. Stewart's report does not disclose, however, that Patient 3's pattern of 11 scratching himself was generally related to his stated attempt to avoid being around other inmates. 12 The omission of this important information gives the impression that this self-injurious behavior is solely related to a psychotic illness. The treating psychologist documented her judgment that 13 14 Patient 3 did not demonstrate the level of psychotic symptoms consistent with his verbal report of 15 symptoms. In her note dated July 27, 2020, Dr. Aloi writes, "Pt also reported feelings of paranoia 16 due to his enemy concerns... Where pt continues to endorse AH, depression, and anxiety with 17 intermittent PTSD type panic responses, he has not been observed to actively responding to 18 internal stimuli and was able to engage in session without overt thought blocking or distraction 19 which would suggest overwhelming sxs. Pt has also not engaged in any acts of other than 20 superficial SIB which may suggest some impression management present, particularly as pt has 21 identified enemies which was the catalyst for his move to this unit." [PT 3 000502.] In other 22 words, the actual treatment records do not indicate that a delay in treatment resulted in Patient 3's 23 scratching his wrist, but rather that Patient 3 scratched his wrist to avoid being around other 24 inmates as much as possible so that he would not be attacked.

25 26. Dr. Stewart also opines that because Patient 3 did not want to come out of his cell
26 for treatment groups and that this behavior indicates that he was decompensating while awaiting
27 transfer. Dr. Stewart writes, "Starting in early September, Patient 3 started to refuse virtually all

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out of cell activity." He also notes, "This is a very significant sign of the ongoing decompensation
 in this patient."

3 27. There are two aspects of Dr. Stewart's claim that are false. First, Patient 3 had 4 concerns about going to groups and being around others prior to September. Therefore, his refusal 5 to attend many groups does not mean that this was a "very significant sign of ongoing 6 decompensation." Second, the records repeatedly document that Patient 3 did not want to leave 7 his cell to attend groups due to fears of being attacked by other inmates related to his prior gang 8 activity and not wishing to be involved in a fight. In her therapeutic intervention note dated 9 September 30, 2020, Dr. Paula Aloi (psychologist) writes that she asked Patient 3 why he was 10 refusing groups. Patient 3 told her that he did not want to go to groups "due to safety concerns 11 and didn't want to get more time." She also notes, "pt is clearly impacted by his safety concerns, 12 often restricting his interactions with other to functionally avoid any potential for a negative or 13 assaultive encounter which could jeopardize his parole or safety." [PT 3 000821.]

14 28. In her follow up evaluation on September 23, 2020, Dr. Aloi notes that Patient 3
15 told her that he cut/scratched his wrist because of anxiety he experienced in groups that triggered
16 derogatory auditory hallucinations, suicidal ideation with a resulting act of SIB. However, she
17 also notes that despite his report of hearing voices, he "did not appear to be responding to internal
18 stimuli" and he reported that he was no longer experiencing suicidal ideation. [PT 3 000794.]

19 29. Dr. Stewart also claims that the current correctional facility was not treating 20 Patient 3's depression. He writes, "My review of his treatment records also showed the CHCF is 21 not doing *anything* [italics added for emphasis] for Patient 3's diagnosed Depressive Disorder. 22 His treatment plan is focused on addressing his psychotic symptoms and does not include 23 elements to address his depression." Dr. Stewart's statement is untrue and factually incorrect. 24 Several notes indicate that clinicians provided specific interventions to address Patient 3's depression. Examples of treatment targeting his depressive symptoms include the following: 25 26 a. On July 15, 2020, Dr. Dhillon, his treating psychiatrist, writes that Patient 3 reported "feeling down." Dr. Dhillon adjusts the treatment plan to target his depressive 27

symptoms that have not responded to the previously prescribed antidepressant medications. He notes, "Plan is to go down on Lexapro and start Effexor to address

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1 2		depressive symptoms." [PT 3 000449.] This note substantiates that more than one medication trial specific for Patient 3's reported depression was initiated as an element
2		to address his depression and that his depressive symptoms were monitored.
3 4	b.	On July 23, 2020, Dr. Dhillon documents that Patient 3 is on the antidepressant venlafaxine for "low mood" and he notes that he is "improving." Dr. Dhillon is
5		appropriate addressing his depression with his follow up evaluation after recommending a change in the antidepressant. [PT 3 000497.]
6 7	c.	On August 5, 2020, Dr. Dhillon increases Patient 3's dose of antidepressant to further address his reported depressive symptoms. [PT 3 000509.]
8	d.	In the evaluation and treatment note dated September 23, 2020, Dr. Vikramjeet
9		Dhillon (treating psychiatrist) writes, "Patient was placed on one-to-one observation last Friday as he superficially cut himself on the left wrist and verbalized thoughts
10		toward himself. Pt. denies feeling hopeless and reports being a "6" on 0-10 happiness
11		scale where 10 is the happiest. Mood is reported as better and reports that medications have been helping." [PT 3 000789-000790.]
12		On this date, Dr. Dhillon increased Patient 3's antidepressant Venlafaxine to 225 mg
13 14		daily to "address depressive symptoms." This note specifically indicates that a clinical intervention specific to treating depression was identified, was implemented, and was helpful.
14	e.	In his psychiatric note dated September 27, 2020, Dr. Dhillon notes that Patient 3
15		requested to speak with him. He writes, "He reports that he is feeling better after increasing the dose of Haldol and venlafaxine. He is currently denying any suicidal or
17		homicidal ideation." [PT 3 000803]. This note indicates that the treating psychiatrist continued to follow up Patient 3's clinical response to the antidepressant after he had
18		increased the dose. Patient 3 told him that he was not suicidal and that he was feeling
19		better, again indicating that an effective treatment for depressive symptoms was implemented.
20	f.	In her nursing progress note dated October 8, 2020, Darlene Dulatre records that
21		Patient 3 denies suicidal and homicidal ideations and adds, "No depression reported."
22		[PT 3 000863.] The nurse's note further substantiates that Patient 3's depression had improved to the degree that he was reporting that he was no longer depressed. On this
23		same date, Patient 3 tells the nurse that his auditory hallucinations were only "noise in the background."
24	~	
25	g.	In his note dated October 14, 2020, Dr. Vikramjeet Dhillon (treating psychiatrist) writes, "Continue Venlafaxine to 225 mg daily to address depressive symptoms." [PT
26		3 000893.] The treatment note documents that Dr. Dhillon was specifically addressing depressive symptoms and medication treatment for depression.
27		depressive symptoms and medication doutlent for depression.
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1	30. Dr. Stewart suggests that while waiting for transfer, Patient 3 was not stabilizing.
2	He writes, "During his entire period waiting for transfer, Patient 3 was in need of stabilization and
3	diagnostic clarification. He had severe, active symptoms and was not responding to his
4	treatment." Dr. Stewart also asserts, "In my opinion, the care being provided to Patient 3 while he
5	was waiting for treatment in DSH was not adequate, as evidenced by his declining participation in
6	treatment, active psychotic symptoms, and self-injurious behavior while he waited for transfer."
7	31. In contrast to Dr. Stewart's assertion that Patient 3 was not responding to treatment
8	during the entire period he was waiting for transfer, the actual records indicate that was
9	responding and improving, even after he superficially cut his wrist with a staple on September 16,
10	2020. As noted previously, his depression significantly improved as his medications were
11	adjusted. In addition, his other psychiatric symptoms also improved while waiting for transfer.
12	Evidence to support this statement includes the following:
13	a. In the evaluation and treatment note dated September 29, 2020, Dr. Vikramjeet
14	Dhillon (treating psychiatrist) writes,
15	Pt. said, "I am feeling a little bit better." He reports being a #4 on 0-10 happiness scale where 10 is happiest. Pt reports that depression and anxiety are better compared to last
16 17	week. He spoke with his family recently. Reports that he is less concerned about going to ASH after he spoke with the family. He denies any suicidal/homicidal ideations with no intent/plan. Paranoid people will hurt him. He mentioned that the voices are
17	better with increasing the dose of the medications. Pt is exercising in his cell. Coming out to showers and eating three meals. Attended group yesterday, feeling little more comfortable but continues to feel anxious attending groups. Mostly comes out for solo
19	programming. He is compliant with medications and denies any side effects." [PT 3 000811.]
20	Dr. Dhillon notes that the Haldol (an antipsychotic medication) had been increased to
21	20 mg at bedtime for psychosis, and that he was prescribed Lithium for mood stabilization and Venlafaxine for depression. The treatment interventions were noting
22	to stabilize Patient 3 and to lessons his symptoms.
23	b. In the psychiatric technician note dated September 29, 2020, Randall Aldea
24	summarizes Patient 3's presentation from September 23, 2020 through September 29, 2020. He notes that although he is withdrawn/isolative, he is pleasant and
25	cooperative. He notes that Patient 3 is coherent and logical without observed pacing, paranoia, hallucinations, or delusions. He also notes that his mood is normal and that
26	he does not appear sad or angry. He records that he has been medication compliant
27	and has not refused any meals. [PT 3 000814-000815.]
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1 2	c.	In his evaluation and treatment note dated October 06, 2020, Dr. Vikramjeet Dhillon (treating psychiatrist) writes,
		Patient mentioned his mood as, "little better." He reports being #6 on 0-10 happiness
3 4		scale but denies happiest. Patient mentioned that the voices are better after increasing the dose of Haldol. Patient has been attending groups but feels nervous attending
5		them. He is denying any current suicidal or homicidal ideation with no intent or plan. He is in regular touch with his family who are supportive of him. He reports that he
6		keeps himself busy by, "reading books, exercise, watching TV and doing word searches. He reports feeling paranoid. He is complaint with medications and denies any side effects"
7		In his mental status examination dated October 06, 2020, Dr. Vikramjeet Dhillon
8 9		writes that Patient 3 is cooperative and that his mood is a "little better." His affect [e.g. observed emotions] is described as "broad," which substantiates that he has a normal range of emotions. His thinking is noted as "linear" with "racing thoughts"
10		and "paranoia." He reports that his auditory hallucinations are "getting better." His memory, attention, and concentration are all noted to be within normal limits and his judgment is noted to be "improving." [PT 3 000838.]
11		In marked contrast to Dr. Stewart's false assertion that Patient 3 was not improving,
12		the actual record notes that he was improving in multiple areas. Moreover, the record does not indicate that he was worsening or that he was being harmed.
13	d.	In the psychiatric technician note dated $10/06/2020$, Ashley Taylor summarizes Patient 3's presentation from $09/29/2020$ through $10/06/2020$. She notes that although he is
14 15		withdrawn/isolative, he is pleasant and cooperative, that his thought process is coherent and logical without observed pacing, paranoia, hallucinations, or delusions.
16		She also notes that his mood is normal and that he does not appear sad or angry. She records that he has been medication compliant and has not refused any meals. [Patient
17		000842-000843.]
18	e.	In her nursing monthly progress note for assessment and evaluation dated October 9,
19		2020, Darlene Dulatre summarizes Patient 3's monthly progress from September 9, 2020 through October 9, 2020. She notes that Patient 3 is "coherent/logical" and she
20		does not check the observational box that would indicate he appears paranoid. She
21		describes that he is "neat and clean", that his mood is "euthymic," and that he has averaged sleeping six to eight hours per night. She also notes that his weight is stable
22		and has not had an increase or decrease of 5% since the IPOC was created. [PT 3 000862.]
23	-	
24	f.	In the psychiatric technician note dated October 13, 2020, Randall Aldea summarizes Patient 3's presentation from 10/07/2020 to 10/13/2020. He notes that during this
25		week, no hallucinations or delusions were identified as being experienced by Patient 3. Patient 3 is recorded as having coherent and logical thought processes and was
26		described as pleasant and cooperative during this time period, even though he noted to
27		be withdrawn and isolative. [PT 3 000878-000879.]
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1 2	 g. In his evaluation and treatment note dated October 14, 2020, Dr. Vikramjeet Dhillon writes, "Pt reports his mood to be "OK." He reports being #6 on 1-10 happiness scale. He continues to report feeling a little paranoid around other people. He feels
3	comfortable attending groups. He reports hearing voices but reports that they are better
4	and under control after adjusting medications. He has been in touch with his family. He denies any suicidal/homicidal ideations with no intent/plan. He reports feeling a
5	little anxious about leaving but is able to handle himself. He has been compliant with medications and denies any side effects." [Patient 03 000893.]
6	Although Dr. Dhillon documents that Patient 3 has "+ paranoia" and "linear, racing
7	thoughts," his mental status examination October 14, 2020 also documents significant improvement. Dr. Vikramjeet Dhillon writes, "Behavior: cooperative; Speech:
8 9	normal; Mood: 'doing OK'; Affect: Broad; (+) AH, reports getting betterJudgment: Improving." [PT 3 000893.]
10	32. The above notes clearly contradict Dr. Stewart's allegations that Patient 3
11	continued to have severe active symptoms and that he was not responding to treatment. In fact,
12	Patient 3 began participating in groups while waiting for transfer, despite his fear that he might be
13	attacked by another inmate. In the note dated October 7, 2020, Dr. Paula Aloi writes that Patient
14	3 "attended his first group and his participation was appropriate, active, and engaged. He
15	participated and voluntarily contributed to the discussion with insightful comments. No
16	behavioral concerns were noted." [PT 3 000851.]
17	33. In summary, Dr. Stewart's description of Patient 3 is at best grossly misleading
18	and factually inconsistent with the record that he stated in his declaration he had reviewed. His
19	claim that Patient 3 experienced significant harm as a result of waiting for his transfer to DSH is
20	not supported by the contemporaneous records. As noted above, as of October 2020, Patient 3
21	described that his depression was improving, that he was no longer suicidal, that his auditory
22	hallucinations were "getting better," and that his concern about attending groups had diminished
23	so significantly that he was described by his treating psychologist as being active and engaged.
24	34. My review of Patient 3 demonstrates that Dr. Stewart's assertion that patients
25	awaiting transfer to DSH are suffering harm is derived from not only an insufficient and
26	incomplete analysis but also a misleading presentation of the evidence in this case of Patient 3.
27	The harms that Dr. Stewart claims in regard to Patient 3 while awaiting transfer to DSH and
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1	receiving treatment at CDCR are not supported by the evidence. Therefore, the court should not		
2	assume any harm is occurring based on his analyses and case reviews.		
3	I declare under penalty of perjury under the laws of the United States of America that the		
4	foregoing is true and correct.		
5	Executed in San Francisco, California on December 7, 2020.		
6	<u>/s/ Charles Scott</u>		
7	Charles Scott, M.D.		
8	(original signature retained by attorney)		
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Exhibit A

Charles L. Scott, MD

Chief, Division of Psychiatry and the Law Professor of Clinical Psychiatry Department of Psychiatry & Behavioral Sciences University of California, Davis Medical Center - Sacramento

MEDICAL LICENSE	California (G65591) Alaska (7891)
BOARD CERTIFICATION	
April 1998	Added Qualifications in Addiction Psychiatry American Board of Psychiatry and Neurology (Recertification April 2018; certification #1522)
April 1996	Added Qualifications in Forensic Psychiatry American Board of Psychiatry and Neurology (Recertification April 2016; certification #462)
September 1993	Child and Adolescent Psychiatry American Board of Psychiatry and Neurology
June 1992	General Psychiatry American Board of Psychiatry and Neurology

MEDICAL AND PSYCHIATRIC TRAINING

July 1995-June 1996	Forensic Psychiatry Fellowship University Hospitals, Cleveland, Ohio Case Western Reserve University School of Law
July 1989-June 1991	Child and Adolescent Psychiatry Fellowship University of California, San Francisco
July 1987-June 1989	Adult Psychiatry Residency Walter Reed Army Medical Center Washington, DC
July 1986-June 1987	Transitional Internship Walter Reed Army Medical Center Washington, DC

WORK EXPERIENCE

January 2002-present	Chief, Division of Psychiatry and the Law Professor of Clinical Psychiatry University of California, Davis	
	Responsible for provision of forensic psychiatry consultation and teaching to Napa State Hospital, oversight of Clinical Demonstration/Research Unit at Napa State Hospital, provision of mental health services to Sacramento County Jail, and Director of Psychiatry and the Law Forensic Evaluation Clinic.	
September 1998-present	Responsible for provision of forensic psychiatry consultation and teaching to Napa State Hospital, and Director of Psychiatry and the Law Forensic Evaluation Clinic.	
September 1998-present	Director, Forensic Psychiatry Fellowship University of California, Davis	
	Director of Forensic Psychiatry Fellowship Training Program overseeing forensic didactic training and education in landmark mental health law cases. Successfully achieved first time ACGME accreditation for the forensic psychiatry residency program in 1998 with subsequent five-year renewed accreditation awarded in 2002, and five-year renewed accreditation with commendation awarded in 2009 and 2013.	
September 1998-present	Director of Forensic Psychiatry Case Seminar UC Davis Forensic Psychiatry Residency	
	Responsible for the instruction of psychiatrists in criminal and civil psychiatric evaluations. These assessments include insanity and competency to stand trial evaluations, psychiatric damages evaluations, medical malpractice, independent medical examinations, sexual harassment examinations, and dangerousness assessments.	
September 1998-June 2003	Director of Basic Law for the Psychiatrist Seminar	

	UC Davis Forensic Psychiatry Residency
September 1998-July 2003	Co-Instructor of Mental Health Law Class <i>UC Davis School of Law</i>
September 1998-July 2003	Co-Instructor of Clinical Case Mental Health Law Class <i>UC Davis School of Law</i>
September 1998-2009	Psychiatric Consultant to Sacramento County Jail
September 1998-present	Director, Forensic Psychiatric Consultation Service, Napa State Hospital
September 1998-present	Psychiatric Consultant to Napa State Hospital
July 1996-August 1998	Assistant Professor of Psychiatry Assistant Clinical Professor of Pediatrics Tulane University Medical Center Department of Psychiatry and Neurology
	Served as faculty instructor for third year medical school psychiatric lectures, child and adolescent psychiatry residents and forensic psychiatry residents.
	Co-director of Tulane University Forensic Psychiatry Fellowship
	Successfully achieved first-time accreditation of fellowship by ACGME.
	Director of Competency Restoration Unit <i>Feliciana Forensic Facility</i>
	Responsible for provision of psychiatric services and competency to stand trial evaluations for the only maximum-security psychiatric unit in Louisiana. Director of Forensic Psychiatry Case Seminar Tulane Forensic Psychiatry Residency
	Responsible for the instruction of psychiatrists in criminal and civil psychiatric evaluations. These

assessments include insanity and competency to stand trial evaluations, psychiatric damages evaluations, medical malpractice, independent medical examinations, sexual harassment examinations, and dangerousness assessments.

Director of Child Psychiatry and the Law Section

Responsible for the instruction of psychiatry fellows in conducting custody evaluations. Instructor for the forensic psychiatry fellows in the assessment of juvenile transfers to adult court and juvenile competency to stand trial evaluations.

Psychiatric Consultant to Elayne Hunt Correctional Facility

From 1996-1997 served as psychiatric consultant to maximum-security correctional psychiatric unit.

July 1994-June 1995 Chief, Psychiatry Outpatient Service Chief, Child and Adolescent Psychiatry Services William Beaumont Army Medical Center El Paso, Texas

> Oversaw implementation of civilian CHAMPUS recapture program for children and adolescents in an area encompassing six states.

Developed and implemented the mental health emergency triage system for all six services under the Department of Mental Health.

Directed Quality Improvement Program for Department of Mental Health.

Supervised psychiatry residents from Texas Tech School of Medicine.

Served as JCAHO advisor to the Department of Mental Health and the Alcohol and Drug Abuse Counseling Service.

June 1993-June 1994 Chief, Department of Psychiatry

67th Combat Support Hospital Wuerzburg, Germany

Responsible for organizing and delivering care for a catchment area population of over 100,000 soldiers and family members.

Served as the European Drug and Alcohol Consultant to Adolescent Substance Abuse Counseling Services.

Developed an intensive training program for counseling interns with the University of Maryland and with paraprofessional staff.

Clinical Consultant to the Family Advocacy Case Management Team-panel to review referred cases of suspected child abuse.

Organized psychiatry review course for oral boards for military psychiatrists in Europe.

Doubled staffing and provided highest number of patient contacts of all military hospitals in Europe despite an aggressive downsizing of military forces.

Presented lectures to Wuerzburg School of Medicine and to European Medical Conference.

July 1992-June 1993Chief, Child and Adolescent Psychiatry Services
67th Evacuation Hospital
Wuerzburg, Germany

Developed an outpatient Child and Adolescent Psychiatry Service within 30 days of assuming job position.

Clinical consultant to adolescent drug and alcohol counselors, Department of Defense schools, developmental pediatricians and psychologists.

Clinical Consultant to the Family Advocacy Case Management Team-panel to evaluate referred cases of suspected child abuse.

July 1991-June 1992	Chief, Outpatient Psychiatry Service
	5th General Hospital
	Stuttgart, Germany

Awarded for the successful development of a transition care plan for all patients requiring continued psychiatric care after hospital closure.

POST GRADUATE EDUCATION

July 1982-May 1986	Emory University School of Medicine, Medical Degree <i>Cum Laude</i> graduate, Atlanta, Georgia	
UNDERGRADUATE EDUCATION		

September 1981-June 1982	University of St. Andrews, St. Andrews, Scotland Certificate of Merit Winner for Academic Achievement in Baroque Music History and Biochemistry
September 1978-June 1981	Emory University, Atlanta, Georgia, BA. Music

AWARDS AND HONORS

Alpha Omega Alpha Honor Society

Glaxo Welcome/Association for Academic Psychiatry Junior Faculty Development Award 1998-One of six junior psychiatric faculty nationwide recognized for dedication to teaching.

Phi Beta Kappa Honor Society

John Gordon Stipe Scholar-One of 12 undergraduates selected for four-year scholarship based on creative scholarship and academic achievement.

Robert T. Jones Scholar, 1982-Only undergraduate selected from over 4 thousand students for a full scholarship to University of St. Andrews, Scotland.

Outstanding Military Child Psychiatry Fellow, 1992-Awarded clinical practicum with Maudsley Hospital, London, England

United States Army Commendation Award for development of patient transition care plan, May, 1992.

United States Army Meritorious Service Medal for organizing the delivery of psychiatric service to an area encompassing over 60% of Germany, June, 1994.

United States Army Meritorious Service Medal for serving as the officer in charge of JCAHO preparation for the William Beaumont Army Medical Center Department of Mental Health and Alcohol and Drug Abuse Counseling Service.

Irma Bland, MD Certificate of Excellence in Teaching Residents Award in recognition of outstanding and sustained contributions to resident education awarded at the American Psychiatric Association Meeting, May 22, 2005

American Academy of Psychiatry and the Law Award for Best Teacher in a Forensic Psychiatry Fellowship program, October 2008

William T. Rossiter Award awarded by the California Forensic Mental Health Association of California for "an exceptional global contribution to the Field of Forensic Mental Health," 2014

Dean's Excellence in Mentoring Award, University of California, Davis School of Medicine, Sacramento, 2015

Distinguished Charles L. Scott Lecturer Award created in 2017 by Saint Louis University School of Medicine. This award was developed in honor of Dr. Scott's contributions to the field of forensic psychiatry as an annual distinguished lecture series for a forensic psychiatrist.

Manfred S. Guttmacher Award, 2018. This award from the American Psychiatric Associations recognizes the most single outstanding contribution to the literature of forensic psychiatry during a 12 month period. Received award for the book titled "Principles and Practice of Forensic Psychiatry," a 101 chapter book providing in-depth review and updates in the field for forensic psychiatry

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- 4. Scott CL, Nabong M: *Davis v. Monroe County*. J Am Acad Psychiatry Law, 28(3):348-351, 2000
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- 12. Scott, CL, Gerbasi, JB: *Atkins v. Virginia*: Execution of Mentally Retarded Defendants Revisited. J Am Acad Psychiatry Law, 31(1):101-105, 2003
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- Quanbeck CD, Stone DC, McDermott BE, Boone K, Scott CL, Frye MA: Relationship Between Criminal Arrest and Community Treatment History Among Patients with Bipolar Disorder. Psychiatr Serv, 56(7):847-852, 2005
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- 27. McDermott BE, Edens JF, Quanbeck CD, Busse D, Scott CL: Examining the Role of Static and Dynamic Risk Factors in the Prediction of Inpatient Violence: Variable and Person-Focused Analyses. Law Hum Behav, 32(4):325-38, 2008
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- 65. Scott CL and McBride, AB: Violence and Suicide Risk Assessment in Youth with Psychotic Disorders: Practical Considerations for Community Clinicians. Child Adolesc Psychiatric Clin N Am 29, 43-55, 2020
- 66. Scott CL: Jail Diversion: A Practical Primer. CNS Spectrums (2020), 25, 651-658.
- 67. Cummings MH, Scott CL, Arguello JC, et al. The Cal-DSH Diversion Guidelines. CNS Spectrums 25(5), 701–713.

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- 2. Scott CL (Editor): Handbook of Correctional Mental Health, 2nd Edition, American Psychiatric Publishing, Inc., Washington, DC, 2010
- Benedek, EP, Ash P and Scott CL (Editors): Principles and Practice of Child and Adolescent Forensic Mental Health, American Psychiatric Publishing, Inc., Washington, DC, 2010
- 4. Ruiz, A, Dvoskin JA, Scott CL, and Metzner, JL (Editors): Manual of Forms and Guidelines for Correctional Mental Health, 2nd Edition, American Psychiatric Publishing, Inc., Washington, DC, 2010
- Scott CL: DSM-5 and the Law: Changes and Challenges, Oxford University Press, New York, 2015
- Trestman R (Chair), Champion M, Ford E, Metzner J, Newkirk CF, Penn JV, Pinals DA, Scott CL, Stellman RE, Weinstein HC, Weinstock R (Editors). Psychiatric Services in Correctional Facilities: Third Edition. American Psychiatric Association, Arlington, Virginia, 2015
- 7. Rosner R, Scott CL (Editors): Principles and Practice of Forensic Psychiatry, Third Edition, Third Edition, CRC Press, Boca Raton-London-New York, 2017

EDITED JOURNALS

1. Scott CL: (Editor) Forensic Psychiatry, Psychiatric Clinics of North America, Volume 29, September 2006

- Scott CL: (Editor) Forensic Issues, Psychiatric Clinics of North America, Volume 35, Issue 4, 2012
- 3. Warburton K, Scott CL (Editors): CNS Spectr, 2014

LIMITED DISTRIBUTION

- 1. Scott CL: "School Violence and the Assessment of Juvenile Violence," Hillsborough County Florida Violence Risk Training, 1998
- 2. Scott CL: "Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2002-2007
- 3. Scott CL: "Juvenile Court Assessments," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2002-2003
- 4. Scott CL: "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2002-2007
- 5. Scott CL: "Child Custody," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2002-2007
- 6. Scott CL: "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2002-2007
- 7. Scott CL: "Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2002-2003
- Scott CL: "Violence Risk Assessment," National Football League Training, 1-30, 2003
- 9. Scott CL: "Juvenile's Rights and Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2004-2007
- 10. Scott CL: "Correctional Psychiatry-Part I," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2004-2007
- 11. Scott CL: "Correctional Psychiatry-Part II," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2004-2007
- 12. Scott CL: "Right to Psychiatric Treatment," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2004-2007

- Scott CL: "Soldiers of Satan-Clinical Interventions with Individuals Involved in Satanic Cults," American Academy of Adolescent Psychiatry National Conference, Los Angeles, October 26, 2004
- 14. Scott CL: "California Court Evaluation of NGRI Acquittees: A Research Study Examining 930 NGRI Evaluations/Proposed Legislation to Amend Penal Code §25 Not Guilty by Reason of Insanity," Counsel on Mentally Ill Offenders, San Francisco, California, January 9, 2005
- 15. Scott CL: "An Overview of Conduct Disorder: From 'Misbehavin' to Ain't Mibehavin, '" Annual Children's Mental Health Symposium, Cincinnati, Ohio, March 2005
- 16. Scott CL: "How to make your best presentations now!" American Academy of Psychiatry and the Law, Montreal, Canada, October 2005
- 17. Scott CL: "Juvenile Sex Offenders," Annual Children's Mental Health Symposium, Cincinnati, Ohio, March 2006
- 18. Scott CL: "Assessment of Malingerers and Psychopaths, Fakes or Snakes?" California Forensic Mental Health Association, March 15, 2006
- Scott CL: "Forensic Psychiatry and Expert Witness Testimony: a 'Primer' for Attorneys," Placer County Bar Association, 2007 Granlibakken Conference, April 15, 2006
- 20. Scott CL: "Psychic Harm and Disability," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2006-present
- 21. Scott CL: "Aggression Reduction Training: the 'ART' of Interventions," California Department of Mental Health, Mandatory Training at Coalinga State Hospital, Metropolitan State Hospital, Patton State Hospital, Vacaville Prison, and Atascadero State Hospital, 2006
- 22. Scott CL: "Not Guilty by Reason of Insanity: Did the Alienists Reports Follow California Law," The Individual With Schizophrenia: Evidence-Based Practices for Recovery, 13th Annual Symposium, Loma Linda University, April 11, 2007
- 23. Scott CL: "Sexual Offenders and the Law, An Overview of Legal and Treatment Issues," The Individual With Schizophrenia: Evidence-Based Practices for Recovery, Loma Linda 13th Annual Symposium, April 11, 2007
- 24. Scott CL: "Child Witness Testimony," Placer County Bar Association, 2007 Granlibakken Conference, April 20, 2007

- 25. Scott CL: "Malingering in Civil Cases," Placer County Bar Association, 2007 Granlibakken Conference, April 21, 2007
- 26. Scott CL: "Malingering," Cedar Rapids Mental Health Conference, Cedar Rapids, May 10, 2007
- 27. Scott CL: "Clinical Risk Assessment of Violence," Cedar Rapids Mental Health Conference, Cedar Rapids, May 10, 2007
- 28. Scott CL: "Aggression Reduction Training," Cedar Rapids Mental Health Conference, Cedar Rapids, May 10, 2007
- 29. Scott CL: "Psychotherapy 101 For Forensic Offenders," UC Davis/Napa State Hospital Forensic Visiting Scholars Program, May 15, 2007
- 30. Scott CL: "Right to Treatment and Right to Refuse Treatment," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, 2014-present

ALTERNATIVE MEDIA

- 1. Scott CL: Juvenile Court Assessments, Psychiatric Update, Vol. 28, No. 6, 1999
- 2. Resnick PJ, Pinals D, Scott CL: Risk Assessment for Violence, Psychiatric Update, Vol. 22, No. 4, 2002
- 3. Scott CL: Sex Offenders, Audio-Digest Psychiatry, Vol. 36, No. 16, 2007
- 4. Scott CL: Correctional Psychiatry and Right to Treatment, Audio-Digest Psychiatry, Vol. 37, No. 19, 2008
- 5. Scott CL: Sex Offenders and the Law, Audio-Digest Psychiatry, Vol. 41, No. 3, 2012

BOOK REVIEWS

- 1. Scott, CL: Prison Madness: Life Behind Bars, by Terry Kupers, MD: A Review, The Northern California Psychiatric Physician, July/August 1999
- 2. Scott CL: Forensic Mental Health Assessment: A Casebook (Editors: Heilbrun, Marczyk, Matteo), The Journal of Forensic Psychiatry & Psychology, 14, 2003
- 3. Scott CL: Corrections, Mental Health, and Social Policy: International Perspectives (Editors: Ax and Fagan), The Journal of Forensic Psychiatry & Psychology, 20, 2009

COLUMNS

2003-2012 Writer of "Muse and Views" Column, published biannually in the American Academy of Psychiatry and the Law Newsletter

JOURNAL PEER REVIEWER

The Journal of the American Academy of Psychiatry and the Law

The American Journal of Psychiatry

The Journal of Forensic Psychiatry

Behavioral Sciences and the Law Psychiatric Services

Journal of Forensic Psychiatry and Psychology

Academic Psychiatry

Criminal Behavior and Mental Health

EDITORIAL BOARDS

Behavioral Sciences and the Law

American Psychiatric Publishing

Psychiatric Clinics of North America

Correctional Mental Health Law Reporter

Criminal Behavior and Mental Health

The Journal of the American Academy of Psychiatry and the Law (2007 - 2013)

GRANTS/APPROVED RESEARCH PROTOCOLS

1. An Archival Review of Substance Use in Not Guilty by Reason of Insanity Acquittees-Principal Investigator: UC Davis Human Subjects Review Committee Protocol # 200311155-2. Recipient of FARDF Grant (Faculty Alumni Research Development Fund) for \$36,000.

The purpose of this research is to examine the relationship between substance use and violent crime in 500 Not Guilty by Reason of Insanity Acquittees. This retrospective research project reviews the police records, witness statements, mental health court reports, and psychiatric records and examines the relationship of substance use to

violence, mental health symptoms, location of crime, victim type, weapon choice, prior psychiatric history, prior substance use treatment, and prior history of violence. Over 930 separate court reports have been analyzed as part of this research project.

 Retrospective Record Review Studies of In-Hospital Aggression and Violence in Forensic and Non-Forensic Patients. Principal Investigator: UC Davis Human Subjects Review Committee Protocol #200311487-2

The purpose of this research is to conduct systematic and quantitative research to examine correlates of aggression and violence in forensic and civilly committed patients at a 1000 bed state hospital. This research examines both static and dynamic factors associated with aggression at Napa State Hospital through the examination of hospital wide databases and medical records. In addition, all instances of aggression and violence noted in the subject's chart are coded according to the Modified Overt Aggression Scale (MOAS). Chart reviews also include an analysis of in hospital aggression as correlated through scores on the PCL-SV (Hare Psychopathy Check List-Short Version). A detailed analysis of individuals in walking restraints is conducted comparing subjects who commit assaultive acts secondary to impulsive, predatory, or medical causes.

 Assessment of Mental illness, Violence Risk and Readiness for Release in a Forensic Facility. Co-Investigator: UC Davis Human Subjects Review Committee Protocol # 200210712-2

This research conducts a prospective analysis of Mentally Disordered Offenders (MDO's) and Not Guilty by Reason of Insanity Acquittees (NGRI's) committed to Napa State Hospital prior to their conditional release into the community. The evaluations include standardized violence risk assessment measures (PCL-R, V-RAG, HCR-20), Barratts Impulsiveness Scale, Novaco Anger Scale, Modified Overt Aggression Scale (MOAS), DSM Checklist, SAPS, SANS, Community Outpatient Treatment Readiness Profile (COT), and the Social Adaptive Functioning Evaluation (SAFE). One goal of this research is to allow a prospective evaluation of the utility of these assessment instruments by examining their relationship to the subject's failure or success when placed in conditional release programs outside of the hospital.

 Success in CONREP as a Tool for Program Evaluation: The Development of an Exemplary Program. Co-Investigator: UC Davis Human Subjects Review Committee Protocol #: 200311633-2

The goal of this research is to characterize the differences between Mentally Disordered Offenders (MDO's) and Not Guilty by Reason of Insanity Acquittees (NGRI's) who have successfully been discharged from the hospital into the community Conditional Release Program (CONREP) versus those who have not maintained success in their outpatient conditional release placement. This study examines the comprehensive California Department of Mental Health database of all patients released into CONREP since its inception in 1986. A total of 3,111 patients have received services through CONREP, of which 437 were discharged from NSH. In addition, this study examines the complete rap sheet of all patients admitted to the CONREP program through information released by the Department of Justice to the California Department of Mental Health every six weeks.

5. Capacity of Forensic Patients to Consent to Clinical Research: Co-Investigator: Protocol #200311521-1

The purpose of this research is threefold: 1) To carefully evaluate the capacity issues in patients with dual vulnerability: psychiatric diagnosis and involuntary commitment via the criminal statutes, using a structured interview (the MacArthur Competence Assessment Tool for Treatment to evaluate an individual's capacity to consent to research [MacCAT-R]); 2) to carefully define the areas of deficiencies that individuals with these dual vulnerabilities may possess; and 3) to provider recommendations regarding how to translate scores on the MacCAT-CR into judgments about competence in this population.

6. Violence in Forensic and Non-Forensic Patients. Co-Investigator: UC Davis Human Subjects Review Committee Protocol #: 994663

The purpose of this research is to conduct a prospective analysis of static and dynamic risk factors associate with in-hospital aggression in both forensic and civilly committed psychiatric patients. The evaluations include standardized violence risk assessment measures (PCL-R, HCR-20), Barratts Impulsiveness Scale, Novaco Anger Scale, Modified Overt Aggression Scale (MOAS), SCID, SID-P, SAPS, SANS, and standardized neuropsychological testing.

NATIONAL BOARD REVIEW COURSE INSTRUCTOR

One of four national faculty instructors for the Annual American Academy of Psychiatry and the Law Board Review Course, October 1996 to present. Instructor for the topics of "Correctional Psychiatry-Clinical Issues," "Correctional Psychiatry-Legal Issues," "Psychiatry and the Death Penalty," "Juvenile's Rights and Juvenile Court," "Sexual Offenders and the Law," "Child Custody," "Child Abuse" and "Child Witness Testimony," "Right to Treatment," "Psychic Harm and Disability," and "Psychiatric Disability." **NATIONAL CONSULTATION**

October 1998 Forensic Psychiatric Consultant to Hillsborough School District, Florida

Responsible for providing training for the assessment and treatment of violent youth in school.

September 2003 Forensic Psychiatric Consultant for the Clinicians of the National Football League (NFL) Policy and Program for Substances of Abuses

> Responsible for developing a comprehensive violence risk assessment training program and syllabus for substance abuse counselors for the National Football League.

November 2007 Forensic Psychiatric Consultant for the Clinicians of the National Football League (NFL) Policy and Program for Substances of Abuses

> Responsible for developing trainings in juvenile violence risk assessment and recognizing psychopathy in future aggression for substance abuse counselors for the National Football League.

February/March 2017 Psychiatric Interviewer and Consultant to the National Aeronautics and Space Administration (NASA)

Responsible for interviewing final applicants for position as astronaut with NASA and making recommendations regarding fitness for NASA space travel.

PROFESSIONAL ORGANIZATIONS

American Academy of Addiction Psychiatry

American Academy of Child and Adolescent Psychiatry

American Academy of Psychiatry and the Law

American Psychiatric Association

NATIONAL TASK FORCE APPOINTMENTS

October 1999-present American Academy of Psychiatry and the Law, Member of National Task Force developing practice guidelines for the evaluation of criminal responsibility

 May 2001-present
 Chair, National Task Force to examine ACGME guidelines for Forensic Psychiatry Training
 May 2001-present
 Chair, National Task Force to develop core competencies and training guidelines for Forensic Psychiatry in ACGME accredited training programs.

October 2002-present American Academy of Psychiatry and the Law, Member of National
Task Force developing practice guidelines for the evaluation of
Competency to Stand Trial

- October 2013-2014 American Academy of Psychiatry and the Law, Chairman of Financial Task Force, Chair
- March 2014-2015 Member, American Psychiatric Association National Task Force for drafting *Psychiatric Services in Correctional Facilities* Resource Document
- March 2014-present Accreditation Council for Graduate Medical Education and the American Board of Psychiatry and Neurology, Member of the Forensic Psychiatry Working Group developing a subspecialtyspecific system of competency based learning and assessment for forensic psychiatry training programs.

October 2014-present American Academy of Psychiatry and the Law, Member of National Task Force on Technology for Education Purposes October 2014-present American Academy of Psychiatry and the Law, Member of National Maintenance of Certification Task Force

NATIONAL OFFICES

President of the American Academy of Psychiatry and the Law-Association of Directors of Forensic Psychiatry Fellowships (1996-2010)

American Academy of Psychiatry and the Law-Counselor (2002-2005)

Vice President of American Academy of Psychiatry and the Law (2010)

President Elect of American Academy of Psychiatry and the Law (2011)

President of American Academy of Psychiatry and the Law (2012)

NATIONAL PROFESSIONAL COMMITTEES

American Academy of Psychiatry and the Law-Peer Review Committee (1997-2005)

American Academy of Psychiatry and the Law-Rappeport Fellow Selection Committee (1996-2002)

American Academy of Psychiatry and the Law-Ethics Committee (1999-2004)

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American Academy of Psychiatry and the Law-Sex Offender Committee (2004-2006, 2011, 2017)

American Academy of Psychiatry and the Law-Association of Directors of Forensic Psychiatry Fellowships (2006-present)

American Academy of Psychiatry and the Law-Executive Council (2011-2013)

American Academy of Psychiatry and the Law-Program Committee (2011-present)

American Academy of Psychiatry and the Law-Maintenance of Certification Committee (2014-Present)

American Academy of Psychiatry and the Law-Institute of Research and Education (2013present)

American Academy of Psychiatry and the Law-Education Committee (2013-present)

American Academy of Psychiatry and the Law-Awards Committee (2014-present)

American Academy of Psychiatry and the Law-Public Relations Committee (2017-present)

American Academy of Psychiatry and the Law-Government Affairs Committee (2017present)

CALIFORNIA STATEWIDE COMMITTEES

2002-2010 Member, California Statewide Judicial Action Committee

NATIONAL PRESENTATIONS

- 1. "Treatment of Tourette's Disorder," National Military Psychiatry Conference, San Antonio, Texas, March 1994
- 2. "The Prediction of Violence," National Conference of Hospital Administrators, New Orleans, Louisiana, October 1996
- 3. "An Overview of Psychiatry and the Law," National Conference of Hospital Administrators, New Orleans, Louisiana, October 1996
- 4. "The Many Faces of Competency," National Conference of Hospital Administrators, New Orleans, Louisiana, October 1996
- 5. "An Overview of Psychiatric Malpractice," National Conference of Hospital Administrators, New Orleans, Louisiana, October 1996

- 6. "An Overview of Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Puerto Rico, October 1996
- 7. "Prediction of Juvenile Dangerousness," American Academy of Psychiatry and the Law Annual Meeting, Denver, Colorado, October 1997
- 8. "Juvenile Transfers to Adult Court-Waiving Good-bye to Rehab," American Academy of Psychiatry and the Law, Denver, Colorado, October 1997
- 9. "Forensic Assessment of Emotional Abuse," American Academy of Psychiatry and the Law, Denver, Colorado, October 1997
- 10. "An Overview of Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Denver, Colorado, October 1997
- 11. "The Psychiatric Assessment of Juvenile Dangerousness," American Psychiatric Association, Toronto, Canada, May 1998
- 12. "School Violence-the Assessment of Kids who Threaten," Hillsborough County School System, Tampa, Florida, October 1998
- 13. "An Overview of Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, New Orleans, Louisiana, October 1998
- 14. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, New Orleans, Louisiana, October 1998
- 15. "An Overview of Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, New Orleans, Louisiana, October 1998
- 16. "The Psychiatrist Role in Death Penalty Evaluations," American Academy of Psychiatry and the Law, New Orleans, Louisiana, October 1998
- 17. "Competency Evaluations of the Mentally Retarded," American Academy of Psychiatry and the Law, New Orleans, Louisiana, October 1998
- "An Overview of Conduct Disorder," Hillsborough County School System, Tampa Florida, February 1999
- 19. "Assessment of School Violence," American Academy of Psychiatry and the Law Annual Meeting, Baltimore, Maryland, October 1999
- 20. "An Overview of Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Baltimore, Maryland, October 1999

- 21. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Baltimore, Maryland, October 1999
- 22. "An Overview of Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, New Baltimore, Maryland, October 1999
- 23. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, New Baltimore, Maryland, October 1999
- 24. "Ethical Dilemmas, Ask the Experts," American Academy of Psychiatry and the Law Annual Meeting, Baltimore, Maryland, October 1999
- 25. "Juvenile Violence," American Psychiatric Association National Meeting, Chicago, Illinois, May 2000
- 26. "Ethical Dilemmas-Ask the Experts," American Academy of Psychiatry and the Law Annual Meeting, Vancouver, Canada, October 2000
- 27. "Presentation of the American Academy of Psychiatry and the Law Task Force on Criminal Responsibility," American Academy of Psychiatry and the Law Annual Meeting, Vancouver, Canada, October 2000
- 28. "Sexual Harassment in the Schoolyard-Hurting or Flirting," American Academy of Psychiatry and the Law Annual Meeting, Vancouver, Canada, October 2000
- 29. "An Overview of Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Vancouver, Canada, October 2000
- 30. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Vancouver, Canada, October 2000
- 31. "An Overview of Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Vancouver, Canada, October 2000
- 32. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Vancouver, Canada, October 2000
- 33. "Child Custody," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Vancouver, Canada, October 2000
- 34. "Ethical Dilemmas-Ask the Experts," American Academy of Psychiatry and the Law Annual Meeting, Boston, Massachusetts, October 2001

- 35. "Difficult Cases-Forensic Case Consultation," American Academy of Psychiatry and the Law Annual Meeting, Boston, Massachusetts, October 2001
- 36. "An Overview of Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Boston, Massachusetts, October 2001
- 37. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Boston, Massachusetts, October 2001
- 38. "An Overview of Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Boston, Massachusetts, October 2001
- 39. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Boston, Massachusetts, October 2001
- 40. "Child Custody," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Boston, Massachusetts, October 2001
- 41. "Child Abuse/Child Witness Testimony," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Boston, Massachusetts, October 2001
- 42. "Landmark Case Update," Gerbasi J, **Scott C**. American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 43. "Assessing and Managing Dangerous Behaviors in a Forensic State Hospital," Sokolov G; Quanbeck C; McDermott; **Scott C**, American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 44. "Characteristics Predicting Success in CR Programs,"," American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 45. "Categorizing Sex Offenders: Implications for Treatment,", Keeler W, Blunt T, Scott C, Guillory S, American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 46. "Update on Chemical Castration of Sex Offenders," Holmberg T; Scott C, American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 47. "Characterization of Female Forensic Inpatients," Wieneke, M; McDermott B; Hoff A; Scott C; Espinoza S, American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002

- 48. "SCID Module for the Diagnosis of Paraphilias," Panizzon M; Scott C; McDermott B, American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 49. "Difficult Cases-Forensic Case Consultation," American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 50. "Ethical Dilemmas-Ask the Experts," American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 51. "Violence in Long-Stay Psychiatric Inpatients," Hoff A; **Scott C**; McDermott B; Wienke M; American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 52. "Does Inpatient Behavior Predict Patterns of Revocation?," McDermott B; Scott C; Mone R; Hoffe A; American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 53. "Advice for the Early Career Psychiatrist-Early Career Committee," Guest Panelist, American Academy of Psychiatry and the Law Annual Meeting, Newport Beach, California, October 2002
- 54. "An Overview of Correctional Psychiatry," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Newport Beach, California, October 2002
- 55. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Newport Beach, California, October 2002
- 56. "An Overview of Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Newport Beach, California, October 2002
- 57. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Newport Beach, California, October 2002
- 58. "Child Custody," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Newport Beach, California, October 2002
- 59. "Child Abuse/Child Witness Testimony," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Newport Beach, California, October 2002
- 60. "Sexual Offenders, Psychiatry and the Law," United States Air Force Wilford Hall Annual Distinguished Visiting Professor Lecture, San Antonio, Texas, March 2003
- 61. "Assessment and Treatment of the Antisocial Personality Disorder," Colorado State Hospital, Colorado, May 2003

- "The Risk Assessment of Violence in Professional Athletes," National Football League (NFL) Annual Conference of Substance Abuse Counselors, Houston, Texas, September, 2003
- 63. "AAPL Task Force on Competence to Stand Trial," Panel Presentation, American Academy of Psychiatry and the Law Annual Meeting, San Antonio, Texas, October 2003
- 64. "Restoring Competence for Execution," Debate Presentation, American Academy of Psychiatry and the Law Annual Meeting, San Antonio, Texas, October 2003
- 65. "Court Reporters and NGRI Findings: Fact or Fiction?", Research in Progress, American Academy of Psychiatry and the Law Annual Meeting, San Antonio, Texas, October 2003
- 66. "Intoxication and Insanity: A Study of 500 NGRI Acquittees," Research in Progress, American Academy of Psychiatry and the Law Annual Meeting, San Antonio, Texas, October 2003
- 67. "Capacity of Forensic Patients, The Use of the MacCAT-CR," Research in Progress, American Academy of Psychiatry and the Law Annual Meeting, San Antonio, Texas, October 2003
- 68. "Landmark Case Update," Panel Presentation, American Academy of Psychiatry and the Law Annual Meeting, San Antonio, Texas, October 2003
- 69. "An Overview of Correctional Psychiatry-Part I," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, San Antonio, Texas, October 2003
- 70. "An Overview of Correctional Psychiatry-Part II," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, San Antonio, Texas, October 2003
- 71. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, San Antonio, Texas, October 2003
- 72. "An Overview of Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, San Antonio, Texas, October 2003
- 73. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, San Antonio, Texas, October 2003
- 74. "Child Custody," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, San Antonio, Texas, October 2003
- 75. "Child Abuse/Child Witness Testimony," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, San Antonio, Texas, October 2003

- 76. "An Overview of Juvenile Violence," Children's Hospital Grand Rounds, November 2003
- 77. "Sexually Violent Predator Legislation," University of Cincinnati Grand Rounds, November 2003
- "Sexual Offenders and the Law," University of Mississippi Grand Rounds, December 2003
- 79. "An Assessment of Juvenile Violence," California Youth Authority Conference, UC Davis, March 2004
- 80. "Soldiers of Satan-An Overview of Satanic Cults," American Association of Adolescent Psychiatry, Los Angeles, California, March 2004
- 81. "Risk Factors for Juvenile Violence," Children's Hospital Annual Symposium, Cincinnati, Ohio, April 2004
- 82. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 83. "Correctional Psychiatry Part I," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 84. "Correctional Psychiatry Part II," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 85. "Right to Treatment," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 86. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 87. "An Overview of Minor's Rights and Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 88. "Child Custody," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 89. "Child Abuse/Child Witness Testimony," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Scottsdale, Arizona, October 2004
- 90. "Do Anger and Impulsivity Predict Institutional Aggression? Research in Progress," American Academy of Psychiatry and the Law, Scottsdale, Arizona, October 2004

- "Profiling Chronically Aggressive Behavior in State Hospital Patients, Research in Progress," American Academy of Psychiatry and the Law, Scottsdale, Arizona, October 2004
- 92. "Are Forensic Patients Susceptible to Coercion in Research? Research in Progress," American Academy of Psychiatry and the Law, Scottsdale, Arizona, October 2004
- 93. "Pitfalls in Clinical and Forensic Practice: A Presentation and Discussion of Case Scenarios," Workshop, American Academy of Psychiatry and the Law, Scottsdale, Arizona, October 2004
- 94. "Insane Women: Research Findings of 61 NGRI Acquittees, Research in Progress," American Academy of Psychiatry and the Law, Scottsdale, Arizona, October 2004
- 95. "An Overview of Juvenile Conduct Disorder," Children's Hospital Annual Symposium, Cincinnati, Ohio, April 2005
- 96. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 97. "Correctional Psychiatry Part I," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 98. "Correctional Psychiatry Part II," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 99. "Right to Treatment," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 100. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 101. "An Overview of Minor's Rights and Juvenile Court," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 102. "Child Custody," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 103. "Child Abuse/Child Witness Testimony," American Academy of Psychiatry and the Law, Forensic Psychiatry Review Course, Montreal, Canada, October 2005
- 104. "Uncovering Death's Dilemmas: The Psychological Autopsy," American Academy of Psychiatry and the Law, Montreal, Canada, October 2005

- 105. "How to Make Your Best Presentation Now!," American Academy of Psychiatry and the Law, Montreal, Canada, October 2005
- 106. "The Use of Psychopathic Personality Inventory (PPI) in a Forensic Sample," American Academy of Psychiatry and the Law, Montreal, Canada, October 2005
- 107. "Aggression Reduction Training-A Nursing Survival Guide," American Psychiatric Nurses Association Annual Meeting, Long Beach California, October 2006
- 108. "Child Abuse Child Witness," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 109. "Child Custody," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 110. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 111. "Death Penalty," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 112. "Disability and Psychic Harm," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 113. "Juvenile's Rights and Juvenile Court," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 114. "Right to Treatment," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 115. "Sex Offenders," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 116. "Conditional Release Decision Making," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 117. "Serial Killers: From Cradle to Grave," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 118. "Sex Offenders and Insanity: An Examination of 42 Individuals Found Not Guilty by Reason of Insanity," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 119. "The Ultimate Taboo: When an NGRI Acquittee Reoffends," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006

- 120. "The Internet and Child Pornography: the Impact of Forensic Assessments," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2006
- 121. "Not Guilty by Reason of Insanity: Did the Alienists Reports Follow California Law," The Individual with Schizophrenia: Evidenced-Based Practices for Recovery Conference, Patton State Hospital, Patton, California, April 2007
- 122. "Sexual Offenders and the Law," The Individual with Schizophrenia: Evidenced-Based Practices for Recovery Conference, Patton State Hospital, Patton, California, April 2007
- 123. "Aggression Reduction Training," Mercy Medical Center, Cedar Rapids, Iowa, May 2007
- 124. "Assessment of Dangerousness" Mercy Medical Center, Cedar Rapids, Iowa, May 2007
- 125. "Detection of Malingering," Mercy Medical Center, Cedar Rapids, Iowa, May 2007
- 126. "Internet Child Pornography and Mental Illness: The Psychiatrist's Role," American Psychiatric Association Annual Meeting, San Diego, May 2007
- 127. "Psychic Harm and Workers Compensation," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 128. "Death Penalty," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 129. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 130. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 131. "Psychiatric Disability," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 132. "Child Custody," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 133. "Juvenile Court and Minor's Rights," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 134. "Right to Treatment," American Academy of Psychiatry and the Law, Miami, Florida, October 2007

- 135. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 136. "Cults and Forensic Psychiatry: Unraveling the Ties that Bind," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 137. "Assessment of Child Pornography Offenders: A Hands-On Guide for Forensic Psychiatrists," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 138. "The Aging Forensic Population: Are They Dangerous?", American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 139. "The Relationship of Psychopathy to Institutional Aggression," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 140. "Forensic Psychiatry Fellowships: Faculty Competencies," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 141. "Developing a Research Curriculum for Fellowship Research and ADFPF Committees," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- 142. "Aggression in Late Adolescence and Early Adulthood: Do Bad Boys Become Better Men?", NFL Program for Substances of Abuse Treating Clinician Conference, Phoenix, Arizona, November 2007
- 143. "Juvenile Violence: Structured Risk Assessments and Treatment Interventions," Trends in Juvenile Violence Conference, Hamilton County Juvenile Court, Cincinnati, Ohio, April 2008
- 144. "Juvenile Violence: Special Populations: Stalkers, Sex Offenders, Murderers, and School Shooters," Trends in Juvenile Violence Conference, Hamilton County Juvenile Court, Cincinnati, Ohio, April 2008
- 145. "Juvenile Violence: Current Trends and Clinical Risk Assessments," Trends in Juvenile Violence Conference, Hamilton County Juvenile Court, Cincinnati, Ohio, April 2008
- 146. "Psychic Harm and Workers Compensation," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 147. "Death Penalty," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008

- 148. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 149. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 150. "Psychiatric Disability," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 151. "Child Custody," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 152. "Juvenile Court and Minor's Rights," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 153. "Right to Treatment," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 154. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 155. "Sexual Harassment," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 156. "Landmark Case Updates: What's New in the Law and Mental Health," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 157. "The Use of the COVR in a Forensic Inpatient Setting," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 158. "Ethics Training in Forensic Psychiatry," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 159. "Forensic Psychiatry and the Internet: Untangling the Web," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 160. "Antecedents to Assaults Motivated by Psychosis," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 161. "Restoration of Trial Competency: A Performance Improvement Project," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 162. "Chemical and Surgical Castration: Ethics and Efficiency," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008

- 163. "Mandated Treatment of Dual Diagnosis in Native American Youth," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008
- 164. "Dangerousness: From Cradle to Grave," Forensic Mental Health Update, Idaho Psychiatric Association 2009 Annual Meeting, Boise, Idaho, April 2009
- 165. "Evaluating Psychic Harm and Disability: Mentally Ill or Malingering?", Forensic Mental Health Update, Idaho Psychiatric Association 2009 Annual Meeting, Boise, Idaho, April 2009
- 166. "Sexual Harassment: 'What's Love Got to Do With It?'", Forensic Mental Health Update, Idaho Psychiatric Association 2009 Annual Meeting, Boise, Idaho, April 2009
- 167. "Assessing Psychiatric Competencies Competently," Forensic Mental Health Update, Idaho Psychiatric Association 2009 Annual Meeting, Boise, Idaho, April 2009
- 168. "Psychiatric Malpractice and Courtroom Testimony: The Survival Guide," Forensic Mental Health Update, Idaho Psychiatric Association 2009 Annual Meeting, Boise, Idaho, April 2009
- 169. "Forensic Psychiatry and the Internet," American Psychiatric Association, San Francisco, California, May 2009
- 170. "The Law and Sex Offenders," Forensic Trends: Psychiatric & Behavioral Issues, Las Vegas, Nevada, May 2009
- 171. "Aggression Reduction Training: The ART of Assessing and Managing Inpatient Violence," Forensic Trends: Psychiatric & Behavioral Issues, Las Vegas, Nevada, May 2009
- 172. "Assessing Allegations of Child Sexual Abuse," Santa Clara County Mental Health Department Psychiatry Grand Rounds, San Jose, California, September 2009
- 173. "Psychic Harm and Workers Compensation," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 174. "Death Penalty," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 175. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 176. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009

- 177. "Psychiatric Disability," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 178. "Child Custody," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 179. "Juvenile Rights and Juvenile Court," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- "Right to Treatment," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- "Sex Offenders and the Law," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 182. "Dissociative Identity Disorder and the Law: Disease or Drama?", American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 183. "Technological Innovations in Forensic Education," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 184. "Triaging the IST Patient: A Brief Screen to Reduce LOS," American Academy of Psychiatry and the Law, Baltimore, Maryland, October 2009
- 185. "Treating Disruptive Behavior Disorders in Correctional Settings: To Treat or Not to Treat?" American Academy of Forensic Sciences 62nd Annual Meeting, Seattle, WA, February 2010
- 186. "Meet the Editors: Principles and Practice of Child and Adolescent Forensic Mental Health, American Psychiatric Associations 163rd Annual Meeting, New Orleans, LA, May 2010
- 187. "Forensic Assessment of Discrete Memory Loss: Blackout or Malingering?" The Royal Australian and New Zealand College of Psychiatrists Section of Forensic Psychiatry Conference, Prato, Tuscany, Italy, October 2010
- 188. "The F.A.I.R. Assessment Methodology: Evaluating Uncooperative Malingering Defendants Found Incompetent to Stand Trial," The Royal Australian and New Zealand College of Psychiatrists Section of Forensic Psychiatry Conference, Prato, Tuscany, Italy, October 2010
- 189. "Psychic Harm and Workers Compensation," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010

- 190. "Death Penalty," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 191. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 192. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 193. "Psychiatric Disability," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 194. "Child Custody," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 195. "Juvenile Rights and Juvenile Court," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 196. "Right to Treatment," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 197. "Sex Offenders and the Law," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 198. "Criminal Behavior and Blackouts: Madness, Malingering, or Memory Loss?" American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 199. "Juvenile Malingering: How Do We Assess Children and Adolescents Who Falsify Information?" American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 200. "PTSD Gone Wild: Nightmare Cases in Court," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 201. "Substance-Induced Psychoses: Intoxication, Insanity and Interventions," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 202. "Helping Mothers Who Need Help," American Academy of Psychiatry and the Law, Tucson, Arizona, October 2010
- 203. "The Art of Assessing and Managing Violence: Aggression Reduction Training," Fall Mental Health Conference, Independence Mental Health Institute, Independence, Iowa, November 2010

- 204. "The Art of Assessing & Managing Anger: Aggression Reduction Training," Fall Mental Health Conference, Mahaska Health Partnership, Oskaloosa, Iowa, June 2011
- 205. "Sexual Tourism," American Psychiatric Association, Honolulu, Hawaii, May 2011
- 206. "PTSD Gone Wild: Nightmare Cases in Court," American Psychiatric Association, Honolulu, Hawaii, May 2011
- 207. "Psychic Harm and Worker's Comp," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 208. "Death Penalty," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 209. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 210. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 211. "Psychiatric Disability," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 212. "Child Custody," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 213. "Juvenile Court and Minor's Rights," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 214. "Right to Treatment," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 215. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 216. "Sexual Offenders: Identification, Risk Assessment, Treatment and Legal Issues," American Academy of Psychiatry and the Law, Boston, Massachusetts, October 2011
- 217. "Ethics: Children's Issues," 17th Annual Psychopharmacology Conference, Nevada Psychiatric Association, Las Vegas, Nevada, February 2012
- 218. "Forensic Fundamentals: First and Foremost," The Forensic Mental Health Association of California Conference, Monterey, California, March 2012

- 219. "Competency, Sanity and Trial Testimony Training," South Dakota Human Services Center, Yankton, South Dakota, April 2012
- 220. "Evaluating Amnesia Claims: Forensic Focus on "Forgetting," and "Recovered Memories and Malpractice: When 'Try to Remember' Becomes 'Trial to Remember'", The Royal Australian & New Zealand College of Psychiatrists 2012 Conference, Hong Kong, China, September 2012
- 221. "Believing Doesn't Make It So: Forensic Education and the Search for Truth," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 222. "Evaluation and Treatment of Adolescent Sex Offenders," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 223. "Safety and Security Across the Continuum of Care in Psychiatry," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 224. "Psychiatric Prescribing: Medicine, Malpractice, and Mayhem," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 225. "Death Penalty," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 226. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 227. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 228. "Psychiatric Disability," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 229. "Psychic Harm and Workers Compensation," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 230. "Child Custody," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 231. "Juvenile Court and Minor's Rights," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 232. "Right to Psychiatric Treatment," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012

- 233. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 234. "The Unconscious Offender: Sleep, Parasomnias, and Amnesia," American Academy of Psychiatry and the Law, Montreal, Canada, October 2012
- 235. "Involuntary Treatment: How Did We Get Here and Where Do We Go Now?", Saint Elizabeths Hospital Annual Forensic Conference, Washington, DC, March 2013
- 236. "Psychiatric Prescribing: Medicine, Malpractice, and Mayhem," American Psychiatric Association, San Francisco, May 2013
- 237. "Treatment for Antisocial Personality Disorder and Psychopathy: Hopeful or Hopeless?", Keynote Speaker, Royal Australian and New Zealand College of Psychiatrists' 2013 Congress, Sydney, Australia, May 2013
- 238. "Death Penalty," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 239. "Correctional Psychiatry," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 240. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 241. "Psychiatric Disability," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 242. "Psychic Harm and Workers Compensation," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 243. "Child Custody," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 244. "Juvenile Court and Minor's Rights," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 245. "Right to Psychiatric Treatment," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 246. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, San Diego, California, October 2013
- 247. "10 Reasons Why Psychiatrists Should DO Their Own Psychometric Testing," San Diego, California, October 2013

- 248. "Psychological Testing of Feigned Psychosis: Test or Testing," San Diego, California, October 2013
- 249. "Landmark Case Updates: The Supremes' Recent Rulings," San Diego, California, October 2013
- 250. "Psychological Testing of Claimed Amnesia: A Guide to Remember," San Diego, California, October 2013
- 251. "Treatment of ASPD and Psychopathy: Hope or Hopeless?", American Psychiatric Association Annual Conference, New York City, New York, May 2014
- 252. "Evaluating Amnesia: A Guide to Remember," American Psychiatric Association Annual Conference, New York City, New York, May 2014
- 253. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 254. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 255. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 256. "Psychiatric Disability," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 257. "Psychic Harm and Workers Compensation," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 258. "Child Custody," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 259. "Juvenile Court and Minor's Rights," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 260. "Right to Psychiatric Treatment," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 261. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 262. "DSM-5 and Forensic Evaluations: Changes and Challenges", American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014

- 263. "A Clockwork Orange: Hospitalizing Psychopaths", American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 264. "APA Council on Psychiatry and the Law: Update", American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 265. "Analysis of Eyewitness Testimony: Do You See What I See?", American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 266. "Evaluation of Malingered Psychosis: Testing and Testifying," American Academy of Psychiatry and the Law, Chicago, Illinois, October 2014
- 267. "Special Victim's Counsel Course," United States Army Judge Advocate General's Legal Center and School, Charlottesville, Virginia, February 2015
- 268. "Addressing and Responding to Violence Risk in Juveniles," University Hospitals Case Medical Center Department of Psychiatry Grand Rounds, Case Western Reserve University, Cleveland, Ohio, March 2015
- 269. "DSM-5 and the Law: Changes and Challenges," St. Joseph's Healthcare Forensic Services, Hamilton, Ontario, Canada, March 2015
- 270. "Evaluating Amnesia: A Guide to Remember," St. Joseph's Healthcare Forensic Services, Hamilton, Ontario, Canada, March 2015
- 271. "Psychic Harm and Worker's Compensation," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 272. "Psychiatric Disability", American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 273. "Correctional Psychiatry," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 274. "Right to Psychiatric Treatment," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 275. "Child Abuse/Child Witness," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 276. "Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015

- 277. "Child Custody," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 278. "Juvenile Court and Minor's Rights," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 279. "Sexual Offenders and the Law," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 280. "The Psychiatrist in Peril: Current Topics in Malpractice," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 281. "Psychological Testing of Claimed Amnesia: A Guide to Remember," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 282. "Forensic Psychiatry and the Death Penalty," American Academy of Psychiatry and the Law, Ft. Lauderdale, FL, October 2015
- 283. "An Overview of Correctional Psychiatry: Doctors Behind Bars," 21st Annual Psychopharmacology Conference, Nevada Psychiatric Association, Las Vegas, Nevada, February 2016
- 284. "Assessment of Malingering" 21st Annual Psychopharmacology Conference, Nevada Psychiatric Association, Las Vegas, Nevada, February 2016
- 285. "Malpractice Risk Reduction" 21st Annual Psychopharmacology Conference, Nevada Psychiatric Association, Las Vegas, Nevada, February 2016
- 286. "The General Psychiatrist in Court," 21st Annual Psychopharmacology Conference, Nevada Psychiatric Association, Las Vegas, Nevada, February 2016
- 287. "Persons With Mental Illness at Work: Are They More Violent?" American Psychiatric Association Annual Conference, Atlanta, Georgia, May 2016
- 288. "Juvenile Offenders Mental Health Issues and Amenability to Treatment," Royal Australian and New Zealand College of Psychiatrists Faculty of Forensic Psychiatry 2016 Conference, Fremantle, Australia, September 2016
- 289. "Emerging Populations in Forensic Mental Health," Royal Australian and New Zealand College of Psychiatrists Faculty of Forensic Psychiatry 2016 Conference, Fremantle, Australia, September 2016
- 290. "Psychic Harm and Worker's Compensation," The American Academy of Psychiatry and the Law, Portland, OR, October 2016

- 291. "Psychiatric Disability," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 292. "Correctional Psychiatry," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 293. "The Right to Have & Refuse Treatment," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 294. "Child Abuse/Child Witness," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 295. "Death Penalty," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 296. "Child Custody," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 297. "Juvenile Court & Minors' Rights," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 298. "Sexual Offenders and the Law," The American Academy of Psychiatry and the Law, Portland, OR, October 2016
- 299. "An Overview of Correctional Mental Healthcare and Malingering Assessments in Corrections," University of California, Los Angeles, December 2016
- 300. "Malingering Assessments 101: A Clinician's Guide to Objective Malingering Tests," American Psychiatric Association Annual Conference, San Diego, California, May 2017
- 301. "Juvenile 'Psychopaths': Can Bad Boys Become Good Men?," Grand Rounds, Department of Psychiatry and Behavioral Neuroscience, St. Louis University School of Medicine, St. Louis, Missouri, September 2017
- 302. "Psychic Harm and Worker's Compensation," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 303. "Psychiatric Disability," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 304. "Correctional Psychiatry," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 305. "Right to Have & Refuse Treatment," The American Academy of Psychiatry and the Law, Denver, CO, October 2017

- 306. "Child Abuse/Child Witness," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 307. "Death Penalty," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 308. "Child Custody," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 309. "Juvenile Court & Minors' Rights," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 310. "Sexual Offenders and the Law," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 311. "Assessments of Speech: From Trigger Warning to True Threat," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 312. "Assessment and Treatment of Problematic Sexual Interests," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 313. "'Treatment' of ASPD in Corrections: Hopeful or Hopeless?", The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 314. "Evaluating Malingered ADHD: Pay Attention!", The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 315. "White Collar Crime," The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 316. "Homicidal Juveniles: Can Bad Boys Be Good Men?", The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 317. "Psychiatric Training Behind Bars: Challenges & Opportunities", The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 318. "Taking the Wheel: Psychiatrists' Duties for Patient Driving", The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 319. "Assessment and Treatment of Problematic Sexual Interests", The American Academy of Psychiatry and the Law, Denver, CO, October 2017
- 320. "Treatment of ASPD and Psychopathy: Hopeful or Hopeless?" National Psychopharmacology Update, Las Vegas Psychiatric Association, January 2018

- 321. "Juvenile Sex Offenders", Kerrville State Hospital Texas State Conference, May 2018
- 322. "Homicidal and Violent Juveniles," Kerrville State Hospital Texas State Conference, May 2018
- 323. "Psychic Harm and Worker's Compensation," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 324. "Psychiatric Disability," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 325. "Correctional Psychiatry," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 326. "Right to Have & Refuse Treatment," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 327. "Child Abuse/Child Witness," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 328. "Death Penalty," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 329. "Child Custody," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 330. "Juvenile Court & Minors' Rights," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 331. "Sexual Offenders and the Law," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 332. "The Role of the Forensic Psychiatrist in Gun Violence and Reduction Interventions," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 333. "Threatening the President: When Hate Trumps Love," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 334. "Serial Killers and Psychiatry: From Pursuit to Trial," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 335. "The Forensic Psychiatrist on Trial: The Expert, Exposed," The American Academy of Psychiatry and the Law, Austin, TX, October 2018

- 336. "PTSD Outcomes: Perilous Predictions of Prognosis," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 337. "Recent Cases and Why They Matter," The American Academy of Psychiatry and the Law, Austin, TX, October 2018
- 338. "Assessment and Treatment of Psychopathy/ASPD, American Psychiatric Association Annual Meeting, San Francisco, California, May 2019
- 339. "Psychic Harm and Worker's Compensation," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 340. "Psychiatric Disability," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- "Correctional Psychiatry," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 342. "Right to Have & Refuse Treatment," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 343. "Child Abuse/Child Witness," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 344. "Death Penalty," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 345. "Child Custody," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 346. "Juvenile Court & Minors' Rights," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 347. "Sexual Offenders and the Law," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 348. "Forensic Psychiatry, Liaison to Policy Makers: New Mental Health Laws," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 349. "License to Kill and Forget: Amnesia in Police Shooting Incidents," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019
- 350. "Recent Cases and Why They Matter," The American Academy of Psychiatry and the Law, Baltimore, MD, October 2019

351. "Antisocial Juveniles: Evaluating Their Future," The American Academy of Psychiatry and the Law, Tri-State Chapter Annual Meeting, New York, NY, January 2020

NATIONAL POSTER PRESENTATIONS

- 1. Quanbeck C, McDermott BE, Zozaya M, Ferranti JA, Scott CL: "Preventing Psychotic Violence: The Role of Anti-Psychotics," American Academy of Psychiatry and the Law, Miami, Florida, October 2007
- Davidson CM, Harlow MC, Chakunta U, Scott CL: "Mandated Treatment of Dual Diagnosis in Native American Youth," American Academy of Psychiatry and the Law, Seattle, Washington, October 2008

REGIONAL PRESENTATIONS

- 1. "Eating Disorders in Females," Department of Occupational Health, Walter Reed Army Medical Center, Washington, DC, March 1989
- 2. "Psychosis and Tourette's Disorder in a 14-year-old Male," Grand Rounds, University of California, San Francisco, September 1989
- 3. "Suicide Assessment in Children and Adolescents," Department of Psychiatry, Letterman Army Medical Center, San Francisco, November 1990
- 4. "Parasomnias in Children and Adolescents," Grand Rounds, University of California, San Francisco, March 1991
- 5. "Assessing Children's Reactions to Desert Storm," San Francisco School District, April 1991
- 6. "Crisis Management of the Borderline Personality Disorder Patient," Department of Psychiatry, 5th General Hospital, Stuttgart, Germany, October 1991
- 7. "Managing the Difficult Patient-from the Severe Somatic to the Chronic Alcoholic," Grand Rounds, 5th General Hospital, Stuttgart, Germany, March 1992
- 8. "How to Obtain the Alcohol and Drug History in Adolescents," Forum of Alcohol and Substance Abuse Counselors, Wuerzburg, Germany, October 1992
- 9. "Detecting Alcoholism in the Active Duty Troops," Department of Psychiatry, 67th Combat Support Hospital, Wuerzburg, Germany, September 1993
- "Suicide Prevention in Europe," Community Forum, Wilflecken, Germany, November 1993

- 11. "Conducting the Mental Status Examination- a Primer for Non Psychiatrists," University of Wuerzburg School of Medicine, Wuerzburg, Germany, December 1993
- 12. "Interviewing the Psychiatric Patient-Detection of Suicide, Homicide and Alcohol Dependence," Wuerzburg University School of Medicine, Wuerzburg, Germany, February 1994
- "An Overview of Munchausen's Syndrome by Proxy," Family Advocacy Case Management Team, 67th Combat Support Hospital, Wuerzburg, Germany, February 1994
- 14. "Tourette's Disorder and Comorbid Psychiatric Conditions," Grand Rounds, 67th Combat Support Hospital, Wuerzburg, Germany, May 1994
- 15. "Emergency Psychiatry," European Medical Student Conference, Wuerzburg, Germany, May 1994
- 16. "Suicide Assessment-Diagnosis and Crisis Management," Department of Mental Health, William Beaumont Army Medical Center, November 1994
- 17. "Assessment of Homicidal Intent," Department of Mental Health, William Beaumont Army Medical Center, December 1994
- "Detection of Alcohol Abuse and Dependence," Department of Mental Health, William Beaumont Army Medical Center, January 1995
- 19. "Conducting the Mental Status Examination," Department of Mental Health, William Beaumont Army Medical Center, February 1995
- 20. "Geriatric Psychiatric Emergencies," Department of Mental Health, William Beaumont Army Medical Center, March 1995
- 21. "Child and Adolescent Psychiatric Emergencies," Department of Mental Health, William Beaumont Army Medical Center, April 1995
- 22. "Steroids and Mental Status Side Effects," Court Psychiatric Clinic Conference, Cleveland, Ohio, September 1995
- 23. "Overview of Child Abuse and Homicide of Children," Court Psychiatric Clinic Conference, Cleveland, Ohio, January 1996
- 24. "Child Abuse and the Law," Case Western Reserve University School of Law, February 1996
- 25. "The Right to Die," Case Western Reserve University School of Law, March 1996

- 26. "The Psychiatric Prediction of Dangerousness," Tulane University Psychiatric Grand Rounds, September 1996
- 27. "Psychiatric Malpractice-from Suicide to Sex," Tulane University Psychiatric Grand Rounds, September 1996
- 28. "Mental Illness in Inmates-from Mania to Malingering," Guest Speaker for Elayne Hunt Correctional Center Department of Mental Health, September 1996
- 29. "An Overview of Correctional Mental Health," American Academy of Psychiatry and the Law, National Board Review Course, Puerto Rico, October 1996
- 30. "An Overview of Attention Deficit Hyperactivity Disorder," Florida Council of Continuing Medical Education, October, Pensacola, Florida, October 1996
- 31. "The Juvenile Court System," Tulane University Department of Forensic Psychiatry, November 1996
- 32. "The Detection of Child Physical and Sexual Abuse," Tulane University Medical School Psychiatry Clerkship, January 1997
- "Mental Illness in Prisons-a Guide for Security Personnel," Elayne Hunt Correctional Center, January 1997
- 34. "Attention Deficit Hyperactivity Disorder, from Cradle to Grave," Neuropsychiatry for the Primary Care Provider Conference, New Orleans, Louisiana, February 1997
- 35. "Conducting Child Custody Evaluations-A Guide for the Child Psychiatrist," Tulane University Department of Child Psychiatry, March 1997
- 36. "Child Custody-An Overview for Lawyers," Loyola Law School, New Orleans, Louisiana, August 1997
- "The Assessment of Violent Youth," Tulane University Grand Rounds, September 1997
- "The Assessment of Juvenile Sex Offenders," Feliciana Forensic Facility, Jackson, Louisiana, April 1998
- "The Assessment of Juvenile Violence," University of California, San Francisco, November 1998
- 40. "Risk Assessment of Juvenile Violence," Napa State Hospital Grand Rounds, March 1999

- 41. "Psychiatry and the Death Penalty," Northern California Psychiatric Society, Sonoma, California, April 1999
- 42. "The Assessment of Treatment of Sexual Offenders," UC Davis Grand Rounds, Sacramento, California, October 1999
- 43. "Psychiatry and the American with Disabilities Act," Sutter General Hospital, Sacramento, California, April 2000
- 44. "Juvenile Court-Past, Present, and Future," Regional Organization for Child and Adolescent Psychiatrists, San Francisco, California, September 2000
- 45. "Psychiatry and the Death Penalty," University of California, Department of Psychiatry Grand Rounds, September 2000
- 46. "Sexual Offenders and the Law," University of California, Davis School of Law, September 2000
- 47. "An Overview of Psychiatric Malpractice," Napa State Hospital, Grand Rounds, May 2001
- 48. "Psychiatry and the Death Penalty," Folsom State Prison, August 2001
- 49. "An Overview of Child Abuse and Child Witness Testimony," University of California, Davis, Grand Rounds, September 2001
- 50. "Sexual Offenders and the Law," University of California, Davis School of Law, September 2001
- "Legal Issues and Provision of Mental Health Care in Jail," Los Angeles County Jail, December 2001
- 52. "Forensic Patients-Who Are They and How Did They Get Here?", Distinguished Visiting Scholar Presentation, Napa State Hospital, June 2002
- 53. "The Management of the Antisocial Personality Disorder,", Distinguished Visiting Scholar Presentation, Napa State Hospital, June 2002
- 54. "Going to Court," Truth or Consequences,", Distinguished Visiting Scholar Presentation, Napa State Hospital, June 2002
- 55. "Juvenile Violence and School Mass Murders," Guest Keynote Speaker, California Psychiatric Association, September 2002
- 56. "Women Who Kill Their Children, An Insanity Analysis," Guest Keynote Speaker,

Northern California OB/GYN Annual Conference, November 2002

- 57. "Investigative Analysis-Interviewing the Accused," Guest Speaker, Napa State Hospital Police Department, Napa, California, January 2003
- 58. "An Analysis of the Andrea Yates Case," Guest Speaker for the UC Davis School of Medicine, Psychiatry Student Interest Group, Sacramento, California, March 2003
- 59. "An Archival Review of Substance Use in 400 NGRI Acquittees," Forensic Visiting Scholar's Conference, Napa State Hospital, April 2003
- 60. "An Overview of Juvenile Violence," Sacramento County Child and Adolescent Psychiatry Assessment Clinic, Sacramento, California, June 2003
- 61. "Trends in Juvenile Violence," Folsom State Prison, Folsom, California, August 2003
- 62. "Satanic Cults and Their Participants," Folsom State Prison, Folsom, California, March 2004
- 63. "Child Witness Testimony," Folsom State Prison, Folsom, California, August 2004
- 64. "Mental Illness and Criminal Behavior," Sacramento County Mental Health Court Planning Committee, July 2005
- 65. "Child Witness Testimony," Granlibakken MCLE Conference of the Placer County Bar Association, Tahoe City, California, April 2007
- 66. "Malingering in Civil Cases," Granlibakken MCLE Conference of the Placer County Bar Association, Tahoe City, California, April 2007
- 67. "Psychotherapy 101 for Forensic Offenders," University of California, Davis and Napa State Hospital Visiting Scholars Program, May 2007
- 68. "Mental Health Documentation," Vacaville State Prison, California Department of Corrections and Rehabilitation, Vacaville, California, September 2007
- 69. "Child Custody Evaluations: Sorting Fact from Fiction," Granlibakken MCLE Conference of the Placer County Bar Association, Tahoe City, California, April 2008
- 70. "Assessment of Dangerousness," Granlibakken MCLE Conference of the Placer County Bar Association, Tahoe City, California, April 2008
- 71. "Forensic Psychiatry," Cow County Judges Institute/Administrative Office of the Courts, Olympic Valley, California, May 2008

- 72. "Forensic Aspects of Child Sexual Abuse Allegations," Central California Regional Organization of Child and Adolescent Psychiatry, Sacramento, California, September 2008
- 73. "Psychiatry and the Death Penalty," Central California Psychiatric Society, Sacramento, California, September 2008
- 75. "Forensic Psychiatry and the Internet" Sacramento County Jail Psychiatry Services, Sacramento, California, September 2008
- 76. "Dissociative Identity Disorder & the Law: Disease or Drama?," Sacramento County Jail Psychiatry Services, Sacramento, California, September 2009
- 77. "Legal Issues and Involuntary Medications," Grand Rounds, Napa State Hospital, Napa, California, February 2010
- 78. "PTSD Gone Wild: Nightmare Cases in Court," Napa State Hospital, Napa, California, September 2010
- 79. "Mental Health Issues and Juvenile Court," Juvenile Delinquency Orientations, Judicial Counsel of California, Administrative Office of the Courts, San Francisco, California, January 2011
- 80. "Forensic Issues in Child Sexual Abuse Allegations," Joint Annual Conference of The Northern California and Central California Regional Organizations of Child and Adolescent Psychiatry, Sonoma, California, January 2011
- "Mental Health Issues and Juvenile Court," Juvenile Delinquency Orientations, Judicial Counsel of California, Administrative Office of the Courts, San Francisco, California, January 2012
- 82. "Common Legal Pitfalls in Psychiatry: How to Avoid Them," Kaiser Permanente Continuing Medical Education, Elk Grove, California, March 2012
- 83. "Forensic Psychiatric Hospital Care: How Did We Get Here and Where Do We Go Now?", University of California, Davis & Napa State Hospital 14th Annual Forensic Visiting Scholars Program, Napa, California, April 2012
- 84. "Trends and Interventions for Inpatient Aggression in a Forensic Setting", University of California, Davis & Napa State Hospital 14th Annual Forensic Visiting Scholars Program, Napa, California, April 2012

- 85. "Treatment" of Antisocial Personality Disorder and Psychopaths," Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, June 2013
- 86. "Overview of forensic mental health care: provider's roles and responsibilities," Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, July 2013
- 87. "Assessment of Dangerousness-Part I: General principles," Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, August 2013
- 88. "Assessment of Dangerousness-Part II: Relationship of mental illness symptoms to violence," Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, August 2013
- "The DSM-V and the Law," Atascadero State Hospital, Atascadero, California, August 2013
- 90. "Assessment of Dangerousness-Part III: Relationship of substance use to violence," Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, September 2013
- 91. "Assessment of Dangerousness-Part IV: Structured Clinical Judgment Approach," Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, September 2013
- 92. "An Overview of Mentally Disordered Offenders (MDO), 'Getting In and Getting Out'", Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, November 2013
- 93. "Competency to Stand Trial Part One", Napa State Hospital/Loma Linda University Forensic Psychiatry Lecture Series, Napa, California, November 2013
- 94. "DSM-5 and the Law: A Forensic Focus," Forensic Mental Health Association of California Annual Conference, Monterey, California, March 2014
- 95. "Addressing and Responding to Violence Risk in Juveniles," Central California Regional Organization of Child and Adolescent Psychiatry, Sacramento, California, March 2015
- 96. "Risk Factors for Violence in the Workplace," Mental Health and Violence: Addressing Concerns at Work and School, UC Davis Health System, Sacramento, California, April 2015
- 97. "Assessments of Student Populations," Mental Health and Violence:

Addressing Concerns at Work and School, UC Davis Health System, Sacramento, California, April 2015

- 98. "Forensic Training Program," Violence Reduction Summit, California Department of State Hospitals, Napa, CA, October 2015
- 99. "The Assessment of Malingering: An Evidence-Based Approach," Forensic Mental Health Association of California Annual Conference, Monterey, California, March 2016
- 100. "Public Presentations: Substance Matters But So Does Style!", Grand Rounds Department of Psychiatry and Behavioral Sciences, University of California, Davis Medical Center, Sacramento, California, April 2016
- 101. "Assessment of Malingering: An Evidence-Based Approach", Los Angeles County Department of Mental Health, Los Angeles, California, June 2016
- 102. "Correctional Mental Health Overview", Los Angeles County Department of Mental Health, Los Angeles, California, June 2016
- 103. "Assessment: Malingering and Uncooperative Patients," University of California, Davis and The California Department of State Hospitals Public Forensic Mental Health Form: Together, Leading the Way, Sacramento, California, June 2017
- 104. "Medication Assisted Treatment," University of California, Davis and The California Department of State Hospitals Public Forensic Mental Health Form: Together, Leading the Way, Sacramento, California, June 2018
- 105. "Sanchez: Implications and Treatment," University of California, Davis and The California Department of State Hospitals Public Forensic Mental Health Form: Together, Leading the Way, Sacramento, California, June 2018
- 106. "Legal Issues Related to Assessment of Suicide and Homicide in Adolescents," Master Psych Conference, Santa Barbara, CA, October 2018
- 107. "Clinical and Legal Challenges in the Treatment of Minors," Master Psych Conference, Santa Barbara, CA, October 2018
- 108. "Informed Consent of Minors," Child Psychiatry Residency Didactics Seminar, UC Davis Medical Center, Sacramento, CA, January 2019
- 109. "Substance Use Disorders and the Law: From High to Homicidal," Forensic Mental Health Association of California, Monterey, CA, March 2019
- 110. "Sexual Offenders and the Law," Forensic Mental Health Association of California, Monterey, CA, March 2019

- 111. "Assessment of Malingering-An Evidence-Based Approach," Forensic Psychiatric Conference, Kerville, Texas, March 2019
- 112. "Diversion Overview-A Path Forward," UC Davis-NSH Forensic Forum, Sacramento, California, June 2019
- 113. "Substance Use Disorders and the Law: From High to Homicidal", Patton State Hospital, Patton, CA November 2019

Case 2:90-cv-00520-KJM-DB Document 6976-5 Filed 12/07/20 Page 1 of 123 1 XAVIER BECERRA, State Bar No. 118517 PAUL B. MELLO, State Bar No. 179755 Attorney General of California LISA M. POOLEY, State Bar No. 168737 2 MONICA N. ANDERSON, State Bar No. 182970 SAMANTHA D. WOLFF, State Bar No. 240280 LAUREL E. O'CONNOR, State Bar No. 305478 Senior Assistant Attorney General 3 ADRIANO HRVATIN, State Bar No. 220909 HANSON BRIDGETT LLP Supervising Deputy Attorney General 1676 N. California Boulevard, Suite 620 4 ELISE OWENS THORN, State Bar No. 145931 Walnut Creek, CA 94596 TYLER V. HEATH. State Bar No. 271478 Telephone: (925) 746-8460 Fax: (925) 746-8490 5 KYLE A. LEWIS, State Bar No. 201041 LUCAS HENNES, State Bar No. 278361 E-mail: PMello@hansonbridgett.com 6 NAMRATA KOTWANI, State Bar No. 308741 Attorneys for Defendants **Deputy Attorneys General** 7 1300 I Street. Suite 125 ROMAN M. SILBERFELD, State Bar No. 62783 P.O. Box 944255 GLENN A. DANAS, State Bar No. 270317 8 Sacramento, CA 94244-2550 **ROBINS KAPLAN LLP** Telephone: (916) 210-7318 2049 Century Park East, Suite 3400 Los Angeles, CA 90067-3208 Fax: (916) 324-5205 9 Telephone: (310) 552-0130 E-mail: Elise.Thorn@doj.ca.gov 10 Fax: (310) 229-5800 Attorneys for Defendants E-mail: RSilberfeld@RobinsKaplan.com 11 Special Counsel for Defendants IN THE UNITED STATES DISTRICT COURT 12 13 FOR THE EASTERN DISTRICT OF CALIFORNIA SACRAMENTO DIVISION 14 15 16 **RALPH COLEMAN, et al.,** 2:90-cv-00520 KJM-DB (PC) 17 Plaintiffs. **DECLARATION OF STEPHEN M.** 18 STAHL, M.D., PH.D., D. SC (HON.) IN SUPPORT OF DEFENDANTS' v. 19 **RESPONSE TO NOVEMBER 19, 2020** ORDER 20 GAVIN NEWSOM, et al., 21 Defendants. 22 I, Stephen M. Stahl, M.D. Ph.D., D. Sc. (Hon.), declare: 23 1. I am over 18 years of age and am competent to testify. I have personal knowledge of 24

25 the statements contained in this declaration, and if called to testify, I could do so.

26 2. I have been asked by the California Department of State Hospitals (DSH) in this

27 matter to offer my professional opinion regarding any potential harm suffered by Patient 38, a

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CDCR inmate-patient who was awaiting admission to DSH at the time of an evidentiary hearing
 in this matter which took place on October 23, 2020.

- 3. My opinions in this declaration are based on my medical education, training,
 research, and over 35 years of experience as a clinician, researcher, and teacher in psychiatry with
 subspecialty expertise in psychopharmacology, as well as my familiarity with the medical and
 psychiatric literature. These opinions are also based upon my expertise in
 neuropsychopharmacology, and my experience as a Medical Director, Psychiatry Chief, and
 Pharmacology Chief, among other positions. These opinions are my own and do not represent
 those of the institutions with which I am affiliated.
- 9

I received my undergraduate and medical degrees from Northwestern University in
 Chicago, as a member of the Honors Program in Medical Education, and my Ph.D. degree in
 pharmacology and physiology from the University of Chicago. I have trained in three specialties:
 internal medicine at the University of Chicago; neurology at the University of California in San
 Francisco; and psychiatry at Stanford University. I am board-certified in psychiatry.

- 15 5. I have held faculty positions at Stanford University, the University of California at 16 Los Angeles, the Institute of Psychiatry London, the Institute of Neurology London, and, 17 currently at the University of California Riverside, the University of California San Diego, the 18 State University of New York Upstate Medical University at Syracuse and the University of 19 Cambridge (UK). I also direct psychopharmacology services and academic programs for the 20 five- facility, 6,500 patient DSH system where I have a leadership role in addressing violence and 21 decriminalization of the seriously mentally ill. I was formerly Executive Director of Clinical 22 Neurosciences at the Merck Neuroscience Research Center in the UK for several years.
- 6. I currently serve as editor-in-chief of CNS Spectrums. I am a past associate editor of
 Acta Psychiatrica Scandinavica, former clinical field editor for the International Journal of
 Neuropsychopharmacology and I am currently on numerous editorial boards of other leading
 journals including the ACNP's journal Neuropsychopharmacology. I have conducted numerous
 research projects throughout my career, which were funded by the National Institute of Mental
 Health (NIMH), by the Department of Veteran Affairs (VA) and by the pharmaceutical industry.
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I am the author of over 560 articles and chapters with an H index of 62, and more than 2,000
 scientific presentations and abstracts. I have written over 50 textbooks and has edited more than
 15 others.

4 7. I serve as a fellow of the ACNP (American College of Neuro-psychopharmacology), 5 of the British Association of Psychopharmacology (BAP) and of the CINP, where I was formerly 6 vice president. I am a Distinguished Life Fellow of the American Psychiatric Association (APA), 7 and a Fellow of the Department of Psychiatry at the University of Cambridge, UK. I have also 8 served on numerous medical and scientific advisory boards for the pharmaceutical industry, for 9 the biotechnology and medical information industry, and for various nonprofit and public service 10 organizations, including appointment by the State of California and past Chair of the Medi-Cal 11 Oversight Board for Medicines (Drug Utilization Review Board).

12 8. I was awarded the International College of Neuropsychopharmacology (CINP) 13 Lundbeck Foundation Award in Education for my contributions to postgraduate education in 14 psychiatry and neurology. My books have won the British Medical Association's Book of the 15 Year Award. In 2016, I received the British Medical Association's First Place Award for a 16 Digital Masterclass in Psychopharmacology, accredited by Cambridge University Health Partners 17 and the Department of Psychiatry at the University of Cambridge, UK. For a complete listing of 18 my professional background, experience, research, responsibilities, and publications, please see 19 my Curriculum Vitae, which is attached to this declaration as Exhibit A.

20

I. CONSULTATIVE QUESTION TO BE ADDRESSED

9. I was asked to determine if Patient 38 suffered cognizable harm if his transfer from
CDCR to inpatient care at DSH was delayed beyond usual Program Guide timelines.

- 23 **II. REVIEW OF RECORDS**
 - 10. To make my determination, I reviewed the following records:
 - a. 1,748 pages of clinical records pertaining to Patients 38;
 - b. Declaration of Dr. Pablo Stewart filed November 11, 2020; and
 - c. Transcript of trial testimony from Dr. Pablo Stewart dated October 23, 2020.
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III. SUMMARY OF MY OPINION REGARDING PATIENT 38

11. In my opinion, Patient 38 does not need inpatient care at DSH, as explained in
Section IV-VI. Transferring inappropriate patients without any indicia of serious mental illness
to inpatient care, during the height of a pandemic, is reckless and can expose patients to
unnecessary risks of exposure to COVID-19, which could amount to medical malpractice.

12. My primary findings, explained in greater detail in Sections IV-VI, are:

a. The record does not corroborate that Patient 38 suffered a traumatic brain injury 7 (TBI) in 2006. Patient 38 was involved in an altercation that required hospitalization 8 9 in 2006, but the available records do not contain any diagnostic signs of TBI. The length of hospitalization and medications were inconsistent with treatment of TBI. 10 Dr. Stewart stated that Patient 38 needs to be transferred to an inpatient hospital for a 11 neurological assessment and diagnostic clarification. There is negligible clinical 12 benefit from an inpatient hospitalization for an asymptomatic individual for 13 undocumented injury that *might* have occurred 14 years ago, particularly during a 14 global pandemic. 15

The totality of the record shows that Patient 38 is not suffering from a serious b. 16 mental illness. Rather, several competent clinicians have documented Patient 38's 17 propensity for faking and exaggerating his principal symptoms of suicidality, 18 psychosis, and paranoia to escape typical environmental stressors to obtain a transfer 19 to his preferred residential setting. Such behavior is consistent with criminogenic 20 behavior and psychopathy related to Patient 38's diagnosed antisocial personality. 21 The record does not support any urgent mental illness requiring inpatient evaluation 22 or treatment, as recommended by Dr. Stewart. 23

24c. Patient 38 has a history of auditory hallucinations since childhood, which was25initially associated with substance abuse. Auditory hallucinations have continued to26be asserted by Patient 38 off and on to the present day, but there has never been a27diagnosis of a serious mental illness (*e.g.*, schizophrenia or bipolar disorder), which28are the illnesses known to cause auditory hallucinations.

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1	d. Patient 38 claims suicidal ideation since childhood although the documentation
2	of most claimed suicidal gestures or attempts have not been corroborated. There has
3	never been a diagnosis of a serious mental illness known to cause suicidal ideation.
4	There is also no evidence that medication has been helpful for any of his symptoms.
5	Since incarceration in 2008, Patient 38 has mostly not been medicated for
6	hallucinations or suicidal ideation, which have waxed and waned according to
7	dissatisfaction with housing and do not relate to any documented mental illness.
8	e. Patient 38 has so suffered no harm from any delayed transfer to DSH.
9	IV. MEDICAL RECORD & HISTORY
10	13. The record shows that Patient 38 is a 54-year-old male patient who has been referred
11	to DSH for urgent inpatient care for treatment of his claims of suicidal ideation and auditory
12	hallucinations and for evaluation of a claimed traumatic brain injury occurring 14 years ago.
13	14. Since Patient 38 was incarcerated, the following diagnoses have been noted in the
14	medical record:
15	a. TBI;
16	b. Antisocial personality disorder;
17	c. Other specified disruptive, impulse control and conduct disorder;
18	d. Trauma and stressor related disorder; and
19	e. Attention deficit hyperactivity disorder (ADHD).
20	f. Other diagnoses mentioned in the record include:
21	i. Obsessive Compulsive Disorder (OCD);
22	ii. Anxiety disorder; and
23	iii. Mood disorder/mood swings.
24	15. Patient 38 has tried various medications over the year without clear results. These
25	medications have included: Depakote, Zyprexa, Lorazepam, Vistaril, Prozac and Lexapro.
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V. EXPERT EVALUATION

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A. Insufficient Corroboration of TBI in the Medical Record

16. Patient 38 and his mother asserted that a TBI occurred after a 2006 incident in prison;
however, there is otherwise insufficient corroborating information on any alleged head injury in
2006. I reviewed the available medical records, which showed that Patient 38 was at Avenal
State Prison from August 6, 2005 to May 27, 2006. On May 27, 2006, Patient 38 was transferred
to a hospital in San Jose. He was paroled 6 days later and prescribed Remeron 45 mg and
Risperdal 2-5 mg. This short time interval from admission to discharge is not consistent with the
diagnosis or management of a TBI of any severity.

10

B. Inpatient Treatment Is Not Indicated

11 17. It is possible Patient 38 sustained a mild TBI without loss of consciousness or known
12 neurological sequelae 14 years ago; however, at this point in time, the situation is not urgent and
13 does not require hospitalization. There is negligible clinical benefit from extensive neurological,
14 neuroimaging, and neuropsychological workup of a possibly undocumented TBI that may have
15 occurred 14 years ago, especially during a pandemic.

16 18. Patient 38's principal disturbing symptoms of psychosis and suicidality are not
17 known to be linked to a TBI.

18

19

C. The Record includes Numerous Instances of Fabrication Or Exaggeration of Symptoms

19. The record contains few corroborations of Patient 38's primary psychosis and
suicidality. For instance, Patient 38 has reported inconsistent information to prior clinicians,
sometimes claiming no past suicide attempts and otherwise claiming six to eight attempts. The
record contains numerous instances where clinicians have noted that Patient 38's reported
symptoms of suicidality and paranoia may be fake or at least greatly exaggerated to obtain
preferred housing. Such instances are quoted *verbatim* below:

26a. "The Pt indicated he lied about being suicidal in order to "get into a smock and27so you guys could watch me."" (p. 000392)

1	b. "He verbalized past manipulation of the treatment team and appeared more
2	focused on both obtaining standard inmate issue and a phone call." (p. 000392)
3	c. "Pt has a history of 3 reported suicide attempts in 2015 (hanging), 2016
4	(intentional car crash) and 2017 (suffocation), however none of these are
5	corroborated by medical or custodial documentation and patient has never had follow
6	up mental health treatment for said attempts. Pt may be an invalid historian. For
7	example, the patient was actually incarcerated when he claimed to have crashed his
8	car." (p. 000392)
9	d. "Patient endorsed SI [suicidal ideation] with plan/intent and AH [auditory
10	hallucination] "I hear the voicesthey pretty much shadow my movementslike
11	someone is watching me and reporting back to someone else But this writer did
12	not observe the pt being internally preoccupied. Thought process was linear and goal
13	oriented." (p. 000071)
14	e. "When writer challenged pt's delusional content, pt stated 'well sometimes I
15	do believe it, I do believe this guy Frank is real.' Writer and treatment team provided
16	patient with psychoeducation on how delusional content is difficult to treat with
17	medication and is better treated with therapy, utilizing self talk and thought stopping.
18	Pt's affect does not match that of a pat [patient] who is experiencing delusions and
19	auditory hallucinations." (p. 000494)
20	f. "Range of psychotic sx's [symptoms] is so widespread (changing delusions,
21	claims of telepathy and telekinesis, paranoid claims, many of which patient readily
22	relinquishes, AHs) as to be suspect in and of itself. In addition, patient does not
23	present with consistent affect/distress. High likelihood of exaggerations and of
24	fabrication of sxs. Patient is willing to engage in some degree of self harm though to
25	ensure retention in higher LOCs. Not clear what sxs might be present if impression
26	management were not active." (p. 001139)
27	g. "[Patient 38 is]inconsistent with someone who is paranoid [that] people will
28	kill him in crisis bed." (p. 001229)

1	h. "Pt inquired about obtaining materials that give him details on psychotic
2	disorders, experiences related to their AH, diagnostic criteria and triggers for these
3	disorders. It was explained to the pt that this is never indicated as an appropriate
4	means for treatment as pt can potentially mimic or feign mental illness and it is not
5	empirically supported as an effective means of treating psychosis." (p. 001416)
6	i. "His description of these symptoms [auditory and video hallucinations,
7	depression, and anxiety] did not match with genuine symptoms of psychosis and he
8	did not appear to be responding to internal stimuli nor did not behave in a way that
9	suggested he was paranoid of staff." (p. 001550)
10	j. "08/27/2020: While housed in MHCB, patient engaged in self-harm without
11	intent on three occasions. On 07/23/2020 and 07/25/2020 patient cut his wrist
12	resulting in minor wounds and on 07/30/2020 he scratched his old wounds. He has no
13	other documented incidents of self-harm His placement history coupled with a
14	lack of corroborating documentation suggests patient's self-report of his suicide
15	attempts is likely inaccurate. Therefore, his suicide and self-harm grid was changed to
16	reflect a history of no suicide attempts and three incidents of self-harm based on
17	collateral information." (p. 001561)
18	k. "His description of his auditory hallucinations and delusions do not appear
19	consistent with genuine psychosis. His self report is inconsistent day to day and he
20	reports his psychosis only started once admitted to crisis bed." (p. 000911)
21	1. "Delusions are resolved. Patient does not discuss his suicidal thoughts unless
22	the team talks about eventual discharge to a [y]ard. This conversation prompts
23	patient to state he is suicidal conditional on placement on a yard, but he would not
24	want to kill himself in PIP. At this time, patient does not exhibit symptoms which
25	warrant an acute hospitalization." (p. 000912)
26	m. "When he does come out for contact, he reports new symptoms and his self
27	reported symptoms do not appear consistent with his affect. For example, he talks
28	about thinking people are going to kill him but continues to come out for showers and 8

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1		1:1 contacts, inconsistent with someone who is paranoid people will kill him in crisis
2		bed." (p. 001033)
3		n. "Additionally he told staff he did not come to crisis bed for mental health
4		reasons, and he came due to safety concerns he was having on "E" yard." (p.
5		001034).
6	D.	Evaluation of Other Diagnoses
7		20. Below is an assessment of other diagnoses noted in Patient 38's medical record:
8		a. Antisocial personality disorder: Patient 38's record points to a diagnosis of
9		antisocial personality disorder. Patient 38 is a documented sex offender with a
10		history of poor impulse control and an extensive history of lying and manipulating his
11		claim of psychotic symptoms and suicidal ideation for the purpose of obtaining better
12		housing.
13		b. Other specified disruptive, impulse control and conduct disorder: Patient
14		38 claims to have aggressive urges that have no trigger and that these urges cause his
15		depression. Nonetheless, such urges are not a recognized cause of psychotic
16		symptoms nor of suicidal ideation.
17		c. Trauma and stressor related disorder: This diagnosis is consistent with much
18		of Patient 38's behavior while incarcerated. It is possible that Patient 38 is resorting
19		to manipulation of his environment by false or exaggerated claims of hallucinations
20		and suicidal thinking as a primitive form of adaptation to the frightening and
21		dangerous environment of incarceration, particularly as a sex offender.
22		d. ADHD : The record has no corroborating information which establishes this
23		diagnosis. Patient 38 does not report specific symptoms associated with this disorder.
24		His alleged symptoms of auditory hallucinations, delusions, and suicidal ideation are
25		not part of the ADHD diagnosis. Furthermore, he is not being treated for ADHD
26		with controlled substances in a prison setting. Past medical and school records would
27		have to be consulted to corroborate this diagnosis properly.
28		0

1	VI. ANALYSIS AND CRITIQUE OF DR. STEWART'S ASSESSMENT OF PATIENT 38
2	21. Dr. Stewart's opinion is that Patient 38 "suffers from a traumatic brain injury ('TBI')
3	and needs to be transferred to an inpatient hospital for a neurological assessment and diagnostic
4	clarification." (Stewart Decl., ¶ 99.) As discussed in detail above, the TBI is not corroborated in
5	the record. Moreover, no current symptoms support that diagnosis. Any suggestion that an
6	inpatient hospitalization is necessary for evaluation of an asymptomatic condition (such that if it
7	occurred at all, occurred 14 years ago) lacks all mental and public health support, particularly
8	during a global pandemic.
9	22. The record shows that Patient 38 was involved in an altercation that required
10	hospitalization in 2006, but the record does not contain any diagnostic signs of TBI, namely:
11	No documented loss of or decreased consciousness
12	 No documented loss of memory for events before or after the event (amnesia) No documentation of any focal neurological deficits such as muscle weakness,
13	 loss of vision, or change in speech No signs of alteration in mental state such as disorientation, slow thinking, or
14	difficulty concentrating
15	23. Dr. Stewart stated that "even before the [2006] assault, Patient 38 had a history of
16	ongoing auditory hallucinations," two psychiatric hospitalizations in his 30s "for a suicide attempt
17	and threat," and a history of depression and psychotic symptoms. (Stewart Decl., \P 101.) He
18	mentioned that Patient 38 cut his wrist twice, had five prior crisis bed admissions for suicide
19	attempts or suicidal ideation, and two PIP admissions. (Id. at \P 102.) He further noted that
20	Patient 38 had a high chronic risk of suicide and a high acute risk, self-reporting auditory
21	hallucinations and delusions. (Id. at ¶¶ 105, 107.) Although Patient 38 probably did hear voices
22	as a child and young adult, those are attributable to drug-induced psychotic symptoms. However,
23	he is not currently abusing drugs, and has never had a serious mental illness diagnosis capable of
24	explaining psychotic symptoms. Moreover, on August 27, 2020, a detailed history of Patient 38's
25	self-professed suicidal ideation and crisis bed admissions, was performed. (p. 001561). That
26	analysis concluded that the "patient's self-report of his suicide attempts is likely inaccurate.
27	Therefore, his suicide and self-harm grid was changed to reflect a history of no suicide attempts
28	and three incidents of self-harm based on collateral information." (p. 001561).

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24. As discussed above, his claims of psychotic symptoms, paranoia, and alleged suicidal
 behavior and threats were not considered credible by numerous clinicians. Dr. Stewart appears to
 have taken Patient 38's self-reported symptoms at face value, which is not supported by the
 clinical record. Such a reading of the record is clinically irresponsible and grossly
 misrepresentative.

25. Dr. Stewart stated that it was "concerning" that Patient 38 was "passively allowed . . .
to go off his medications and return to CCCMS level of care." (Stewart Decl., ¶ 103.) Dr.
Stewart baselessly implies an error was made when clinicians ceased medication treatment for
Patient 38; however, the record shows that numerous trials of medications did not have any effect
on Patient 38's symptoms of psychosis and suicidality. Psychotropic medications should be
discontinued because Patient 38's symptoms do not derive from a serious mental illness, and are
likely feigned or exaggerated.

26. Dr. Stewart stated that during a few-day span in July 2020, Patient 38 requested a
referral to the Medication Assisted Therapy ("MAT") program. (Stewart Decl., ¶ 104.) Patient
38's wife sought a separation, he expressed suicidal ideation, and he planned to overdose on
drugs. (Stewart Decl., ¶ 104.) In my opinion, this is an example of Patient 38's long-established
pattern of responding to psychosocial stressors and environmental threats by escalating claims of
imminent suicide and self-harm. Reassurance, rather than referral to inpatient psychiatric
services or hospitalization or medication, is indicated.

20 27. Finally, Dr. Stewart concluded that "Patient 38 has a very severe mental health 21 condition that required transfer to an inpatient program when he was first referred to one in early 22 August, if not sooner." (Stewart Decl., ¶ 104.) Dr. Stewart stated it was "cruel and clinically 23 inappropriate to keep this patient in an MHCB, especially to discharge him to the EOP, while he 24 is still struggling with his suicidality and psychotic symptoms." (Id.) However, Dr. Stewart did 25 not even name this "very severe mental condition." Dr. Stewart's conclusion that Patient 38 is 26 currently suffering from a serious mental condition that would be mitigated from a transfer to 27 DSH is misguided and irresponsible. I could not find any support for Dr. Stewart's conclusion in 28 Patient 38's records.

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28. The total record shows that Patient 38 is not suffering from a serious mental illness.
 Rather, multiple clinicians have documented Patient 38's propensity for faking and exaggerating
 symptoms to escape typical environmental threats and stressors and seek residential transfer to a
 preferred setting. This is consistent with criminogenic behavior and psychopathy related to
 Patient 38's diagnosed antisocial personality. The record does not support any urgent mental
 illness requiring inpatient evaluation or treatment.

29. A transfer to DSH is not medically indicated for Patient 38, and he has suffered no
harm from a delayed transfer. Transferring such patients—who do not exhibit any indicia of
serious mental illness and do not require inpatient care— subjects them to unnecessary risk of
exposure to COVID-19. Continuing such unnecessary transfers during a global pandemic is
unethical. A mandate requiring clinically inappropriate and life-threatening transfers to inpatients
care could even make clinicians and organizations involved in such transfers liable for medical
malpractice.

14 30. It is my strong medical opinion that Dr. Stewart's analysis that Patient 38 has been 15 harmed by a delayed transfer to DSH relies on a gross misrepresentation of the clinical record. 16 Dr. Stewart's opinions are not supported by norms followed in the psychiatric profession. I am 17 deeply concerned that the Court may be basing life-and-death decisions, which could have a 18 catastrophic impact on the mental health infrastructure of DSH and the physical and mental well-19 being of extremely vulnerable populations, on Dr. Stewart's unsupported and egregiously 20 misleading analysis. Indeed, unnecessary inpatient transfers may jeopardize and destabilize the 21 provision of critical healthcare resources throughout the entire state. 22 I declare under penalty of perjury under the laws of the United States of America that the 23 foregoing is true and correct. 24 Executed in Encinitas, California on December 6, 2020. 25 /s Stephen M. Stahl

Stephen M. Stahl, M.D., Ph.D., D. Sc. (Hon.)

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1	References
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12	4. Warburton KD and Stahl SM, Decriminalizing Mental Illness, Cambridge University Press,
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14	5. Meyer JM and Stahl SM, Stahl's Handbooks: The Clozapine Handbook, Cambridge
15	University Press, Cambridge UK 2020
16	6. Meyer JM and Stahl SM, Stahl's Handbooks: Use of Plasma Drug Levels to Guide
17	Antipsychotic Dosing, Cambridge University Press, 2021
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Exhibit A

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JANUARY 2020

CURRICULUM VITAE

STEPHEN MICHAEL STAHL, M.D., Ph.D., D.Sc. (Hon.)

Professional Addresses:

1917 Palomar Oaks Way Suite 200 Carlsbad, CA 92008 Phone: (760) 444-9903 Fax: (760) 931-8517		Department of Psychiatry School of Medicine University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093-0603	Department of Psychiatry and Neurosciences University of California, Riverside 900 University Avenue Riverside, CA 92521
		EDUCATION AND DEGREES	
1973	B.S.	Pre-Medical, Six Year Honors Pro Northwestern University, Evansto	•
1974	M.D.	Northwestern University Medical	School, Chicago, Illinois
1976	Ph.D.	Neuropharmacology Department of Pharmacological a University of Chicago, Chicago, II	
2018	D.Sc.(Hon.)	Üsküdar University Istanbul, Turk	еу
		CLINICAL TRAINING	
1976 - 1977		RESIDENT IN INTERNAL MEDIC University of Chicago, Billings Ho Chicago, Illinois	
1977 - 1979		RESIDENT IN NEUROLOGY University of California, San Fran San Francisco, California	cisco Medical Center
1979 - 1981		RESIDENT IN PSYCHIATRY Stanford University Medical Center Stanford, California	er
	RESEARCH AND TRAINING POSITIONS		
1971 - Summe	er GRAD	DUATE STUDENT (Sterling Mortor Biochemistry Research, Universit	
1971 - 1976	M.D	Ph.D. PROGRAM Medical Scientia of General Medical Sciences, Nor and University of Chicago, (Ph.D.	thwestern University (M.D.)

Case 2:90-cv-00520-KJM-DB Document 6976-5 Filed 12/07/20 Page 16 of 123 RESEARCH AND TRAINING POSITIONS (Cont'd)		
1979 - 1981	BARBRA STREISAND SCHOLAR FOR DYSTONIA RESEARCH Fellow in Neuropsychopharmacology Stanford University	
1981 - 1985	ASSISTANT DIRECTOR Stanford Mental Health Clinical Research Center, Stanford University Medical Center, Stanford, California	
1983 - 1985	GUEST RESEARCH PHYSICIAN Donner Laboratory - Positron Emission Tomography Unit, Lawrence Berkeley Laboratories, University of California, Berkeley, California	
1991 –2001	MEDICAL DIRECTOR Institute for Psychopharmacology Research (IPR), San Diego and Carlsbad, California	
	EDUCATION POSITIONS	
1997 – present	CHAIRMAN Neuroscience Education Institute Carlsbad, California	
2001 – present	CHAIRMAN The Arbor Scientia Group Carlsbad, CA	
	ACADEMIC POSITIONS	
1981 - 1985	ASSISTANT PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES Stanford University, Stanford, California	
1985 - 1988	ADJUNCT ASSOCIATE PROFESSOR OF PSYCHIATRY AND BEHAVIORAL SCIENCES AND OF PHARMACOLOGY, University of California, Los Angeles, California	
1985 - 1988	HONORARY SENIOR LECTURER Institute of Psychiatry, London	
1987 - 1988	HONORARY SENIOR LECTURER Institute of Neurology and the National Hospital for Nervous Diseases, Queen Square, London	
1988 - 1994	PROFESSOR OF PSYCHIATRY University of California, San Diego	

Case 2:90-cv-00520-KJM-DB Document 6976-5 Filed 12/07/20 Page 17 of 123 ACADEMIC POSITIONS (Cont'd)

1994 – present	ADJUNCT PROFESSOR OF PSYCHIATRY University of California, San Diego
2009 - present	HONORARY VISITING SENIOR FELLOW Department of Psychiatry, University of Cambridge
2020 – present	CLINICAL PROFESSOR OF PSYCHIATRY AND NEUROSCIENCE, University of California, Riverside, School of Medicine
	HOSPITAL POSITIONS
1981 - 1985	DIRECTOR

	Movement Disorders & Psychopharmacology Research Clinic, Veterans Affairs Medical Center, Palo Alto, California
1981 - 1985	MEDICAL DIRECTOR Schizophrenia Biological Research Center Veterans Affairs Medical Center, Palo Alto, California
1981 - 1985	WARD CHIEF AND STAFF PHYSICIAN Psychiatry Service Veterans Affairs Medical Center, Palo Alto, California
1982 - 1985	DIRECTOR Laboratory of Neuropsychopharmacology Veterans Affairs Medical Center, Palo Alto, California
1985 - 1988	HONORARY CONSULTANT The Royal Bethlem-Maudsley Hospital, London
1988 - 1989	CHIEF, PSYCHIATRY SERVICE Veterans Affairs Medical Center, San Diego, California
1989 - 1994	CHIEF, PSYCHOPHARMACOLOGY Veterans Affairs Medical Center, San Diego, California
1992 - 1994	CHIEF, MENTAL HEALTH OUTPATIENT CLINICS Veterans Affairs Medical Center, San Diego, California
1988 - 1997	DIRECTOR, LABORATORY OF CLINICAL NEUROSCIENCE Veterans Affairs Medical Center, San Diego, California
2011 – 2012	FORENSIC PSYCHOPHARMACOLOGY CONSULTANT Patton State Hospital, Patton, California

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2012 - present

DIRECTOR OF PSYCHOPHARMACOLOGY Statewide Clinical Operations for Department of State Hospitals, Sacramento, California

PROFESSIONAL EXPERIENCE IN THE PHARMACEUTICAL INDUSTRY

- 1982 1985 DIRECTOR OF CLINICAL SCIENCES, ASSOCIATE MEDICAL DIRECTOR AND PRINCIPAL SCIENTIST Alza Corporation Palo Alto, California
- 1985 1988 EXECUTIVE DIRECTOR OF CLINICAL NEUROSCIENCE DIRECTOR OF THE LABORATORY OF CLINICAL NEUROPHARMACOLOGY Neuroscience Research Center Merck Sharp and Dohme Research Laboratories Harlow, Essex (United Kingdom)

CONSULTING EXPERIENCE AND ADVISORY BOARDS WITH THE PHARMACEUTICAL INDUSTRY

Abbott Laboratories Acadia Adamas Alkermes Allergan Amylin Angelini Arena Arbor Asahi Chemical Assure RX Astra Zeneca Avanir Avera Axovant Axsome Bayer AG Biogen Biopharma **BioMarin Biolaunch Canada** Biovail **Boehringer Ingelheim** Braebrun **Bristol Myers Squibb Burroughs Wellcome** Cenerex Cephalon

Mede Corp. Meiii Merck Merrell Dow Mylon Neos **Neurocrine Biosciences** Neuromolecular **Neuronetics** NovaDel Novartis Noveida Orexigen Organon Otsuka PamLabs Parke Davis Perrigo Pfizer/Roerig Pfizer Pharmacia and Upjohn Pharmasquire **Pierre Fabre** Prexa Pharmaceuticals Procter and Gamble **Propagate Pharma** Quintiles Rexahn

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Celgene **Ciba Geigy** Clearview Concert Covance **CSC** Pharmaceuticals Cyberonics **Cypress Bioscience** DepoMed Dianippon Dey Forest Eisai Eli Lilly **EDM Sirona** EnVivo Epix Fabre Kramer Fanapt Ferring Forest Forum G.D. Searle Genentech Genomind Glaxo Wilcome Hoechst-Roussel Intra-Cellular Therapies **Innovative Science Solutions** Janssen Jay Mac Jazz LaboPharm Lorex Lundbeck Marinus Mead Johnson

Propagate Pharma Quintiles Pacific Inc. Riviva Roche **Royalty Pharma** Sanofi Schering Plough Servier Shire **SK Corporation** Smith Kline Beecham Soffinova Solvay Sprout Sumitomo Sunovion Takeda Taliaz Taisho Tetragenix Teva Tikvah **TMS Neurohealth Centers** Tonix Trius **UCB** Pharma Vanda Valeant Vertex Viforpharma Vivus Watson Wyeth Yamanouchi

CONSULTING EXPERIENCE WITH MANAGED CARE

BLUE CROSS OF CALIFORNIA Blue Cross California Care Occupational Health Network -Psychiatric illnesses

HEALTH PAYMENT REVIEW, BOSTON, MASSACHUSETTS

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Psychiatry Payment Review Board -Matching ICD-9 Diagnostic Codes with CPT Service Codes

KAISER PERMANENTE, HONOLULU, HAWAII Depression Treatment Guidelines

VALUE OPTIONS, PHOENIX, ARIZONA Fiscal Pharmacology of the Atypical Antipsychotics

CONSULTING EXPERIENCE WITH GENOMICS/DIAGNOSTICS

BRAZILIAN INSTITUTE OF PSYCHOPHARMACOLOGY AND PHARMACOGENETICS

GENOMIND

MINDX SCIENCE, INC.

TALIAZ HEALTH, LTD.

TEACHING EXPERIENCE

1981 - 1985	STANFORD UNIVERSITY Department of Human Biology -Lecturer for "Psychobiology: The Biological Basis of Psychiatric Disorders" -Lecturer for "Neurochemistry of Severe Behavioral Disturbances of Childhood" Department of Anthropology and Psychology -Graduate Seminar in Clinical Process Department of Psychiatry and Behavioral Sciences -Psychopharmacology (psychiatry residents) Pediatric Psychopharmacology (child psychiatry fellows) -Basic Psychiatry Clerkship (medical students) -Advanced Psychiatry Clerkship (medical students)
1982 – 1985	PALO ALTO VETERANS AFFAIRS MEDICAL CENTER Division of Psychology, Clinical Psychology Intern Training Program -"Psychopharmacology for Clinical Psychologists"
1985 - 1988	UNIVERSITY OF CALIFORNIA, LOS ANGELES Medical School -Lecturer for basic pharmacology course
1985 - 1988	INSTITUTE OF PSYCHIATRY Maudsley Hospital, London -Lecturer for Psychopharmacology Course

Case 2:90-cv-00	520-KJM-DB Document 6976-5 Filed 12/07/20 Page 21 of 123 -Research Advisor for Research Registrars in Neurology and Psychiatry
1987 - 1988	INSTITUTE OF NEUROLOGY National Hospital for Nervous Disease Queen Square, London -Lecturer in Neuropharmacology
1988 - present	UNIVERSITY OF CALIFORNIA, SAN DIEGO Department of Psychiatry, School of Medicine -Lecturer in Psychopharmacology for medical students, graduate neuroscience and pharmacology students, psychiatry and medicine residents and psychiatry research fellows -Faculty Mentor Program for Undergraduate Independent Studies -Howard Hughes Mentor Program for Minority Students -Ph.D. dissertation committee, Department of Pharmacology Graduate Students -Chairman of the Steering Committee, Postdoctoral Fellowship in Psychobiology and Psychopharmacology -Advanced Psychopharmacology Course (CME) -Geriatric Psychopharmacology Course (CME)
1988 – 2008	UNIVERSITY OF CALIFORNIA, SAN DIEGO Department of Psychiatry, School of Medicine -"Psychopharmacology Images," a home study CME course approved by UCSD for 35 hours of Category I credit
2009 – present	UNIVERSITY OF CAMBRIDGE, CAMBRIDGE, UK Department of Psychiatry and Psychiatry and Behavioral Clinical Neuroscience Institute -lectures in psychiatry and psychopharmacology
2020 – present	UNIVERSITY OFCALIFORNIA RIVERSIDE Department of Psychiatry and Neuroscience, School of Medicine - lectures in psychiatry, psychopharmacology and neuroscience
	COMMITTEES AND REVIEW BOARDS
1981 - 1985	HUMAN SUBJECTS RESEARCH COMMITTEE (IRB) Palo Alto Veterans Administration Medical Center
1983 - 1985	MEDICAL STUDENT EDUCATION COMMITTEE Department of Psychiatry and Behavioral Sciences Stanford University
1988 - 1992	RESEARCH AND DEVELOPMENT COMMITTEE San Diego Veterans Affairs Medical Center

Case 2:90-cv-00520-KJM-DB Document 6976-5 Filed 12/07/20 Page 22 of 123 COMMITTEES AND REVIEW BOARDS (Cont'd)

- 1988 1992CLINICAL PRACTICE COMMITTEE
UCSD School of Medicine
- 1996 2016 CONTINUING MEDICAL EDUCATION COMMITTEE UCSD School of Medicine
- 1997 2012 EXPERT MEDICAL REVIEWER Medical Board of California
- 1997 2014MEDI-CAL (Medicaid) DRUG USE REVIEW (DUR) BOARD
State of California Medicaid Program1997 2014-Member2005- 2007-Vice Chair2007 2013-Chair
- 2008 2018 UCSD Geisel Library, University Librarian's Advisory Board

LICENSES AND CERTIFICATION

1975	Diplomate of the Federal Licensure Examination
1977	Diplomate of the National Board of Medical Examiners
1983	Diplomate, American Board of Psychiatry and Neurology
1985 - 1988	Visiting Overseas Doctor Registration, General Medical council, UK
1975 – present	Medical Licensure, State of Indiana, #01026376,
1975 – present	Medical Licensure, State of Ohio, #35-03-8911,
1977 – present	Medical Licensure, State of California, #G34507,
1993 - 1997	Qualified Medical Evaluator, State of California, #908730,

HONORS

1971, 1973, 1977	Illinois Psychiatric Society Award
1972	Roche Award in Neuropsychiatry
1973	Neuropsychiatric Research Foundation Award
1974	Saul R. Korey Award in Basic Research
1974	A.E. Bennett Award in Basic Research
1974	Alpha Omega Alpha Basic Research Award
1974	G.D. Searle Award
1975	G. Milton Shy Award
1983	Merrell-Dow Visiting Professor of Psychiatry and Pharmacology,
	Chicago, Illinois
1984	French Ministry Visiting Professor of Psychiatry and Neurology,
	Lyon, France
1997	Honorable Mention, Association of American Publishers' Award
	for Best Medical Textbook published in the U.S. for Essential
	<u>Psychopharmacology</u>
2000	Communicator Award, Educational Videotape Series
	Communicator Award, Written and Illustrated Antipsychotic

	workbook
2001	Honorary Adjunct Professor of Psychiatry
	New York College of Osteopathic Medicine
	New York Institute of Technology
	Old Westbury, New York
2002	Lundbeck International Neuroscience Foundation Prize
2004	San Diego Psychiatric Society/American Psychiatric Association
	Education Award
2008	UCSD Residency Teaching Award
2009	The British Medical Association Medical Book Competition Award,
	Stahl's Essential Psychopharmacology 3 rd Edition
2009	Honorary Visiting Senior Fellow, Department of Psychiatry,
	University of Cambridge, Cambridge, UK
2010	The British Medical Association Book of the Year Award for <u>The</u>
	Prescribers Guide 3 rd Edition
2011	The British Medical Association Medical Book Competition Award,
	Stahls Illustrated Antipsychotics
2012	The Feldman Lecture, Edmonton, Canada
2013	Distinguished Psychiatrist Lecturer and Award, American Psychiatric
	Association
2016	The Stephen M. Stahl Young Psychiatrist Award, named in honor of
	Stephen Stahl and awarded to the Northwestern University medical
	student who has the best performance in psychiatry, given annually
	by the Department of Psychiatry at Northwestern University Medical
	School, Chicago, Illinois
2016	David A. Mrazek Lecture and Award for Pharmacogenomics in
	Psychiatry, American Psychiatric Association
2016	The British Medical Association Medical Book Competition Award for
	Best Digital Book, <u>Stahl Masterclass</u> , Cambridge University
2017	Honorary President, Italian Brain Research Foundation
2018	Honorary Doctorate, Üsküdar University, Istanbul Turkey
2018	Honorary Citizen, City of Lucca, Italy for contributions to psychiatry
2018	Honorary Member, Italian Medical Society, for contributions to
	mental health

EDITORIAL BOARDS

1988 - 1989	Psychological Medicine
1988 – present	International Review of Psychiatry
1991 - 1992	Stress Management Advisor
1996 - present	Journal of Psychiatry in Clinical Practice
1996 - 1997	Editor, "Psychopharm Psnapshots," Psychiatric Annals
1997 - 2011	Editor, "Brainstorms," Journal of Clinical Psychiatry
1997 – 2008	Clinical Field Editor, International Journal of
	Neuropsychopharmacology
1999 – 2009	CNS News
1999 - 2007	Journal of Depression and Anxiety/African Journal of Psychiatry

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2000 – present	Neuropsychopharmacology
2003 – present	CNS Spectrums
2012 – present	-Editor-in-Chief, CNS Spectrums
2004 – present	Journal of Psychotic Disorders
2005 – present	Clinical Neuropsychiatry
2005 – present	Psychiatry/Innovations in Clinical Neuroscience
2005 – present	Expert Opinion on Pharmacotherapy
2005 – present	Acta Psychiatrica Scandinavica
2005 – present	-Editorial Board
2007 – 2016	-Associate Editor
2010 – 2018	<u>Neurotherapeutics</u>
2010 – present	Therapeutic Advances in Psychopharmacology
2010 – present	<u>Neuropsychiatry</u>
2010 – present	Frontiers in Psychopharmacology

SCIENTIFIC ADVISORY BOARDS

National Anxiety Foundation
San Diego Phobia Foundation
Obsessive-Compulsive Foundation (National)
California Obsessive-Compulsive Foundation
EthicAd
Acurian
BioExpertise
Healthcare Technology Systems (HTS)
Decision Resources
Academy of Judges, International Health and Medical Media
Awards (Freddie Awards)
Genomind
Mind X Sciences

PROFESSIONAL SOCIETIES

American Academy of Neurology American Academy of Psychiatry and the Law American College of Neuropsychopharmacology Ad Hoc Awards Committee, 1984 Task Force on Drug Safety, 1985 - 1988 Liaison committee with government agencies and the pharmaceutical industry 1986 - 1989 Fellow, 1989 - present Education Committee – 1998 - 2002 American Psychiatric Association Member 1981-2000 Fellow, 2000 - 2003 Distinguished Fellow – 2003 – 2014 Distinguished Life Fellow 2014 - present American Society for Neurochemistry American Society of Clinical Psychopharmacology

Case 2:90-cv-00520-KJM-DB Document 6976-5 Filed 12/07/20 Page 25 of 123 <u>PROFESSIONAL SOCIETIES (Con't)</u>

British Association of Psychopharmacology, 1985 – 1988 Council, 1987 - 1988 British Pharmacology Society, 1985 - 1989 Collegium Internationale Neuro-psychopharmacologium Chairman, Education Committee, 1997 - 2004 Fellow, 1986 - present Counsellor, 2000 - 2004 Scientific Program Committee, 2000-2002 Scientific Program Committee, 2002-2004 Scientific Program Committee, 2004-2006 Vice President, 2004-2008 International Society of Neurochemistry, 1976 - 1993 **Royal Society of Medicine** Society for Neurosciences Society of Biological Psychiatry Membership Committee, 1982 - 1988 West Coast College of Biological Psychiatry Membership Committee, 1990 - 1999

REVIEW OF SCIENTIFIC MANUSCRIPTS

Acta Psychiatrica Scandinavica American Journal of Psychiatry Annals of Neurology Archives of General Psychiatry **Biochemical Pharmacology Biological Psychiatry** Brain **Brain Research Clinical Therapeutics Clinical Neuropsychiatry CNS Spectrums** Depression Epilepsia Expert Opinion on Pharmacotherapy Internat'l Journal of Neuropsycho-Pharmacology Journal of Affective Disorders Journal of the American Medical Assoc. Science

Journal of Clinical Investigation Journal of Clinical Psychiatry Journal of Neural Transmission Journal of Neurochemistry Journal of Psychiatry in Clinical Practice Journal of Studies on Alcohol Life Sciences Int'I J Clin Psychopharmacology Neurology Neuropsychopharmacology **Psychiatry Research Psychiatric Services Psychological Medicine** Psychopharmacology **Psychosomatic Medicine Sleep** Trends in Pharmacological Sciences

REVIEW OF THE SCIENTIFIC MERIT OF GRANT APPLICATIONS

Preclinical Psychopharmacology (National Institute of Mental Health) Clinical Psychopharmacology (National Institute of Mental Health) Neurology B (National Institute of Neurological and Communicative Diseases and Stroke)

Case 2:90-cv-00520-KJM-DB Document 6976-5 Filed 12/07/20 Page 26 of 123 REVIEW OF THE SCIENTIFIC MERIT OF GRANT APPLICATIONS (Cont;d)

Scottish Rite Foundation for Schizophrenia Dystonia Medical Research Foundation Mental Health Clinical Research Centers Branch (National Institute of Mental Health) Research Advisory Group, Veterans Administration Central Office March of Dimes Ontario Mental Health Foundation Tourette Syndrome Association Mental Health Foundation (UK) Medical Research Council (UK) Medical Research Council (Canada) Veterans Affairs Merit Review Board (Neurobiology) ad hoc reviewer Brain Disorders and Clinical Neurosciences Study Section (NIMH),ad hoc reviewer Catalan Agency for Health Technology (Spain)

MANAGEMENT COURSES

- 1986 Management skills course, MAST, Management and Skills Training, Cambridge, United Kingdom.
- 1986 Counseling for performance improvement course, MAST, Management and Skills Training, Cambridge, United Kingdom.
- 1987 Financial Planning and Forecasting, Templeton College, The Oxford Centre for Management Studies, Oxford, United Kingdom.
- 1987 Oxford Executive Programme for Senior Management: Managing for Competitive Advantage and Profitability, Templeton College, The Oxford Centre for Management Studies, Oxford, United Kingdom.
- 1987 Performance Appraisals, MAST, Management and Skills Training, Cambridge, United Kingdom.

RESEARCH IN COLLABORATION WITH THE PHARMACEUTICAL INDUSTRY

RESEARCH AT STANFORD UNIVERSITY (1979 - 1985)

- 1. Gamma-vinyl-GABA (Merrell-Dow) in tardive dyskinesia, Tourette syndrome and other movement disorders.
- 2. RO22-1319 (piquindone) (Roche) in schizophrenia.
- 3. RO22-1319 (piquindone) (Roche) in Tourette syndrome.
- 4. Fenfluramine (Robins) in schizophrenia.
- 5. Albuterol (Glaxo) in depression.
- 6. Alprazolam (Upjohn) in depression.
- 7. Lisuride (Schering AG) in dystonia.
- 8. Bromocriptine (Sandoz) in dystonia.
- 9. Clonidine (Boehringer-Ingleheim) in movement disorders.

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- 10. Lecithin and choline chloride in movement disorders (tardive dyskinesia, Huntington's disease, Tourette syndrome).
- 11. Physostigmine (O'Neal) in movement disorders (tardive dyskinesia, Huntington's disease, Tourette syndrome).
- 12. Fenfluramine (Robins) in the malignant carcinoid syndrome.
- 13. Levodopa (Lakeside, Nutritional Biochem) in Parkinson's disease and dementia
- 14. Diazepam (Roche) in the Stiff-Man syndrome.

RESEARCH AT ALZA CORPORATION (1982 - 1985)

- 1. Transdermal fentanyl in postoperative pain and in chronic cancer pain.
- 2. Transdermal testosterone in male hypogonadism.
- 3. OROS verapamil, OROS propranolol and OROS hydrochlorothiazide in hypertension.
- 4. Transdermal estradiol in female menopause.
- 5. Topical safety, transdermal naloxone.

RESEARCH AT MERCK (1985 - 1988)

- 1. First administration of new chemical entities to man: alpha-2 antagonist, glutamate antagonist, oral sustained release dopamine agonist, transdermal dopamine agonist.
- Entering new chemical entities into Phase IIA clinical development: glutamate antagonist, oral sustained release dopamine agonist, transdermal dopamine agonist, skeletal muscle relaxant, cholecystokinin-A antagonist, alpha-2 antagonist.
- 3. Entering new chemical entities into Phase IIB/III clinical development: oral sustained release dopamine agonist.
- 4. <u>New drug application (NDA) filing</u>: oral sustained release antiparkinsonian (Sinemet CR).
- 5. Clinical research: fluorinated analogues of glutamate antagonists for positron emission tomography; neuropharmacology of cognition and memory in human volunteers.
- 6. Preclinical research: neuroprotective glutamate antagonist lead compounds; cholinomimetic Alzheimer lead compound, beta adrenergic and serotonin receptor regulation by antidepressants/anxiolytics.
- 7. Licensing-in agreements for CNS compounds.

RESEARCH AT INSTITUTE FOR PSYCHOPHARMACOLOGY RESEARCH, SAN DIEGO/CARLSBAD (1988 - 2004)

- 1. Gepirone (Bristol-Myers) in major depressive disorder
- 2. Xanax sustained release (Upjohn) in panic disorder
- 3. Sertraline (Pfizer) vs. amitriptyline, quality of life study in depression
- 4 Sertraline (Pfizer) crossover from fluoxetine in depression
- 5. Sertraline (Pfizer) vs. clomipramine in obsessive compulsive disorder
- 6. Ipsapirone (Miles) in depression
- 7. Nefazodone (Bristol-Myers Squibb) in depression
- 8. Adinazolam (Upjohn) vs. nortriptyline, effects on serotonin receptors in major depressive disorder

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- 9. CGS-18102A (Ciba-Geigy) in generalized anxiety disorder
- 10. Bupropion sustained-release (Burroughs Wellcome) in major depressive disorder
- 11. Xanax (Upjohn) in long-term treatment of panic disorder
- 12. Buspirone (Bristol-Myers Squibb) in mixed anxiety depression
- 15. Sertraline (Pfizer) vs. fluoxetine, quality of life study in depression
- 14. Sertraline (Pfizer) vs. desipramine in comorbid obsessive compulsive disorder plus major depressive disorder
- 15. Fluvoxamine (Upjohn-Solvay) in panic disorder
- 16. Paroxetine (SmithKline Beecham) in depression
- 17. DN-2327 (Takeda Abbott Pharmaceuticals) in generalized anxiety disorder
- 18. Nefazodone (Bristol-Myers Squibb) crossover from fluoxetine, in depression
- 19. Nefazodone (Bristol-Myers Squibb) crossover from sertraline, in depression
- 20. Tandospirone (Pfizer) in depression
- 21. Klonopin (Roche) in obsessive compulsive disorder
- 22. Klonopin (Roche) in panic disorder
- 23. Mentane (Hoechst) in Alzheimer's disease
- 24. Nefazodone (Bristol-Myers Squibb) in inpatients with depression
- 25. Olanzapine (Lilly) in inpatients with schizophrenia
- 26. Pharmacokinetic study of ziprasidone in inpatients with schizophrenia
- 27. Bupropion sustained-release (Burroughs Wellcome) in depression
- 28. BMS-181,101 (Bristol-Myers Squibb) open label treatment in depression
- 29. CP-93,393 (Pfizer) in generalized anxiety disorder
- 30. Sertindole (Abbott) in schizophrenia
- 31. Sabeluzole (Janssen) in Alzheimer's disease
- 32. Sertraline (Pfizer) in relapse of symptoms in obsessive compulsive disorder
- 33. BMS-181,101 (Bristol-Myers Squibb) double-blind treatment in depression
- 34. Venlafaxine (Wyeth-Ayerst) in depression
- 35. Bupropion sustained-release (Burroughs Wellcome) vs. sertraline in depression
- 36. Sertraline (Pfizer) in treatment refractory obsessive compulsive disorder
- 37. ORG 4428 (Organon) in depression
- 38. Flesinoxan (Solvay) vs. buspirone in generalized anxiety disorder
- 39. CP-93,393 (Pfizer) in depression
- 40. Hippurate LY 228729 (Lilly) in treatment refractory depression
- 41. Ipsapirone (Miles/Bayer) sustained release in major depressive disorder
- 42. Fluoxetine (Lilly) in relapse of symptoms of obsessive compulsive disorder
- 43. Ziprasidone (Pfizer) vs. risperidone in outpatients with schizophrenia
- 44. Serzone (Bristol-Myers Squibb) in general psychiatric practices
- 45. CP93,393 (Pfizer) in major depressive disorder
- 46. CP93,393 (Pfizer) in generalized anxiety disorder
- 47. Fluoxetine Plus Pindolol (Lilly) in depression
- 48. Citalopram (Forest) in depression
- 49. Fluoxetine (Lilly) in relapse of symptoms of bulimia
- 50. Remeron (mirtazapine) (Organon) in major depressive episode
- 51. Iloperidone (Titan) in schizophrenia.
- 52. Compass (Bristol-Myers Squibb) in all disorders
- 53. Flibanserin (Boehinger Ingelheim) in major depressive disorder
- 54. SR58611A (Sanofi) in major depression
- 55. Olanzapine (Lilly) in resistant major depressive disorder without psychotic features.
- 56. Risperidone (Janssen) in Treatment Resistant Major Depressive Disorder.

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- 57. Estrogen as an adjunct to Zoloft (Pfizer) for Panic Disorder in Peri/Post Menopausal Women.
- 58. SR142801 (Sanofi) in major depression.
- 59. Neurokinin CP122-721 (Pfizer)in major depression.
- 60. Reboxetine (Pharmacia Upjohn) in Major Depressive Disorder.
- 61. St. John's Wort (Pfizer) in major depression.
- 62. Nefazodone (Bristol Myers Squibb) A multicenter, double-blinded placebo controlled, randomized fixed dose study of Nefazodone ER in the treatment of depressed patients.
- 63. Celexa (Forest Laboratories) Depression study
- 64. Paxil GAD (SmithKlineBeecham) A Randomized double blind placebo controlled flexible dose trial to evaluate the efficacy and tolerability of paroxetine in patients with generalized anxiety disorder.
- 65. Olanzapine (Lilly) Randomized double blinded parallel study of patients who have responded to acute (6 to 12) weeks open label olanzapine treatment and are in symptomatic remission of an index manic, mixed, or depressed episode (with or without psychotic features).
- 66. Citalopram (Forest Laboratories) Panic
- 67. Cltalopram (Forest Laboratories) Depression
- 68. Effexor XR (Wyeth Ayerst) Social Phobia
- 69. CP 448, 187 Pfizer Depression
- 70. Reboxetine Pharmacia and Upjohn
- 71. Duloxetine in Major Depression Lilly

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- (NL-1) Stahl SM. Clinical applications of the neuropharmacology of receptor ligands appear to be promising. <u>The Psychiatric Times VIII</u> (3):40-41, 1991.
- (NL-2) Stahl SM. Treating Adolescents and Children with Antidepressants: Damned If you do, damned if you don't. Part 1 – What we know and what we don't Know. <u>PsychEd Up</u>, Volume 1, Issue 1, p.6-7, 2005.
- (NL-3) Stahl SM. Treating Adolescents and Children with Antidepressants: Damned if you do, damned if you don't. Part 2 – What we should do. <u>PsychEd</u> <u>Up</u>, Volume 1, Issue 2, p. 6-7, 2005.
- (NL-4) Stahl SM. It Takes Two to Entangle: Separating Medical Education from Pharmaceutical promotion. <u>PsychEd Up</u>, Volume 1, Issue 3, p. 6-7, 2005.
- (NL-5) Stahl SM. Detecting and Dealing with Bias in Psychopharmacology, <u>PsychEd Up</u>, Volume 1, Issue 4, p. 6-7, 2005.
- (NL-6) Stahl SM. Finding Better Answers for Sleep Disorders. Shift Happens. <u>PsychEd Up</u>, Volume 1, Issue 5, p.6-7, 2005.
- (NL-7) Coopan R and Stahl SM. Metabolic Issues: A Psychiatrist's Perspective. Clinical Psychiatry News Supplement, Psychoharmacology: Beyond Conventional Wisdom, p 14-16, 2005.

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- (NL-8) Stahl SM. A Rash Proposal for Psychopharmacologists Treating Bipolar Disorder. <u>PsychEd Up</u>, Volume 1, Issue 6, p. 6-7, 2005.
- (NL-9) Stahl SM and Shayegan DK. Assessing the Assessments in Psychopharmacology. <u>PsychEdUp</u>, Volume 1, Issue 7, p 6-7, 2005.
- (NL-10) Stahl SM. Specifying the Unspecified in Bipolar Disorder, <u>PsychEdUp</u>, Volume 1, Issue 8, p. 6-7. 2005.
- (NL-11) Stahl SM. Let Them Eat Generics. <u>PsychEdUp</u>, Volume 1, Issue 9, p. 6-7, 2005.
- (NL-12) Stahl SM. Fixing Pharma and the Feds. <u>PsychEdUp</u>, Volume 1, Issue 10, p.5-6, 2005.
- (NL-13) Stahl SM. Strategies for Innovating New Treatments in Psychiatry. PsychEdUp, Volume 1, Issue 11, p. 6-7, 2005.
- (NL-14) Stahl SM. Prescribing Off-Label in Psychopharmacology. <u>PsychEdUp</u>, Volume 1, Issue 12, p. 5-6, 2005.
- (NL-15) Stahl SM and Grady M. Symptoms and Circuits: Deconstructing Psychiatric Disorders to Achieve Remission. <u>PsychEdUp</u>, Volume 2, Issue 1, p. 6-7, 2006.
- (NL-16) Stahl SM. Will CATIE Change Your Practice? <u>PsychEdUp</u>, Volume 2, Issue 2, p.6-7, 2006.
- (NL-17) Stahl SM. Processes Influencing Wakefulness. <u>PsychEdUp</u>, Volume 2, Issue 3, p. 6-7, 2006.
- (NL-18) Stahl SM. Signal Transduction. <u>PsychEdUp</u>, Volume 2, Issue 4, p. 6-7, 2006.
- (NL-19) Stahl SM. Effects of Sleepiness. <u>PsychEdUp</u>, Volume 2, Issue 5, p. 6-7, 2006.
- (NL-20) Stahl SM. Targeting Executive Dysfunction. <u>PsychEdUp</u>, Volume 2, Issue 6, p. 5-6, 2006.
- (NL-21) Stahl SM. Neuromodulators of Arousal. <u>PsychEdUp</u>, Volume 2, Issue 7, p. 5-6, 2006.
- (NL-22) Stahl SM. Diagnosing Obstructive Sleep Apnea, <u>PsychEdUp</u>, Volume 2, Issue 8, p. 5-7, 2006.
- (NL-23) Stahl SM and_Grady MM. ADHD: From Syndrome to Symptoms. Part 1: Inattention. <u>PsychEdUp</u>, Volume 2, Issue 9, 6-7, 2006.

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- (NL-24) Stahl SM and Grady MM. ADHD: From Syndrome to Symptoms. Part 2: Hyperactivity. <u>PsychEdUp</u>, Volume 2, Issue 10, 6-7, 2006.
- (NL-25) Stahl SM and Buckley PF. Diagnostic and Treatment Approaches to the Negative Symptoms of Schizophrenia, Part 1: Diagnosis. <u>PsychEd Up</u>, Volume 2, Issue 11, 6-7, 2006.
- (NL-26) Stahl SM and Buckley PF. Diagnostic and Treatment Approaches to the Negative Symptoms of Schizophrenia, Part 2: Neurobiology. <u>PsychEd Up</u>, Volume 2, Issue 12, 6-7, 2006.
- (NL-27) Stahl SM and Buckley PF. Diagnostic and Treatment Approaches to the Negative Symptoms of Schizophrenia, Part 3: Treatment. <u>PsychEd Up</u>, Volume 3, Issue 1, 6-7, 2007.
- (NL-28) Stahl SM and Roberts E. The Fuzzy Symptoms of Excessive Sleepiness, Part One: Case One, Who is the Patient? <u>WakeUp!</u>, Volume 1, Issue 1, 6, 2007.
- (NL-29) Stahl SM and Roberts E. The Fuzzy Symptoms of Excessive Sleepiness, Part Two: Case One, Results and Action. <u>WakeUp!</u>, Volume 1, Issue 2, 6, 2007.
- (NL-30) Stahl SM. Overview of Trends in Psychopharmacology. <u>PsychEd Up</u>, Volume 3, Issue 5, 6-7, 2007.
- (NL-31) Stahl SM and Roberts E. The Fuzzy Symptoms of Excessive Sleepiness, Part Three: Case One, Summary. <u>WakeUp!</u>, Volume 1, Issue 3, 6, 2007.
- (NL-32) Stahl SM. Beyond the Dopamine Hypothesis of Schizophrenia. NMDA receptor hypofunction. <u>PsychEd Up</u>, Volume 3, Issue 6, 6-7, 2007.
- (NL-33) Stahl SM and Roberts E. The Fuzzy Symptoms of Excessive Sleepiness, Part One: Case Two, Who Is the Patient? <u>WakeUp!</u> Volume 1, Issue 4, 6, 2007.
- (NL-34) Stahl SM. Novel Therapeutics for Schizophrenia: Targeting glycine modulation of NMDA receptors. <u>PsychEd</u>, Volume 3, Issue 11, 2007.
- (NL-35) Stahl SM. Genetics of Schizophrenia Converge Upon the NMDA Glutamate Receptor. <u>Psych Ed Up</u>, Volume 3, Issue 12, 2007.
- (NL-36) Stahl SM. Novel Therapeutics for Depression: L-methylfolate as a Trimonoamine Modulator and Antidepressant-Augmenting Agent. <u>PsychEd</u> Up, Volume 4, Issue 4, 2008.
- (NL-37) Stahl SM. Do Dopamine Partial Agonists Have Partial Efficacy as Antipsychotics? <u>PsychED Up</u>, Volume 4, Issue 6, 2008.
- (NL-38) Wise D and Stahl SM. The Potential Role of a Corticotropin-Releasing Factor

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Receptor-1 Antagonist in Psychiatric Disorders (Part 1 of 2). <u>PsychEd Up</u>, Volume 4, Issue 8, 2008.

- (NL-39) Wise D and Stahl SM. The Potential Role of a Corticotropin-Releasing Factor Receptor-1 Antagonist in Psychiatric Disorders (Part 2 of 2). <u>PsychEd Up</u>, Volume 4, Issue 9, 2008.
- (NL-40) Stahl SM, Wise D and Felker A. Tailoring Treatment of Depression for Women Across the Reproductive Lifecycle: The Importance of Pregnancy, Vasomotor Symptoms, and Other Estrogen-Related Events in Psychopharmacology (Part 1 of 2). <u>PsychEd Up</u>, Volume 5, Issue 1, 2009.
- (NL-41) Stahl SM, Wise D and Felker A. Tailoring Treatment of Depression for Women Across the Reproductive Lifecycle: The Importance of Pregnancy, Vasomotor Symptoms, and Other Estrogen-Related Events in Psychopharmacology (Part 2 of 2) <u>PsychEd Up</u>, Volume 5, Issue 2, 2009.

MONOGRAPHS

- (M-1) Stahl SM. Down regulation of neurotransmitter receptors: a new hypothesis on the mechanism of antidepressant drugs. <u>Depression</u> <u>Dialogue</u>, Merrell-Dow Pharmaceuticals Publications, 1985.
- (M-2) Stahl SM. New drug delivery systems a new approach to Parkinson's Disease. In: Marsden CD and Trimble M (Eds), <u>Future Trends</u> in the Treatment of Parkinson's Disease and Epilepsy, Franklin Scientific Projects Publications, London, 1985, pp. 16-18.
- (M-3) Stahl SM. Serotonin regulators and obsessive compulsive disorder. <u>Clinical Advances in the Treatment of Psychiatric Disorders 6(1):12-16, 1992.</u>
- (M-4) Stahl SM. Why does depression hurt? <u>Insights into Depression</u>, September 2006.

BOOK REVIEWS

- (BR-1) Stahl S. Senile Dementia and Where Are We Now? for <u>Senile Dementia of the</u> <u>Alzheimer Type</u>. Traber J and Gispen WH (Eds), Springer-Verlag, Berlin, 1985. Review in <u>Trends in Pharmacological Sciences 7</u>:514-515, 1986.
- (BR-2) Stahl SM. Book Review: <u>The Role of Serotonin in Psychiatric Disorders</u>. Brown SL and van Praag HM (Eds), Brunner/Mazel, New York. Review in <u>Psychological</u> <u>Medicine 22</u>:1063-1068, 1992.

Case 2:90-cv-00520-KJM-DB Document 6976-5 Filed 12/07/20 Page 33 of 123 INSTRUCTIONAL VIDEOTAPES

- (V-1) Stahl SM. <u>Essential Psychopharmacology of Serotonergic Antidepressants</u>. Executive Producer and Writer, Stahl/Browne/Rader Productions, 1997.
- (V-2) Stahl SM. <u>Mechanism of Action of Remeron (mirtazapine)</u>. Producer, Stahl Productions, 1997.
- (V-3) Stahl SM. <u>Psychopharmacology of Noradrenaline in Depression</u>. Stahl Productions, 1998.
- (V-4) Stahl SM. <u>Psychopharmacology of Atypical Antipsychotics: Part 1:</u> <u>Neurotransmitter interactions and the Pharmacology of Antipsychotics.</u> Stephen M. Stahl, producer, 1998.
- (V-5) Stahl SM. <u>Psychopharmacology of Atypical Antipsychotics: Part 2: Serotonin-Dopamine Receptor Antagonism Improves Therapeutic Actions and Side Effects of Atypical Antipsychotics.</u> Stephen M. Stahl, producer, 1998.
- (V-6) Stahl SM. <u>Psychopharmacology of Atypical Antipsychotics</u>: <u>Part 3: Novel</u> <u>Applications of Atypical Antipsychotics for Treating Psychosis, Cognition, Hostility</u> <u>and Mood in Schizophrenia and Other Psychiatric Illnesses</u>. Stephen M. Stahl, producer, 1998.
- (V-7) Stahl SM. <u>Psychopharmacology of Atypical Antipsychotics: Part 3, revised:</u> <u>Novel Applications of Atypical Antipsychotics for Treating Psychosis, Cognition</u> <u>and Mood in Schizophrenia and Other Psychiatric</u> <u>Illnesses</u>. Stephen M. Stahl, producer, 2001.
- (V-8) Stahl SM. <u>Psychopharmacology of Atypical Antipsychotics: Part 4: Three</u> <u>Classes of Mood Stabilizers for Contemporary Treatment of Bipolar Disorder:</u> <u>Lithium, Anticonvulsants, and Atypical Antipsychotics</u>. Stephen M. Stahl, producer, 2001
- (V-9) Stahl SM. <u>Psychopharmacology of Fatigue and Motivation in Depression</u>. Stephen M. Stahl, producer, 2001.
- (V-10) Stahl SM. <u>Psychopharmacology of the Emotional and Physical Symptoms in</u> <u>Depression</u>. Stephen M. Stahl, producer, 2002.

CONTINUING MEDICAL EDUCATION HOME STUDY PROGRAMS

- CME-1 Stahl SM. <u>Psychopharmacology Images</u>: A programmed Home Study Program approved for 35 hours of Category I CME credit, University of California, San Diego, 1998.
- CME-2 Stahl SM. <u>Mechanism of Action of Antidepressants</u>: A four-part audiotape series with programmed text accredited for 11 hours of Category I CME credit,

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University of California, San Diego, 1998.

- CME-3 Stahl SM. <u>Psychopharmacology of Atypical Antipsychotics</u>. A three part video tape series accredited for 6 hours of Category I CME credit, University of California, San Diego, 1998.
- CME-4 Stahl SM. <u>Serotonin Dopamine Antagonism and the Atypical Antipsychotics.</u> A home study program approved for 2 hours of Category 1 CME credit, University of California, San Diego, 1998.
- CME-5 Stahl SM. <u>Achieving Wellness: Advances in the Treatment of Depression</u> <u>and anxiety</u>. An audio home study program approved for 2 hours of Category 1 CME credit, University of California San Diego, 1998.
- CME-6 Dailey G and Stahl SM. <u>Pathway To Control</u>. Home study Package approved for 8 hours of Category 1 CME credit, University of California San Diego, 1999.
- CME-7 Dailey G and Stahl SM. <u>Pathway To Control</u>. Home Study Package approved for 20 hours of Category 1 CME credit, University of California San Diego, 1999.
- CME-8 Stahl SM. <u>The New Cholinesterase Inhibitors: Their Similarities Are Different</u>. Home Study Package approved for 8 hours of Category 1 CME Credit, University of California San Diego, 1999.
- CME-9 Stahl SM. <u>The Not So Selective Serotonin Reuptake Inhibitors</u>. Home Study Package approved for 6 hours of Category 1 CME Credit, University of California San Diego, 1999.
- CME-10 Stahl SM. <u>Essential Psychopharmacology</u>, 2nd Edition. Home Study Package approved for 54 hours of CME Category 1 CME credit, University of California San Diego, 2000.
- CME-11 Stahl SM. <u>Atypical Antipsychotics: From Pharmacology to Clinical</u> <u>Practice</u>. Home study package approved for 4 hours of Category 1 CME Credit, University of California San Diego, 2001.
- CME-12 Stahl SM. <u>Psychopharmacology of Atypical Antipsychotics</u>. A two part video tape series accredited for a total of 4 hours of Category 1 CME Credit, University of California San Diego. 2001.
- CME-13 Stahl SM. <u>Fiscal Pharmacology of the Atypical Antipsycotics</u>: <u>Getting</u> <u>The Biggest Bang Out of the Bucks</u>. Home study program approved for 6 Hours of Category 1 CME Credit through the University of California San Diego, 2002.
- CME-14 Stahl SM. <u>Fiscal Pharmacology of the Atypical Antipsychotics</u>. A <u>CD-ROM Home study program approved for 3 hours of Category 1 CME</u>

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Credit through the University of California San Diego, 2002.

- CME-15 Stahl SM. <u>Psychopharmacology Academy Audio CD</u>. Home study program approved for 2 hours of Category 1 CME Credit through the University of California San Diego, 2002.
- CME-16 Stahl SM. <u>Psychopharmacology Academy Syllabus</u>. Home study program Approved for 16 hours of Category 1 CME Credit through the University Of California San Diego, 2002.
- CME-17 Stahl SM. <u>The Not So Selective Serotonin Reuptake Inhibitors, 2nd Edition.</u> <u>Home Study Package approved for 7 hours of Category 1 CME Credit,</u> University of California San Diego, 2002.
- CME-18 Stahl SM. <u>Psychopharmacology Academy CD-ROM</u>. Home study program Approved for 4 hours of Category 1 CME Credit through the University Of California San Diego, 2002.
- CME-19 Stahl SM. <u>Quality of Life Algorithms for Primary Care: Women's</u> <u>Health, Sexuality, Depression and Anxiety.</u> A home study program approved for 4 hours of Category 1 CME credit through the University of Of California San Diego, 2002.
- CME-20 Stahl SM. <u>Psychopharmacology of the Emotional and Physical Symptoms in</u> <u>Depression</u>. Home study program approved for 1 hour of Category 1 CME Credit through the University of California San Diego, 2002.
- CME-21 Stahl SM. <u>Clinical Issues in the Treatment of Panic and Anxiety</u> <u>Disorder</u>. Home study program approved for 4 hours of Category 1 CME Credit through the University of California San Diego, 2002.
- CME-22 Stahl SM. <u>New Horizons in the Treatment of Schizophrenia: Beyond</u> <u>Dopamine Antagonism</u>. Home study program approved for 6 hours of Category 1 CME credit through the University of California San Diego, 2002.
- CME-23 Stahl SM. <u>Extending the Breadth of Efficacy of Antidepressant</u>s. Home Study program approved for 6 hours of Category 1 CME credit through The University of California San Diego 2002.
- CME-24 Lieberman III, Joseph A, Berry Sally, Miller Del, Saltz Bruce and Stahl SM. Safety Considerations in the Use of Atypical Antipsychotics. Audio/CD-ROM. The Primary Care Companion to <u>The Journal of Clinical Psychiatry</u>, Volume 2, Number 2, August 2003.
- CME-25 Stahl SM. <u>Psychopharmacology Academy CD-ROM Series</u>. Home study Program approved for 16 hours of Category 1 CME credit through the

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University of California San Diego, 2003.

- CME-26 Stahl SM. <u>Targeting Neurocircuitry Underlying Cognitive and Affective</u> <u>Symptoms in Schizophrenia Audio CD</u>. Home study program approved for 1 hour of Category 1 CME credit through the University of California San Diego, 2003.
- CME-27 Stahl SM. <u>Targeting Neurocircuitry Underlying Cognitive and Affective</u> <u>Affective Symptoms in Schizophrenia DVD</u>. Home Study program approved for 1 hour of Category 1 CME credit through the University of California San Diego, 2003.
- CME-28 Stahl SM. <u>Emerging Data and Cost Implications: Maximizing Synergies</u> <u>Between Mood Stabilizers and Atypical Antipsychotics</u>. A CD-ROM Home study program approved for 6 hours of Category 1 CME credit through the University of California San Diego, 2003.
- CME-29 Stahl SM. <u>Emerging Data and Cost Implications: Maximizing Synergies</u> <u>Between Mood Stabilizers and Atypical Antipsychotics Syllabus</u>. A home study program approved for 4 hours of Category 1 CME credit through the University of California San Diego, 2003.
- CME-30 Stahl SM. <u>Brain Circuits Determine Destiny in Depression CD-ROM</u>. A home study program approved for 5 hours of Category 1 CME credit through the University of California San Diego, 2003.
- CME-31 <u>Stahl SM. Brain Circuits Determine Destiny in Depression Syllabus.</u> A home study program approved for 4 hours of Category 1 CME credit through the University of California San Diego, 2003.
- CME-32 Stahl SM. <u>Psychopharmacology of Energy and Fatigue Audio CD</u>. A home study program approved for 1 hour of Category 1 CME credit through the University of California San Diego, 2003.
- CME-33 Stahl SM. <u>The New A.R.T. of Psychiatry DVD Series</u>. A home study program approved for 3 hours of Category 1 CME credit through the University of California San Diego, 2004.
- CME-34 Stahl SM. <u>The New A.R.T. of Psychiatry Syllabus</u>. Home study program approved for 3 hours of Category 1 CME credit through the University of California San Diego, 2004.
- CME-35 Stahl SM. <u>Genes, Circuits and Pharmacology: Clinical Connections in</u> <u>Schizophrenia DVD</u>. A home study program approved for 1 hour of Category 1 CME credit through the University of California San Diego, 2004.

CME-36 Stahl SM. Psychopharmacology Academy Workbook Part 1: Bipolar and

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- CME-37 Stahl SM. <u>Psychopharmacology Academy Workbook Part 2: Depression and</u> <u>Anxiety</u>. A home study program approved for 6 hours of Category 1 CME credit through the University of California San Diego, 2004.
- CME-38 Stahl SM. <u>Psychopharmacology Academy Interactive CD-ROM Series</u>. A home study program approved for 12 hours of Category 1 CME credit through the University of California San Diego, 2004.
- CME-39 Stahl SM and Sheikh J. <u>Psychopharmacology Academy Audio CD.</u> A home study program approved for 1 hour of Category 1 CME credit. Anxiety: Treatment Issues, 2004.
- CME-41 Stahl SM and Gitlin M. <u>Psychopharmacology Academy Audio CD</u>. A home study program approved for 1 hour of Category 1 CME credit. Bipolar Disorder: Defining Treatment Options, 2004.
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- CME-44 Stahl SM. <u>Psychopharmacology Academy Self-Directed CD ROM</u>. A home study program approved for 1 hour of Category 1 CME credit. Depression: Brain Circuits Determine Destiny in Depression: A Novel Approach to Improving Energy and Executive Function in Major Depressive Disorder, 2004.
- CME-45 Stahl SM. <u>Psychopharmacology Academy Self-Directed CD-ROM</u>. A home study program approved for 1 hour of Category 1 CME credit. Bipolar Disorder: Maximizing Synergies Between Anticonvulsants and Atypical Antipsychotics, 2004.
- CME-46 Stahl SM. <u>Psychopharmacology Academy Self-Directed CD-ROM</u>. A home study program approved for 1 hour of Category 1 CME credit. Psychosis: The Atypical Psychopharmacology Theorem: Relating Function to Receptor, Receptor to Drug, and Drug to Function, 2004.
- CME-47 Stahl SM. <u>Psychopharmacology Academy Self-Directed CD-ROM</u>. A home study program approved for 1 hour of Category 1 CME credit. Anxiety: Neurobiology and Pharmacology of Anxiety, 2004.

CME-48 Stahl SM. Psychopharmacology Academy Interactive CD-ROM. A home study

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program approved for 3 hours of Category 1 CME Credit. Depression: Diagnosis and Treatment, 2005.

- CME-49 Stahl SM. <u>Psychopharmacology Academy Interactive CD-ROM</u>. A home study Program approved for 3 hours of Category 1 CME Credit. Psychosis and Bipolar Disorder, 2005.
- CME-50 Stahl SM. <u>Psychopharmacology Academy Interactive CD-ROM</u>. A home study Program approved for 3 hours of Category 1 CME Credit. Mood Stabilizers and Atypical Antipsychotics, 2005.
- CME-51 Stahl SM. <u>Psychopharmacology Academy Interactive CD-ROM</u>. A home study Program approved for 3 hours of Category 1 CME Credit. Sleep: Symptoms Circuits, 2005.
- CME-52 Stahl SM. <u>Psychopharmacology Academy Audio CD</u>. A home study program approved for 1 hour of Category 1 CME Credit. Anxiety: Symptoms and Circuits, 2005.
- CME-53 Stahl SM. <u>Psychopharmacology Academy Audio CD</u>. A home study program approved for 1 hour of Category 1 CME Credit. Sleepiness, 2005.
- CME-54 Stahl SM. <u>Psychopharmacology Academy Audio CD.</u> A home study program approved for 1 hour of Category 1 CME Credit. Antidepressant Remission Rates, Safety and Tolerability, 2005.
- CME-55 Stahl SM. <u>Psychopharmacology Academy Audio CD</u>. A home study program approved for 1 hour of Category 1 CME Credit. Mood Stabilizers and Atypical Antipsychotics, 2005.
- CME-56 Stahl SM. <u>Psychopharmacology Academy Workbook</u>. A home study program approved for 3 hours of Category 1 CME Credit. Treatment Issues for Depression and Bipolar Disorder, 2006.
- CME-57 Stahl SM and Nemeroff C. <u>Distinguishing the Diagnosis and Treatments for</u> <u>Bipolar Mania, Mixed Mania, and Difficult to Treat Depression Within the Bipolar</u> <u>Spectrum.</u> A home study DVD program approved for 1.5 hours of Category 1 CME Credit, 2006.
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- CME-59 Stahl SM and Jensen PS. <u>Sages of Psychopharmacology Audio CD</u>. ADHD Development and Treatment. A home study program approved for 1 hour of Category 1 CME Credit, 2006.

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- CME-61 Stahl SM and Cooppan R. <u>Sages of Psychopharmacology Audio CD.</u> Merging Psychiatry and Endocrinology: Screening and Managing Severely Mentally III Patients for Metabolic Disorders, 2006.
- CME-62 Stahl SM and Ancoli-Israel S. <u>Sages of Psychopharamcology Audio CD</u>. Sleep Disorders: Their Definition and Treatment, 2006.
- CME-63 Stahl SM. <u>Psychopharmacology of ADHD</u>, 2006.
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- CME-65 Stahl SM and Ancoli-Israel S. <u>Sages of Psychopharmacology Audio CD.</u> <u>Excessive Sleepiness: Signs, Symptoms and Treatments,</u> 2007.
- CME-66 Stahl SM. Insights into the Diagnosis and Treatment of Bipolar Disorder, 2007.
- CME-67 Stahl SM. Diagnosis and Treatment of Sleep Wake Disorders, 2007.
- CME-68 Stahl SM. <u>Neurobiology of Sleep/Wakefulness and Its Impact on Psychiatric</u> <u>Illness</u>, 2007.
- CME-69 Stahl SM. Neurobiology and Treatment of Alcohol Abuse, 2007.
- CME-70 Stahl SM. Linking Symptoms to Circuits in ADHD, 2007.
- CME-71 Stahl SM and Schwartz T. <u>Psychopharmacology of Executive Dysfunction in</u> <u>Mental Illness</u>, 2007.
- CME-72 Stahl SM. <u>Controversies and Tips for Managing Difficult Cases of Bipolar</u> <u>Disorder</u>, 2008.
- CME-73 Stahl SM and Cutler AJ. <u>Identifying and Treating All Aspects of Fibromyalgia: A</u> <u>New Look Into A Painful Syndrome: Deconstructing the Syndrome of</u> <u>Fibromyalgia</u>, 2008.
- CME-74 Stahl SM and Cutler AJ. <u>Differentiating</u>, <u>Dosing</u>, and <u>Combining Mood</u> <u>Stabilizing Drugs</u>: <u>Dosing Atypical Antipsychotics as Mood Stabilizers</u>, 2008.
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- CME-78 Cutler A, Ball S and Stahl SM. Dosing Atypical Antipsychotics. 2008.
- CME-79 Stahl SM. <u>Signal Transduction and Targets of Psychopharmacological Drug</u> <u>Action: Transporters and G Protein-Linked Receptors</u>, 2009.
- CME-80 Stahl SM. Chemical Neurotransmission, 2009.
- CME-81 Stahl SM. <u>Stahl's Essential Psychopharmacology</u>, 3rd Edition, 2009.
- CME-82 Stahl SM and Cutler AJ. Differentiating, Dosing and Combining Mood Stabilizing Drugs: Rationally Combining Mood Stabilizers for Optimum Outcomes, 2009.
- CME-83 Stahl SM and Cutler AJ. <u>Identifying and Treating All Aspects of Fibromyalgia:</u> <u>Management of All Fibromyalgia Symptoms</u>, 2009.
- CME-84 Stahl SM and Cutler AJ. <u>Identifying and Treating All Aspects of Fibromyalgia:</u> <u>Pain, Depression and Sleep</u>, 2009.
- CME-85 Stahl SM. <u>Black Box Warnings: Implications for Clinical Practice</u>, <u>Antidepressants, and Suicide. Part 1: Neurobiology of Suicidality and</u> <u>Mechanisms of Antidepressant Medications</u>, 2009.
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- CME-87 Stahl SM. <u>Black Box Warnings: Implications for Clinical Practice,</u> <u>Antidepressants, and Suicide. Part 3: Depression Treatment and Suicide Rates</u> <u>Following the Black Box Warnings</u>, 2009.
- CME-88 Stahl SM. <u>Confessions of a Psychopharmacologist: Prescribing Outside the</u> <u>Bureaucratic Lines</u> 2010.
- CME-89 Stahl SM and Cutler AJ. Sages of Psychoharmacology, <u>From Clinical Trials to</u> <u>Clinical Practice: Recent Developments in Antipsychotic Therapy</u>, 2010.
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- CME-92 Stahl SM. <u>Antipsychotics as Multifunctional Drugs Across the Mental Health</u> <u>Spectrum: From Psychosis to Bipolar Disorder, Unipolar Depression and</u> <u>Beyond</u>, 2010.

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- CD-ROM-1 Stahl SM. <u>Psychopharmacology of Noradrenaline</u>, An Animated Powerpoint Presentation for Speakers and Lecturers In Psychopharmacology, 1999.
- CD-ROM-2 Stahl SM. <u>Psychopharmacology of Noradrenaline and Reboxetine</u>. <u>selective noradrenaline reuptake inhbitor</u>. An animated, powerpoint Presentation for speakers and lecturers, 2001.
- CD-ROM-3 Stahl SM. <u>Bridging the Gap to Wellness</u>. An animated, case-based Powerpoint presentation for speakers on the psychopharmacology of depression and generalized anxiety disorder, 2001.
- CD-ROM-4 Stahl SM. <u>Depression and Anxiety Powerpoint Library</u>. An animated, case-based resource center of 241 slides on depression, anxiety and their psychopharmacologic treatments, 2001.
- CD-ROM-5 Stahl SM. <u>Psychopharmacology of Energy and Fatigue</u>. An animated, powerpoint presentation for speakers and lecturers, 2002.
- CD-ROM-6 Stahl SM. <u>Symptoms and Circuits: A Brain Game</u>. Interactive program For students, residents, and prescribers, 2003.
- CD-ROM-7 Stahl SM <u>Deconstructing Syndromes into Symptoms: Emphasizing Mood</u>, <u>Fatigue, Concentration and Sleepiness</u>, 2004.
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- CD-ROM-9 Stahl SM. Mechanism of Action of L-methylfolate as a Trimonoamine Modulator: Potential Therapeutic Role in Depression, 2008
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- (TB-6) Stahl SM. <u>Essential Psychopharmacology of Antipsychotics and Mood</u> <u>Stabilizers</u>, Cambridge University Press, New York, New York, 2002.
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- (TB-9) Stahl SM. <u>Essential Psychopharmacology: The Prescribers Guide Revised</u>.2nd edition, Cambridge University Press, New York, New York, 2006.
- (TB-10) Stahl SM. <u>Essential Psychopharmacology: The Prescribers Guide of</u> <u>Antipsychotics and Mood Stabilizers.</u> Cambridge University Press, New York, New York, 2006.
- (TB-11) Stahl SM. <u>Essential Psychopharmacology: The Prescribers Guide of</u> <u>Antidepressants.</u> Cambridge University Press, New York, New York, 2006.
- (TB-12) Stahl SM. <u>Stahl's Essential Psychopharmacology</u>, 3rd Edition. Cambridge University Press, New York, 2008.
- (TB-13) Stahl SM. <u>Stahl's Essential Psychopharmacologoy of Depression and</u> <u>Bipolar Disorder</u>. Cambridge University Press, New York, 2008.
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- (TB-19) Stahl SM. <u>Stahl's Illustrated: Chronic Pain and Fibromyalgia.</u> Cambridge University Press, New York, New York 2009.
- (TB-20) Stahl SM. <u>Stahl's Illustrated: Attention Deficit Hyperactivity Disorder</u>. Cambridge University Press, New York, New York 2009.
- (TB-21) Stahl SM. <u>Essential Psychopharmacology: The Prescribers Guide of</u> <u>Antidepressants, 2nd Edition.</u> Cambridge University Press, New York, New York, 2009.
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- (TB-37) Stahl SM. <u>Stahl's Self-Assessment Examination in Psychiatry: Multiple Choice</u> <u>Questions for Clinicians</u>. 2nd edition, Cambridge University Press, 2016.
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- 2013 Stahl SM. From Symptoms to Circuits in Psychopharmacology: Imaging Brain Circuits and Applying Pharmacogenomics in Modern Clinical Practice. West Coast Geriatric Psychiatry Conference, San Diego, CA Feb. 20-213, 2013.
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- 2013 Stahl SM. Depression: Deeper Evaluation of Symptoms and Neurobiology. Chicago, Illinois, March 6, 2013.
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- 2013 Stahl SM. The Mechanism of Action of Atypical Antipsychotics in Bipolar Mania: Are There Any Meaningful Differences? London, United Kingdom, April 15, 2013.
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- 2013 Stahl SM . Glutamate: The Emerging Frontier of Psychopharmacology for Schizophrenia and Mood Disorders. Birmingham, United Kingdom, April 16, 2013.
- 2013 Stahl SM. Master Class: Latest Advances in Psychopharmacology, Cambridge, United Kingdom, April 18-20, 2013.
- 2013 Stahl SM. Optimizing Care for Patients with Schizophrenia, Zagreb, Croatia, May 7, 2013.
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- 2013 Stahl SM. Neurobiological mechanisms mediating the treatment effect in bipolar Disorder. 3rd International Congress on Neurobiology and Psychopharmacology. Athens, Greece. Webcast May 31, 2013.
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- 2013 Stahl SM. NEI Psychopharmacology Clinical Update: Antipsychotics and ADHD. Carlsbad, CA September 27, 2013.
- 2013 Stahl SM. Treatment of Anxiety-Depression Continuum: Biological and Pharmacological Basis. ECNP Congress, Barcelona, Spain, October 7, 2013.
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- 2013 Stahl SM. Depression From Symptoms to Circuits Disease State and Neurobiology. Depression Network Speaker Training, Chicago, Illinois, November 8-9, 2013
- 2013 Stahl SM. Bipolar Depression: Presentation, diagnosis and Treatment in the Outpatient Psychiatry Practice Setting. Chicago, Illinois, November 6, 2013.
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- 2014 Stahl SM, Cutler A and Culpepper L. Illuminating Advances in the Treatment of Major Depressive Disorder. Satellite Broadcast, January 30, 2014.
- 2014 Stahl SM. Brintellix: Key Clinical Points. Las Vegas, Nevada, February 25, 2014.

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- 2014 Stahl SM. Master Class: Latest Advances in Psychopharmacology, Clare College, Cambridge, UK. April 3-5, 2014.
- 2014 Stahl SM. Neurosciences 277: Depression: Antidepressants, UCSD Resident Lecture , April 10, 2014.
- 2014 Stahl SM. Advances in Managing the Side Effects of Psychotropic Medications. American Psychiatric Association Annual Meeting, New York, New York, May 6, 2014.
- 2014 Stahl SM. Depression-From Symptoms to Circuits Disease State and Neuro-Biology. Chicago, Illinois, June 13, 2014.
- 2014 Stahl SM. Depression From Symptoms to Circuits Disease State and Neuro-Biology. Dallas, Texas June 27, 2014.
- 2014 Stahl SM. An Update of Psychopharmacology, October 15, 2014. London, UK.
- 2014 Stahl SM. The New Mission of Forensic Mental Health Systems: Assessment and Treatment of Violence. October 17, 2014, Institute of Psychiatry, London, UK.
- 2014 Stahl SM. Aripiprazole Once Monthly and the paradigm shift in schizophrenia treatment. October 20, 2014, Berlin, Germany.
- 2014 Stahl SM. Pharmacology-based nomenclature: a joint ECNP, CINP, ACNP and AsCNP Task Force. From antipsychotic to D2 antagonists and D2 partial agonists. October 21, 2014, 27th ECNP Congress, Berlin, Germany.
- 2014 Stahl SM. Agomelatine: A Novel Antidepressant Exploiting Synergy between Monoaminergic and Melatonergic Properties, October 28, 2014. Satellite Presentation, Carlsbad, California.
- 2014 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia. November 3, 2014, London, UK.
- 2014 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia. November 5, 2014, Birmingham, UK.
- 2014 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia. November 6, 2014, Leicestershire, UK
- 2014 Stahl SM. Overview of Latuda (lurasidone) and Patient Case Studies. CMHP Satellite Broadcast, November 7, 2014, Leicestershire, UK.
- 2014 Stahl SM. Nature vs. Nurture: Epigenetics and Personalized Medicine. NEI Psychopharmacological Congress, November 13-16, 2014, Colorado Springs, CO.

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- 2014 Stahl SM. Binge Eating as an Impulsive-Compulsive Disorder: Neurobiological Links to Addiction. NEI Psychopharmacological Congress, November 13-16, 2014, Colorado Springs, CO.
- 2014 Stahl SM. Serotonin Receptors and Serotonergic Drugs. NEI Psychopharmacological Congress, November 13-16, 2014, Colorado Springs, CO.
- 2014 Warburton K and Stahl SM. Addressing agitation and Aggression in Patients with Mental Illness. NEI Psychopharmacological Congress, November 13-16, 2014, Colorado Springs, CO.
- 2014 (A-235) Koenig G, Townsend M, Stoiljkovic M, Leventhal L, Tang C, Hurst R, Piser T, Chen T, Hilt D, Majos M, Stahl S and Flood D. Bridging the gap between alpha-7 receptor priming and cognitive enhancement in the clinic and in pre-clinical animal models. ACNP 53rd Annual Meeting, December, 10, 2014, Phoenix, AZ.
- 2015 Stahl SM. Half Day Workshop on Forensic Violence, January 16, 2015, Worcester, MA.
- 2015 Stahl SM. Tricks of the Trade: Treatment of Resistant Mood, Anxiety or Psychotic Disorders. Psychopharmacology, 2015: A Master Class, April 24-25, 2015, Harvard Psychopharmacology Course, Boston, MA.
- 2015 Stahl SM. Differentiating BRINTELLIX: Clinical Perspectives, May 1, 2015, Chicago, IL.
- 2015 Stahl SM. Nature vs. Nurture: Epigenetics and Personalized Medicine, May 7, 2015, Abu Dhabi.
- 2015 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, May 26, 2015, Birmingham, UK
- 2015 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, May 26, 2015, Leeds, UK
- 2015 Stahl SM. Forensic Violence: Psychopharmacology, Carstairs High Security Hospital, May 28, 2015, Carstairs, Scotland.
- 2015 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, July 26, 2015, Bristol, UK
- 2015 Stahl SM, British Association of Psychopharmacology Satellite Symposium: Optimising care in Schizophrenia: The Challenge of Treating Early Non-Responders, July 27, 2015, Bristol, UK.
- 2015 Stahl SM. Psychopharmacology of Violence, Broadmoor High Security Hospital, July 28, 2015, Crowthorne, Berkshire, UK.

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- 2015 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, July 29, 2015, London, UK
- 2015 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, July 31, 2015, London, UK
- 2015 Stahl SM. New Developments in Schizophrenia Treatment: Emerging Role of D2 Partial Agonists. ECNP Congress, August 29 – September 1, 2015, Amsterdam, Holland.
- 2015 Stahl SM. Reducing Confusion Naming Antipsychotics: Pharmacology, Non-Clinical Target for Nomenclature. ECNP Congress, September 1, 2015, Amsterdam, Holland.
- 2015 Stahl SM. Department of State Hospitals: CAL VAT (Violence Treatment) Guidelines, September 16, 2015, Western Psychiatric State Hospital Association, Provo, UT.
- 2015 Stahl SM. Schizophrenia Pharmacotherapy Current Landscape, September 18, 2015, Dallas, TX.
- 2015 Stahl SM. Osher Lecture, Shell Shock, UCSD, October 15, 2015, San Diego, CA.
- 2015 Stahl SM. Overview of Psychopharmacology: Managing Antidepressants and Antidepressant Side Effects, UCSD, October 26, 2015, San Diego, CA.
- 2015 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia. November 4, 2015, Manchester, UK.
- 2015 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia. November 6, 2015, Belfast, Ireland.
- 2015 Stahl SM. Basic Neuroscience: From Circuits to Symptoms, NEI Psychopharmacological Congress, November 12-15, 2015, Orlando, FL.
- 2015 Stahl SM. One Neurotransmitter to Rule Them All: The Serotonin Network, NEI Psychopharmacological Congress, November 12-15, 2015, Orlando, FL.
- 2015 Stahl SM. Binge Eating and Other Eating Disorders, NEI Psychopharmacological Congress, November 12-15, 2015, Orlando, FL.
- 2015 Stahl SM. Shell Shock Lecture, NEI Psychopharmacological Congress, November 12-15, 2015, Orlando, FL.
- 2015 Stahl SM. Presentation on Gepirone Mechanism of Action, Gepirone FDA Advisory Committee Meeting, December 1, 2015, Washington, DC.

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- 2016 Stahl SM. Overview of Psychopharmacology and Managing Antipsychotic and Antidepressant Side Effect, UCSD Department of Psychiatry Grand Rounds, January 5, 2016, San Diego, CA.
- 2016 Stahl SM. Shell Shock Lecture, UCSD Department of Psychiatry Grand Rounds, January 14, 2016, San Diego, CA.
- 2016 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, February 11, 2016, New York, NY.
- 2016 Stahl SM. Expert Seminar in Psychopharmacology Schizophrenia, February 20, 2016, Melbourne, Australia.
- 2016 Stahl SM. Stahl's Essentials on the Psychopharmacology of Valdoxan: A prescribers Guide, February 21, 2016, Melbourne, Australia.
- 2016 Stahl SM. Identification & Considerations in Pseudobulbar Affect (PBA), February 24, 2016, Los Angeles, CA.
- 2016 Stahl SM. Identification & Considerations in Pseudobulbar Affect (PBA), March 2, 2016, New York, NY.
- 2016 Stahl SM. Hope on the Horizon: An update to the Early Detection and Treatment of Alzheimer's Disease, March, 5, 2016, Jersey City, NJ.
- 2016 Stahl SM. Dangerous Liaison: Understanding the Connection Between Violence and Mental Illness, March 6, 2016, Jersey City, NJ.
- 2016 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, March 8, 2016, Los Angeles, CA.
- 2016 Stahl SM. Expert Panel Mock Board for Primavanserin in Parkinson Disease Psychosis, March 16, 2016, San Diego, CA.
- 2016 Stahl SM. Innovative Treatment of Resistant Psychiatric Disorders, Psychopharmacology, 2016: A Master Class, April 1-2, 2016, Harvard Psychopharmacology Course Boston, MA.
- 2016 Stahl SM. NUEDEXTA Interactive Evening Symposium, April 12, 2016, Phoenix, AZ.
- 2016 Stahl SM. Neuropsychopharmacology Lecture on Antidepressants, UCSD, April 28, 2016, San Diego, CA.
- 2016 Stahl SM. NUEDEXTA Interactive Evening Symposium, May 3, 2016, Dallas, TX.

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- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, May 4, 2016, Dallas, TX.
- 2016 Stahl SM. Beyond Laughter & Tears: A Journey of Hope, APA, May 13, 2016, Atlanta, GA.
- 2016 Stahl SM. The Story Behind Going Global: Whither Medical Affairs, APA, May 13, 2016, Atlanta, GA.
- 2016 Stahl SM. David A. Mrazek Memorial Lecture and Award: Psychiatric Pharmacogenomics, APA, May 16, 2016, Atlanta, GA.
- 2016 Stahl SM. Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, May 16, 2016, Atlanta, GA.
- 2016 Stahl SM. Using Fiction to Tell the Story of Aktion T4, APA, May 16, 2016, Atlanta, GA.
- 2016 Stahl SM. Using Neuroscience Based Nomenclature to Classify Antipsychotics by Pharmacological Mechanisms, APA, May 17, 2016, Atlanta, GA.
- 2016 Stahl SM. Is it Depression or Something More, May 17, 2016, Atlanta, GA.
- 2016 Stahl SM. Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, May 17, 2016, Atlanta, GA.
- 2016 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, May 18, 2016, New York, NY.
- 2016 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, June 10, 2016, Chicago, IL.
- 2016 Stahl SM. The Neurotransmitter to Rule All: The Serotonin Network, June 25, 2016, Dallas, TX.
- 2016 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, June 27, 2016, San Francisco, CA.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, July 14, 2016, Los Angeles, CA.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, July 18, 2016, Depew, NY.

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- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, July 19, 2016, Amherst, NY.
- 2016 Stahl SM. NUEDEXTA Interactive Evening Symposium, July 19, 2016, Pittsburgh, PA.
- 2016 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, July 20, 2016, Philadelphia, PA.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, July 21, 2016, Allentown, PA.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, July 21, 2016, Philadelphia, PA.
- 2016 Stahl SM. Impulsion & Compulsions: Modern Neurochemistry and Pharmacological Approaches, August, 5, 2016, Sao Paulo, Brazil.
- 2016 Stahl SM. Biomarkers of Psychiatric Illnesses, August 6, 2016, Sao Paulo, Brazil.
- 2016 Stahl SM. Psychopharmacology of Traumatic Brain Injury, Camp Pendleton, Navy Psychiatry Department, August 26, 2016, Camp Pendleton, CA
- 2016 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, September 6, 2016, Baltimore, MD.
- 2016 Stahl SM. Future of Psychopharmacology: Is New Treatment Innovation Still Alive, Johns Hopkins Grand Rounds, September 6, 2016, Baltimore, MD.
- 2016 Stahl SM. Psychopharmacology of Violence, Northern Virginia Mental Health Institute, September 7, 2016, Falls Church, VA.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, September 7, 2016, Bathesda, MD.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, September 8, 2016, Orland Park, IL.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, September 8, 2016, Oaklawn, IL.

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- 2016 Stahl SM. Expert Neurology and Psychiatry Perspectives, September 8, 2016, Chicago, IL.
- 2016 Stahl SM. From Clinical to Functional Remission in Depression: How big is the Gap, ECNP, September, 18, 2016, Vienna, Austria.
- 2016 Stahl SM. What is New In Psychosis and How is that Reflected in Neuroscience Based Nomenclature, September 20, 2016, Vienna, Austria.
- 2016 Stahl SM. Expert Neurology and Psychiatry Perspectives: Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, September 27, 2016, San Francisco, CA.
- 2016 Stahl SM. Expert Neurology and Psychiatry Perspectives, October 6, 2016, New York, NY.
- 2016 Stahl SM. Treat the Mind, Respect the Body, October 21, 2016, Sydney, Australia.
- 2016 Stahl SM. Achieving Efficacy While Maintaining Neurological Side Effects in Patients with Psychiatric Illness, October 23, 2016, Sydney, Australia.
- 2016 Stahl SM. Shared Decision Making and Treating Strategy in Major Depressive Disorder, October 23, 2016, Sydney, Australia.
- 2016 Stahl SM. Treat the Mind, Respect the Body, October 25, 2016, Brisbane, Australia.
- 2016 Stahl SM. Future of Psychopharmacology: Is New Treatment Innovation Still Alive, PsychU Virtual Forum, November 1, 2016, Carlsbad, CA.
- 2016 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, November 2, 2016, Denver, CO.
- 2016 Stahl SM. Violent Means: When Mental Illness and Aggressive Behavior Collide, November 3, 2016, Colorado Springs, CO.
- 2016 Stahl SM. Opioid Therapy is Associated with Abuse and Misuse: Tips to Manage in Your Practice, November 3, 2016, Colorado Springs, CO.
- 2016 Stahl SM. Mood Disorders: A "Spectrum" Analysis, November 4, 2016, Colorado Springs, CO.
- 2016 Stahl SM. Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, November 7, 2016, Coral Gables, FL.

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- 2016 Stahl SM. Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, November 8, 2016, Miami, FL.
- 2016 Stahl SM. Innovative Treatments for Resistant Psychiatric Disorders, Tricks of the Trade, University of Miami, Grand Rounds, November 9, 2016, Miami, FL.
- 2016 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, November 11, 2016, Stockholm, Sweden.
- 2016 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, November 14, 2016, Lausanne, Switzerland.
- 2016 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, November 16, 2016, Lucerne, Switzerland.
- 2016 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, November 18, 2016, Dundee, Scotland.
- 2016 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, November 21, 2016, London, UK.
- 2016 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, November 23, 2016, Glasgow, Scotland.
- 2017 Stahl SM. Arbor Pharmaceuticals Unbranded ADHD Videos, January 3, 2017, Carlsbad, CA
- 2017 Stahl SM. Valdoxan's Efficacy on Functional Remission: The Point of View of the Pharmacologist, International Webinar, January 18, 2017, Carlsbad, CA
- 2017 Stahl SM. Using Atypical Antipsychotic Receptor Pharmacology Principles to Guide Switching to Pimavanserin, January 20, 2017, San Diego, CA
- 2017 Stahl SM. Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, January 25, 2017, Los Angeles, CA
- 2017 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, February 1, 2017, Las Vegas, NV
- 2017 Stahl SM. One Neurotransmitter to Rule Them All: The Serotonin Network, February 18, 2017, Lisbon, Portugal
- 2017 Stahl SM. Combining Serotonergic Antidepressant Mechanisms to Attain Best Outcomes, February 18, 2017, Lisbon, Portugal

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- 2017 Stahl SM. Pharmacotherapy for PTSD and Its Comorbidities, International Society for the Study of Women's Sexual Health, February 23, 2017, Atlanta, GA
- 2017 Stahl SM. Psychopharmacology of Tardive Dyskinesia, Teva Advisory Board, February 25, 2017, Atlanta GA
- 2017 Stahl SM. Opioid Pharmacology: Mu and Kappa, March 3, 2017, Boston, MA
- 2017 Stahl SM. More Than Just Mood The Neurobiology of Major Depressive Disorder, Pain, and Antidepressant Action, March 11, 2017, Yokohama, Japan
- 2017 Stahl SM. More Than Just Mood The Neurobiology of Major Depressive Disorder, Pain, and Antidepressant Action, March 12, 2017, Tokyo, Japan
- 2017 Stahl SM. Depression, Japan Web Lecture and Video, March 13, 2017, Tokyo, Japan
- 2017 Stahl SM. Symptoms, Circuits, Neurotransmitters and the Antipsychotic Armamentarium, Janssen International Webinar, Portugal, March 15, 2017, San Diego, CA
- 2017 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, March 16, 2017, Chicago, IL
- 2017 Stahl SM. An Effective Treatment Option for Adults with Schizophrenia and Acute Manic or Mixed Episodes Associated with Bipolar I Disorder, March 16, 2017, Rosemont, IL
- 2017 Stahl SM. Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, March 24, 2017, Santa Barbara, CA
- 2017 Stahl SM. Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, March 24, 2017, Santa Barbara, CA
- 2017 Stahl SM. Serotonin Receptor Pharmacology, ACADIA Speaker Training, April 1, 2017, Dallas, TX
- 2017 Stahl SM. All day course on psychopharmacology for psychiatric residents, Shepherd Pratt, April 6, 2017, Baltimore, MD
- 2017 Stahl SM. The Future of Psychopharmacology: Is Pharmacogenomics Relevant? Psychopharmacology, 2017: A Master Class, April 7-8, 2017, Harvard Psychopharmacology Course Boston, MA.
- 2017 Stahl SM. Serotonin Receptor Pharmacology, ACADIA Speaker Training, April 8, 2017, Denver, CO

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- 2017 Stahl SM. Parkinson's Disease Psychosis, New York, NY
- 2017 Stahl SM. Neuronal Networks in ADHD, Shire Scout Expert Meeting, April 15, 2017, Boston, MA
- 2017 Stahl SM. One Neurotransmitter to Rule Then All: The Serotonin Network, UCSD Grand Rounds, April 27, 2017, San Diego, CA
- 2017 Stahl SM. Addressing Aggression in Patients with Mental Illness, UCSD Case Conference, April 27, 2017, San Diego, CA
- 2017 Stahl SM. Depression Network, Takeda Speaker Training, April 29, 2017, Dallas, TX
- 2017 Stahl SM. Eating Disorders, NEI Synapse, May 5, 2017, Washington, DC
- 2017 Stahl SM. So You Think It's Depression? Making a Differential Diagnosis, NEI Synapse, May 6, 2017, Washington, DC
- 2017 Stahl SM. Treatment Strategies for Mixed Depression, NEI Synapse, May 6, 2017, Washington, DC
- 2017 Stahl SM. Dementia, NEI Synapse, May 7, 2017, Washington, DC
- 2017 Stahl SM. Using Neuroscience Based Nomenclature to Classify Drugs for Psychosis by Pharmacological Mechanisms, Presidential Session, APA, May 20, 2017, San Diego, CA
- 2017 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, APA, May 21, 2017, San Diego, CA
- 2017 Stahl SM. Treatment Advances in Parkinson's Disease Psychosis: Transforming the Standard of Care for Hallucinations and Delusions, APA, May 23, 2017, San Diego, CA
- 2017 Stahl SM. Treatment Lab: To Treat of Not to Treat, APA, May 23, 2017, San Diego, CA
- 2017 Stahl SM. Pharmacology Concepts Underlying the Use of Medications for Psychosis, Indian Psychiatric Association, May 27, 2017, New Delhi, India
- 2017 Stahl SM. How to Use Medications for Psychosis in Clinical Practice Including Combinations and Augmentation Strategies, Indian Psychiatric Association, May 28, 2017, New Delhi, India
- 2017 Stahl SM. ACADIA Video shoot, Parkinson's Psychosis, June 2, 2017, Carlsbad, CA

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- 2017 Stahl SM. Shell Shock Lecture, Brain Behavior and Emotion Congress, June 14, 2017, Porto Alegre, Brazil
- 2017 Stahl SM. Strategies of the Treatment of Refractory Depression, Brain Behavior and Emotion Congress, June 15, 2017, Porto Alegre, Brazil
- 2017 Stahl SM. Pressing Interfaces Between Neurology and Psychiatry, Brain Behavior and Emotion Congress, June 16, 2017, Porto Alegre, Brazil
- 2017 Stahl SM. Are All Serotonin Norepinephrine Reuptake Inhibitors (SNRIs) the Same? Brain Behavior and Emotion Congress, June 16, 2017, Porto Alegre, Brazil
- 2017 Treatment of Violence: Rational Targeting of Symptoms in Circuits Utilizing High Dosing and Polypharmacy for Treatment Resistance, Department of State Hospitals, June 18, 2017, Sacramento, CA
- 2017 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, June 26, 2017, Bern, Switzerland
- 2017 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, June 28, 2017, Zwolle, Netherlands
- 2017 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, June 30, 2017, Amsterdam, Netherlands
- 2017 Stahl SM. Deuteration for CNS Compounds, Concert Advisory Board, July 11, 2017, Boston, MA
- 2017 Stahl SM. Tardive Dyskinesia, Teva Ad Board, July 14-15, 2017, Houston, TX
- 2017 Stahl SM. Great debates on optimizing treatment for major depressive disorder, Expert Science Exchange, September 3, 2017, ECNP, Paris, France
- 2017 Stahl SM. The Depressed Patient's Perspective in Achieving Remission, Servier Satellite Symposium, September 3, 2017, ECNP, Paris, France
- 2017 Stahl SM. Managing Serotonergic Antidepressant Mechanisms to Attain Best Outcomes, September 15, 2017, Bern, Switzerland
- 2017 Stahl SM. One Neurotransmitter to Rule Them All: The Serotonin Network, September 15, 2017, Bern, Switzerland
- 2017 Stahl SM. Serotonin Network, Grand Rounds, University of Zurich, September 16, 2017, Zurich, Switzerland
- 2017 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, September 26, 2017, Helsinki, Finland

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- 2017 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, September 28, 2017, Oslo, Norway
- 2017 Stahl SM. Expert Seminar in Psychopharmacology: Schizophrenia, October 2, 2017, Copenhagen, Denmark
- 2017 Stahl SM. Future of Psychopharmacology: Is New Treatment Innovations Dead or Alive? October 3, 2017, Copenhagen, Denmark
- 2017 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, October 10, 2017, Boston, MA
- 2017 Stahl SM. Effective Message Delivery: Using Communication Science to Tell the Medical Education Story, Alkermes, October 11, 2017, Boston, MA
- 2017 Stahl SM. Parkinson's Disease Psychosis, October 12, 2017, Boston, MA
- 2017 Stahl SM. Tardive Dyskinesia and Pharmacology of VMAT 2 Inhibitors, Teva Speaker Training, October 13, 2017, Salt Lake City, Utah
- 2017 Stahl SM. ABILIFY MAINTENA As a Maintenance Monotherapy Treatment Option for Adults with Bipolar Disorder, October 25, 2017, Los Angeles, CA
- 2017 Stahl SM. ABILIFY MAINTENA As a Maintenance Monotherapy Treatment Option for Adults with Bipolar Disorder, October 26, 2017, Phoenix, AZ
- 2017 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, November 1, 2017, Atlanta, GA
- 2017 Stahl SM. Recognition of Inadequate Treatment Response in Major Depressive Disorder (MDD) and a Potential Option for Adjunctive Therapy, November 2, 2017, New York, NY
- 2017 Stahl SM. Role of 5H2A Receptors in the Pharmacology of Alzheimer's Disease Psychosis, Clinical Trials in Alzheimer's Disease Meeting, November 3, 2017, Boston, MA
- 2017 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, November 7, 2017, Dallas, TX
- 2017 Stahl SM. What to Do When Nothing Else Works, NEI Congress, November 9, 2017, Colorado Springs, CO
- 2017 Stahl SM. The Age of Personalized Medicine: The Role of Pharmacogenetics, NEI Congress, November 9, 2017, Colorado Springs, CO

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- 2017 Stahl SM. Differential Diagnosis of Depressive States, NEI Congress, November 10, 2017, November 10, 2017, Colorado Springs, CO
- 2017 Stahl SM. Successful Aging as the Brain Changes: This is Your Brain on Life, NEI Congress, November 10, 2017, Colorado Springs, CO
- 2017 Stahl SM. Recognition of Inadequate Treatment Response in Major Depressive Disorder (MDD) and a Potential Option for Adjunctive Therapy, November 15, 2017, Los Angeles, CA
- 2017 Stahl SM. Shell Shock, University of Pisa, Italy, November 21-November 27, 2017
- 2017 Stahl SM. The Future of Psychopharmacology: Is Treatment Innovation Dead or Alive? Italian Mental Health Research Foundation, Lucca, Italy, November 27, 2017
- 2017 Stahl SM. Recognition of Inadequate Treatment Response in Major Depressive Disorder (MDD) and a Potential Option for Adjunctive Therapy, November 28, 2017, Philadelphia, PA
- 2017 Stahl SM. ABILIFY MAINTENA As a Maintenance Monotherapy Treatment Option for Adults with Bipolar Disorder, November 29, 2017, New York, NY
- 2017 Stahl SM. Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, December 5, 2017, Palm Desert, CA
- 2017 Stahl SM. Efficacy of Cariprazine in Patients with Bipolar Depression and Mixed Features: Post Hoc Analysis of a Randomized, Double-blind, Placebo-Controlled Phase II Trial, American College of Neuropsychopharmacology Meeing, December 6, 2017, Palm Desert, CA
- 2018 Stahl SM. Basic Neuroscience of Neurotransmitter Neural Networks: Using the Serotonin Network as an Example of How One Neurotransmitter Can Rule Them All, January 27, 2018, Southern California Psychiatric Association branch of the American Psychiatric Association, Los Angeles, CA
- 2018 Stahl SM. Treating the Hallucinations and Delusions Associated with Parkinson's Disease Psychosis, February 8, 2018, San Diego, CA
- 2018 Stahl SM. Treatment Resistant Psychosis, Napa State Hospital, January 30, 2018, Napa, CA
- 2018 Stahl SM. Role of Serotonin in Psychosis, Virtual Advisory Board, February 2, 2018, San Diego, CA
- 2018 Stahl SM. Parkinson's Disease Psychosis, February 8, 2018, La Jolla, CA

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- 2018 Stahl SM. Advances in Managing the Side Effects of Antipsychotics, February 19, 2018, Brisbane, Australia
- 2018 Stahl SM. Advances in Managing the Side Effects of Antipsychotics, February 20, 2018, Sydney, Australia
- 2018 Stahl SM. Advances in Managing the Side Effects of Antipsychotics, World Psychiatric Association Meeting, February 22, 2018, Melbourne, Australia
- 2018 Stahl SM. Famine or Feast? The New Generation of Antipsychotic Treatments, World Psychiatric Association Meeting, February 26, 2018, Melbourne, Australia.
- 2018 Stahl SM. Does a "Whiff" Mean Treatment with an Atypical Antipsychotic and Not an Antidepressant? World Psychiatric Association Meeting, February 26, 2018, Melbourne, Australia
- 2018 Stahl SM. Switching Antipsychotics, World Psychiatric Association Meeting, World Psychiatric Association Meeting, February 26, 2018, Melbourne, Australia
- 2018 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, March 8, 2018, Los Angeles, CA
- 2018 Stahl SM. Neuropharmacology of Anxiety Disorders, European Webcast to Brazil, March 8, 2018
- 2018 Stahl SM. Neuropharmacology of Psychosis, March 9, 2018, Denver, CO
- 2018 Stahl SM. Beyond Serotonin: The Serotonin Network Shows How Each Transmitter Rules Them All, Turkish Psychiatry Summit, March 17, 2018, Antalya, Turkey
- 2018 Stahl SM. What Do You Do When Nothing Else Works? Turkish Psychiatry Summit, March 17, 2018, Antalya, Turkey
- 2018 Stahl SM. How to Switch Antipsychotics, Turkish Psychiatry Summit, March 17, 2018, Antalya, Turkey
- 2018 Stahl SM. Comparing the Pharmacology and Clinical Perspective of Antipsychotics: Which One Should You Choose? March 18, 2018, Ismir, Turkey
- 2018 Stahl SM. Comparing the Pharmacology and Clinical Perspective of Antipsychotics: Which One Should You Choose? March 19, 2018, Ankara, Turkey
- 2018 Stahl SM. Comparing the Pharmacology and Clinical Perspective of Antipsychotics: Which One Should You Choose? March 20, 2018, Istanbul, Turkey
- 2018 Stahl SM. Depression, Its Impact, and the Importance of Recognition and Treatment, March 28, 2018, Los Angeles, CA

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- 2018 Stahl SM. UCSD Grand Rounds, Adult ADHD, April 12, 2018, La Jolla, CA
- 2018 Stahl SM. Neuropharmacology of Dementia, April 13, 2018, Denver, CO
- 2018 2018 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, April 18, 2018, Tampa, FL
- 2018 Stahl SM. Neurobiology for the Practicing Clinician, Psychopharmacology, 2018: A Master Class, Harvard Psychopharmacology Course, April 20, 2018, Boston, MA
- 2018 Stahl SM. Newer "Trick of the Trade"; What to do When Nothing Works for Treatment Resistant Psychiatric Disorders, Psychopharmacology, 2018: A Master Class, Harvard Psychopharmacology Course, April 20, 2018, Boston, MA
- 2018 Stahl SM. Losing a Patient to Suicide: A Panel Discussion, NEI Synapse, April 22, 2018, Las Vegas, NV
- 2018 Stahl SM. OMG-enomics! When Psychopharmacology Gets Personal, NEI Synapse, April 22, 2018, Las Vegas, NV
- 2018 Stahl SM. Efficacy of Cariprazine in Patients With Bipolar Depression and Mixed Features: Post Hoc Analysis of a Randomized, Double-blind, Placebo-Controlled Phase II Trail, CPNP, April 22-25, 2018, Indianapolis, IN.
- 2018 Stahl SM. Mechanism of Action of Dextromethorphan Bupropion Combination, April 24, 2018, New York, NY
- 2018 Stahl SM. Rationale for Dextromethorphan Bupropion Combination in Alzheimer's Agitation and Treatment Resident Depression, April 28, 2018, Phoenix, AZ
- 2018 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, May 3, 2018, Nashville, TN
- 2018 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, American Psychiatric Association Annual Meeting, May 5, 2018, New York, NY
- 2018 Stahl SM. DAT's So Cool! Balancing Neuronal NET-works in ADHD, American Psychiatric Association Annual Meeting, May 6, 2018, New York, NY
- 2018 Stahl SM. A Personalized Approach to the Treatment of Depression and Anxiety Based on Symptom Profiles, May 8, 2018, New York, NY
- 2018 Stahl SM. Translating Experience from other Neuropsychiatric Disorders, May 12, 2018, Montreal, Canada
- 2018 Stahl SM. Mood Disorders: A Spectrum Analysis, UCSD Lecture, May 22, 2018, San Diego, CA

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- 2018 Stahl SM. An Update on the Cognitive Deficits of Depression, WebEx, May 23, 2018, San Diego, CA
- 2018 Stahl SM. An Update on the Cognitive Deficits of Depression, WebEx, May 31, 2018, San Diego, CA
- 2018 Stahl SM. Neuropharmacology of Tardive Dyskinesia, June 1, 2018, Dallas, TX
- 2018 Stahl SM. Neurobiology and Treatment of Resistance, DSH Mental Health Forum, June 7, 2018, Sacramento, CA
- 2018 Stahl SM. More Than Just Mood The Neurobiology of Major Depressive Disorder, Pain and Antidepressant Action, June 22, 2018, Porto Alegre, Brazil
- 2018 Stahl SM. What Do You Do for Treatment Resistance in Psychiatry: When Nothing Works and Nothing is Tolerated, June 22, 2018, Porto Alegre, Brazil
- 2018 Stahl SM. Pharmacology of Antipsychotics and Introducing Lurasidone, June 22, 2018, Porto Alegre, Brazil
- 2018 Stahl SM. The Curious Case of Dopamine and Its Receptors, June 23, 2018, Porto Alegre, Brazil
- 2018 Stahl SM. Optimizing Care for Patients with Schizophrenia, June 30, 2018, Bucharest, Romania
- 2018 Stahl SM. How to Improve the Chances of a Complete Recovery in Depression: Good Pharmacology and Bad Math, July 1, 2018, Madrid, Spain
- 2018 Stahl SM. Parkinson's Disease Psychosis, July 30, 2018, Solana Beach, CA
- 2018 Stahl SM. Treatment Resistant Depression, DSH Patton, August 21-23, 2018, Patton, CA
- 2018 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, September 5, 2018, Seattle, WA
- 2018 Stahl SM. An Adjunctive Treatment Option for Adult Patients With Major Depressive Disorder (MDD) With an Inadequate Response to Antidepressants, September 6, 2018, Chicago, IL
- 2018 Stahl SM. The Path to Recovery in Major Depressive Disorder; Role of Efficacy Tolerability and How to Switch and Combine Antidepressant Mechanisms, September 17, 2018, Capetown, South Africa
- 2018 Stahl SM. Combining Mechanisms of Action to Target Cognitive Impairment in Major Depressive Disorder, September 22, 2018, Pretoria, South Africa

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- 2018 Stahl SM. Beyond Serotonin: The Serotonin network Shows How Each Neurotransmitter Rules Them All, September 22, 2018, Pretoria, South Africa
- 2018 Stahl SM. Mood Disorders: A Spectrum Analysis, September 22, 2018, Pretoria, South Africa
- 2018 Stahl SM. New Drugs and New Concepts in the Second Edition of Neuroscience Based Nomenclature, European College of Neuropsychopharmacology, October 7, 2018, Barcelona, Spain
- 2018 Stahl SM. Pathways to Recovery: Matching Symptoms with Brain Circuits for Personalized Treatment of Major Depressive Disorder, European College of Neuropsychopharmacology, October 7, 2018, Barcelona, Spain
- 2018 Stahl SM. Dopamine Antagonists or Partial Antagonists: Which to Favor and the Role of Dopamine Receptor Subtypes, European College of Neuropsychopharmacology, Barcelona, Spain, October 7, 2018
- 2018 Stahl SM. Comparing the Pharmacology and Pharmacokinetics of Antipsychotics: Choosing and Antipsychotic Dosing in Long Acting Injectables, Laza Lazarevic National Congress of Hospital Psychiatry, October 11, 2018, Belgrade, Serbia
- 2018 Stahl SM. An Adjunctive Treatment Option for Adult Patients With Major Depressive Disorder (MDD) With an Inadequate Response to Antidepressants, October 22, 2018, Houston, TX
- 2018 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, October 23, 2018, New Orleans, LA
- 2018 Stahl SM. Emerging Mechanisms and Treatments of Depression, American Psychiatric Nurses Association Annual Meeting, October 24, 2018, Columbus, OH
- 2018 Stahl SM. The Future of Psychopharmacology, Atascadero State Hospital, October 30, 2018, Atascadero, CA
- 2018 Stahl SM. Spotlight on Serotonin: Serotonin Dysfunction in Parkinson's Disease and Psychosis, November 5, 2018, Seattle, WA
- 2018 Stahl SM. Anxiety Disorders in Children, NEI Child and Adolescent Academy, Congress, November 7, 2018, Orlando, FL
- 2018 Stahl SM. Psychosis in Children, NEI Child and Adolescent Academy, NEI Congress, November 7, 2018, Orlando, FL
- 2018 Stahl SM. Alcohol Use Disorder: Treatment in the Context of Mental Illness, NEI Congress, November 8, 2018, Orlando, FL

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- 2018 Stahl SM. Modifiable Rick Factors and Early Detection: The Sight, Smell, Sound, Taste, and Touch of Dementia, NEI Congress, November 8, 2018, Orlando, FL
- 2018 Stahl SM. Avoiding Nursing Home Placement: Treatment of Secondary Behavioral Symptoms of Dementia, NEI Congress, November 8, 2018, Orlando, FL
- 2018 Stahl SM. Keeping up With the Clinical Advances: Depression, NEI Congress, November 8, 2018, Orlando, FL
- 2018 Stahl SM. From Unipolar to Bipolar to Mixed: Update on Mood Disorders and Their Treatments, November 19, 2018, Lucca, Italy
- 2018 Stahl SM. The Future of Psychiatry: How Precision Medicine Will Personalize the Selection of a Treatment for Each Individual, November 21, 2018, address at the Italian Parliament, Rome, Italy
- 2018 Stahl SM. One Neurotransmitter to Rule Them All: The Serotonin Network, November 22, 2018, Vatican University, Rome, Italy
- 2018 Stahl SM. From Unipolar to Bipolar to Mixed: Update on Mood Disorders and Their Treatments, November 23, 2018, Sicily, Italy
- 2018 Stahl SM. Lurasidone for the Treatment of Major Depressive Disorder with Mixed Features: Results of a 12-Week Open Label Extension Study, Society of Mental Health Research Annual Conference, November 28-30, 2018, Queensland, Australia
- 2018 Stahl SM. Cariprazine Efficacy in Bipolar I Depression with and Without Concurrent Manic Symptoms: Post Hoc Analysis of 3 Randomized, Placebo-Controlled Studies, ACNP, December 2, 2018, Hollywood, FL
- 2019 Stahl SM. The Curious Mystery of Dopamine and Its Receptors: Learning about D3 and D1 receptors as well as D2 receptors and their clinical relevance, Napa State Hospital, January 16, 2019, Napa, CA
- 2019 Stahl SM. Primavanserin Mechanism of Action and Mechanism of Disease, ACADIA Advisory Board, January 21, 2019, San Diego, CA
- 2019 Stahl SM. What to Do When Nothing Works, UCSD Grand Rounds, January 24, 2019, San Diego, CA
- 2019 Stahl SM. The Curious Mystery of Dopamine and Its Receptors: Learning about D3 and D1 receptors as well as D2 receptors and their clinical relevance, Coalinga State Hospital, January 29, 2019, Coalinga, CA

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- 2019 Stahl SM. The Curious Mystery of Dopamine and Its Receptors: Learning about D3 and D1 receptors as well as D2 receptors and their clinical relevance, Atascadero State Hospital, January 30, 2019, Atascadero, CA
- 2019 Stahl SM. Achieving Recovery in Opioid Use Disorder: A Guide to Prescribing Medication Assisted Treatment, Grand Rounds, California Department of State Hospital, February 6, 2019, Sacramento, CA
- 2019 Stahl SM. PTSD: Pathophysiology and Psychopharmacologic Targets, Otsuka / Lundbeck Advisory Board, February 8, 2019, New York, NY
- 2019 Stahl SM. Pathophysiology of Tardive Dyskinesia, Teva Speaker Training, February 12, Las Vegas, NV
- 2019 Stahl SM. Dopamine, serotonin and glutamate hypotheses for psychosis in Alzheimer's, Parkinson's and Schizophrenia, Patton State Hospital, February 19, 2019, Patton, CA
- 2019 Stahl SM. Dopamine, serotonin and glutamate hypotheses for psychosis in Alzheimer's, Parkinson's and Schizophrenia, Metro State Hospital, February 21, 2019, Los Angeles, CA
- 2019 Stahl SM. Antipsychotics and Their Usage Schizophrenia, Major Depressive Disorder, and Bipolar Disorder, Neuroscience Education Institute Synapse, February 12, 2019, Las Vegas, NV
- 2019 Stahl SM. EPS and TD from the Psychiatrist's Perspective, Neuroscience Education Institute Synapse, February 12, 2019, Las Vegas, NV
- 2019 Stahl SM. Tardive Dyskinesia in Different Settings of Care, Neuroscience Education Institute Synapse, February 12, 2019, Las Vegas, NV
- 2019 Stahl SM. Dopamine, serotonin and glutamate hypotheses for psychosis in Alzheimer's, Parkinson's and Schizophrenia, Patton State Hospital, February 19, 2019, San Bernardino, CA
- 2019 Stahl SM. Dopamine, serotonin and glutamate hypotheses for psychosis in Alzheimer's, Parkinson's and Schizophrenia, Metropolitan State Hospital, February 20, 2019, Norwalk, CA
- 2019 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, February 28, 2019, Chandler, AZ
- 2019 Stahl SM. Keeping Up with the Clinical Advances I: Treatment of Depression, March 16, 2019, Antalya, Turkey

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- 2019 Stahl SM. Keeping Up with the Clinical Advances II: Treatment of Schizophrenia, March 16, 2019, Antalya, Turkey
- 2019 Stahl SM. Case Challenges for Chronic Mental Disorders, March 16, 2019, Antalya, Turkey
- 2019 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, March 26, 2019, Louisville, KY
- 2019 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, March 27, 2019, Lansing, MI
- 2019 Stahl SM. Psychopharmacological Treatment of the Difficult to Treat Patients with OCD and Borderline Personality Disorder, Psychopharmacology, 2019: A Master Class, Harvard Psychopharmacology Course, March 29, 2019, Boston, MA
- 2019 Stahl SM. Newest "Tricks of the Trade": What To Do When Nothing Works For the Treatment of Resistant Psychiatric Disorders, Psychopharmacology, 2019: A Master Class, Harvard Psychopharmacology Course, March 29, 2019, Boston, MA
- 2019 Stahl SM. Where Serotonin Ends: Novel Mechanisms of Antidepressants, NEI Synapse, April 26, 2019, Asheville, NC
- 2019 Stahl SM. Does DSM5 Adequately Capture Depression with Mixed Features, NEI Synapse, April 26, 2019, Asheville, NC
- 2019 Stahl SM. Tardive Dyskinesia, Teva Webcast, May 13 15, 2019
- 2019 Stahl SM. When Why and How to Integrate Pharmocogenetics Testing into Clinical Practice, American Psychiatric Association Annual Meeting, Genomind, May 17, 2019, San Francisco, CA
- 2019 Stahl SM. Exploring the Role of Norepinephrine in Modulating Dopamine and Seratonin active in Symptoms of Major Depresive Disorder, Schizophrenia and Bipolar Disorder, American Psychiatric Association Annual Meeting, May 19, 2019, San Francisco, CA
- 2019 Stahl SM. What Does Precision Medicine Promise for Psychiatric Treatment? American Psychiatric Association Annual Meeting, May 20, 2019, San Francisco, CA
- 2019 Stahl SM. Psychopharmacology and Ethnicity, American Psychiatric Association Annual Meeting, May 20, 2019, San Francisco, CA
- 2019 Stahl SM. Cariprazine Efficacy in Patients With Bipolar Depression and Concurrent Manic Symptoms, American Psychiatric Association Annual Meeting, May 21, 2019, San Francisco, CA

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- 2019 Stahl SM. Parkinson's Disease Psychosis, May 21, 2019, San Francisco, CA
- 2019 Stahl SM: Dopamine, Serotonin and Glutamate Hypotheses for psychosis in Alzheimer's, Parkinson's and Schizophrenia, Congress on Brain Behavior and Emotions, June 7, 2019, Brasilia, Brazil
- 2019 Stahl SM: Long-acting Injectable Antipsychotics: Shall the Last Be First, Congress on Brain Behavior and Emotions, June 7, 2019, Brasilia, Brazil
- 2019 Stahl SM: New Evidence of desvenlafaxine, Congress on Brain Behavior and Emotions, June 8, 2019, Brasilia, Brazil
- 2019 Stahl SM. Psychopharmacology of Diversion from the Criminal Justice System, DSH Forum, June 11, 2019, San Francisco, CA
- 2019 Stahl SM. The Curious Mystery of Dopamine and Its Receptors, Allergan Faculty Training, June 15, 2019, Miami, FL
- 2019 Stahl SM. Cariprazine in Bipolar Depression, Allergan VRAYLAR Launch Sales Meeting, June 18, 2019, Miami, FL
- 2019 Stahl SM. Cariprazine in Bipolar Depression, Allergan Speaker Training, June 22, 2019, Miami, FL
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, June 24, 2019, Bethesda, MD
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, June 25, 2019, Nashville, TN
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, July 8, 2019, New Orleans, LA
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, July 9, 2019, Las Vegas, NV
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, July 11, 2019, San Francisco, CA
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, July 15, 2019, Boston, MA
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, July 16, 2019, St. Louis, MO

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- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, July 18, 2019, Columbus, OH
- 2019 Stahl SM. Engage in a Case Through Problem-Based Learning Full-time Student Struggles Day-to-Day, July 23, 2019, Houston, TX
- 2019 Stahl SM. Engage in a Case Through Problem-Based Learning Full-time Student Struggles Day-to-Day, July 24, 2019, Tampa, FL
- 2019 Stahl SM. Engage in a Case Through Problem-Based Learning Full-time Student Struggles Day-to-Day, July 25, 2019, Boston, MA
- 2019 Stahl SM. NbN Neuroscience Based Nomenclature and Medications for Psychosis, ECNP, September 8, 2019, Copenhagen, Denmark
- 2019 Stahl SM. Meet the Expert, Managing MDD Better, ECNP, September 9, 2019, Copenhagen, Denmark
- 2019 Stahl SM. Targeting Mental Health Disorders to Reduce the Burden of Non-Communicable Diseases, ECNP, September 9, 2019, Copenhagen, Denmark
- 2019 Stahl SM: Transition in Schizophrenia: Symptoms, Treatment and Diagnotis Challenges from Adolescene to Adulthood, ECNP, September 9, 2019, Copenhagen, Denmark
- 2019 Stahl SM. Norepinephrine in Major Depression and Schizophrenia, October 1, Minneapolis, MN
- 2019 Stahl SM. Norepinephrine in Major Depression and Schizophrenia, October 2, Columbus, OH
- 2019 Stahl SM. Understanding Depression Treatment: From Mechanism to Clinical Practice, Asian College of Neuro-Psychopharmacology, October, 11, 2019, Fukuoka, Japan
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, October 17, 2019, Chicago, IL
- 2019 Stahl SM. Bipolar Spectrum, Diagnosis and Treatment, From Mania to Depression and Mixed Features in Between: Don't Use Antidepressants to Treat Depression? University of California, San Diego, October 24, 2019, San Diego, CA
- 2019 Stahl SM. Two Cases: Treatment Resistant OCD and Treatment Resistant Borderline Personality Disorder: What To Do When Nothing Works, University of California, San Diego, October 24, 2019, San Diego, CA

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- 2019 Stahl SM. Three Theories of Psychosis in the Modern Era: Not Just Dopamine, But Serotonin and Glutamate, University of California, San Diego, October 24, 2019, San Diego, CA
- 2019 Stahl SM. Psychiatric Pharmacogenomics: Cutting Edge, Ahead of its Time or 21st Century Psychiatric Snake Oil? University of California, San Diego, October 24, 2019, San Diego, CA
- 2019 Stahl SM. The Neurobiology, Diagnosis, and Management of Bipolar I Disorder, November 5, 2019, Denver, CO
- 2019 Stahl SM. Make No Mix-Take: Depression and Mixed Features in Children and Adolescents, Neuroscience Education Institute Annual Meeting, November 6, 2019, Colorado Springs, CO
- 2019 Stahl SM. Goodnight Brain: The Science of Healthy Sleep, Neuroscience Education Institute Annual Meeting, November 7, 2019, Colorado Springs, CO
- 2019 Stahl SM. More Than Meets the Eye: Diagnosing and Treating Bipolar Depression, Neuroscience Education Institute Annual Meeting, November 7, 2019, Colorado Springs, CO
- 2019 Stahl SM. A Premier on Complementary and Alternative Medicine for Peripartum Depression, Neuroscience Education Institute Annual Meeting, November 8, 2019, Colorado Springs, CO
- 2019 Stahl SM. Neuropharmacology of Mental Energy, November 20, 2019, Phoenix, AZ
- 2019 Stahl SM. Brazil Webinar: Differentiating Second Generation Antipsychotics from First Generation Antipsychotics, November 25, 2019
- 2019 Stahl SM. Evil, Terrorism & Psychiatry: The T4 Project, The European University of Rome and the Vatican University, December 9, 2019

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- 1. 1971 Stahl SM, Zeller EA and Boshes B. On the effect of modulation of cerebral amine metabolism on the learning and memory of goldfish (Carassius auratus). <u>Transactions of the American Neurological</u> <u>Association</u> 96:310-312, 1971.
- 1971 Stahl SM, Narotzky R, Boshes B and Zeller EA. Einfluß des zerebralen Amin-Stoffwechsels auf das Gedächtnis des Goldfisches. (Influences of Cerebral amine metabolism on the memory of goldfish). <u>Die</u> <u>Naturwissenschaften 58</u>:628-629, 1971.
- 1973 Boshes B, Zeller EA, Arbit J, Blonsky ER, Dolkart M and Stahl SM. Influence of L-DOPA on selected aspects of learning and performance. In: <u>Psycho-Physiological Approach of Behavior</u>. Department of Neurology and Biochemistry, Northwestern University-McGrawn Medical Center, Chicago, IL
- 4. 1973 Narotzky R, Griffit D, Stahl SM, Bondareff W and Zeller EA. Effect of Long-Term L-DOPA Administraton of Brain Biogenic Amines and Behavior in the Rat. Experimental Neurology, Volume 38, No. 2, 218-230.
- 5. 1974 Meltzer HY and Stahl SM. Platelet monoamine oxidase activity and substrate preferences in schizophrenic patients. <u>Research</u> <u>Communications in Chemical Pathology and Pharmacology 7</u>:419-431, 1974.
- 6. 1974 Spehlmann R and Stahl SM. Neuronal hyposensitivity to dopamine in the caudate nucleus depleted of biogenic amines by tegmental lesions. <u>Experimental Neurology 42(3):703-706, 1974</u>.
- 1974 Stahl SM, Narotzky RA, Boshes B and Zeller EA. The effects of of cerebral amine metabolism on operationally defined learning and memory processes of goldfish. <u>Biological Psychiatry</u> 9(3):295-323, 1974. (A.E. Bennett Basic Research Award paper).
- 1975 Stahl SM, Daniels AC, Derda D and Spehlmann R. Injection of 6-hydroxydopamine and hydrogen peroxide into the substantia nigra and lateral ventricle of the cat: specific and non-specific effects on striatal biogenic amines. <u>Journal of Neurochemistry 24</u>:165-172, 1975.
- 9. 1975 Schmidt RT, Stahl SM and Spehlmann R. A pharmacologic study of the stiff-man syndrome: correlation of clinical symptoms with urinary 3-methoxy-4-hydroxy-phenyl glycol excretion. <u>Neurology 25</u>(7):622-626, 1975.
- 10. 1975 Drachman DA and Stahl SM. Extrapyramidal dementia and levodopa. Lancet I: 809, 1975.
- 11. 1976 Meltzer HY and Stahl SM. The dopamine hypothesis of schizophrenia: a review. <u>Schizophrenia Bulletin 2(1):19-76, 1976.</u>

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- 12. 1976 Spehlmann R and Stahl SM. Dopamine-acetylcholine imbalance in Parkinson's disease: possible regenerative overgrowth of cholinergic axon terminals. Lancet I:724-726, 1976.
- 13. 1976 Stahl SM. The human platelet as a model for the central nervous system amine-containing neuron. Ph.D. dissertation, Department of Pharmacological and Physiological Sciences Neuropharmacology, University of Chicago, 1976.
- 14. 1977 Stahl SM and Meltzer HY. Serotonin accumulation by skeletal muscle. Experimental Neurology 54:42-53, 1977.
- 15. 1977 Stahl SM. The human platelet: a diagnostic and research tool for the study of biogenic amines in psychiatric and neurologic disorders. <u>Archives of General</u> <u>Psychiatry 34</u>:509-516, 1977.
- 16. 1977 Hanley HG, Stahl SM and Freedman DX. Hyperserotonemia and amine metabolites in autistic and retarded children. <u>Archives of General Psychiatry</u> 34:521-531, 1977.
- 1978 Stahl SM and Meltzer HY. A kinetic and pharmacologic analysis of 5-hydroxytryptamine transport by human platelets and platelet storage granules: comparison with central serotonergic neurons. <u>Journal of Pharmacology and Experimental Therapeutics</u> 205(1):118-132, 1978.
- 1978 Stahl SM and Meltzer HY. The human platelet as a model for the dopaminergic neuron: kinetic and pharmacologic properties and the role of amine storage granules. <u>Experimental Neurology</u> 59:1-15, 1978.
- 19. 1979 Stahl SM, Ellinger G and Baringer JR. Progressive myelopathy due extramedullary hematopoiesis: case report and review of the literature. <u>Annals of Neurology (5)</u>:485-489, 1979.
- 20. 1980 Stahl SM, Johnson KP and Malamud N. The clinical and pathological spectrum of brain-stem vascular malformations: long-term course simulates multiple sclerosis. <u>Archives of Neurology</u> 37:25-29, 1980.
- 21. 1980 Stahl SM, Layzer RB, Aminoff MJ, Townsend JJ and Feldon SF. Continuous cataplexy in a patient with a midbrain tumor: the limp-man syndrome. <u>Neurology</u> 30:1115-1118, 1980.
- 22. 1980 Stahl SM and Berger PA. Physostigmine in Gilles de la Tourette's syndrome. <u>New England Journal of Medicine</u> 302:298, 1980.
- 23. 1980 Stahl SM and Berger PA. Cholinergic treatment in the Tourette syndrome. <u>New England Journal of Medicine</u> 302(23):1310-1311,1980.

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- 24. 1980 Stahl SM. Tardive Tourette syndrome in an autistic patient after long-term neuroleptic administration. <u>American Journal of Psychiatry</u> 137(10):1267-1269, 1980.
- 25. 1980 Davis KL, Hollister LE, Stahl SM and Berger PA. Choline chloride in Huntington's disease. <u>Transactions of the American Neurological</u> <u>Association</u> 105:464-467, 1980.
- 1981 Stahl SM and Berger PA. Physostigmine in Tourette syndrome: evidence for cholinergic underactivity. <u>American Journal of Psychiatry</u> 138 (2): 240-242, 1981.
- 1981 Zelazowski R, Golden CJ, Graber B, Blose IL, Bloch S, Moses JA, Zatz LM, Stahl SM, Osmon DC and Pfefferbaum A. Relationship of cerebral ventricular size to alcoholics' performance on the Luria- Nebraska Neuropsychological Battery. <u>Journal of Studies on Alcohol</u> 42(9):749-756, 1981.
- 28. 1981 Stahl SM and Berger PA. Bromocriptine in dystonia. Lancet II:745, 1981.
- 29. 1982 Stahl SM, Levin B and Freedman DX. Serotonin depletion by Fenfluramine in the carcinoid syndrome. <u>New England Journal of Medicine</u> 306(7):429, 1982.
- 1982 Stahl SM and Berger PA. Cholinergic and dopaminergic mechanisms in Tourette syndrome. In: Chase TN and Friedhoff AJ (Eds), <u>Gilles de la Tourette</u> <u>Syndrome</u>. Advances in Neurology, Volume 35, Chapter 18, Raven Press, New York, pp. 141-150, 1982.
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- 1982 Stahl SM, Ciaranello RD and Berger PA. Platelet serotonin in schizophrenia and depression. In: Ho BT, Schoolar JC and Usdin E Eds), <u>Serotonin in Biological Psychiatry</u>, Advances in Biochemical Psychopharmacology, Volume 34, Raven Press, New York, 1982, pp.183-198.
- 1982 Stahl SM and Berger PA. Neuroleptic effects in Tourette syndrome predict dopamine excess and acetylcholine deficiency. <u>BiologicalPsychiatry</u> 17(9):1047-1053, 1982.
- 34. 1982 Stahl SM, Davis KL and Berger PA. The neuropharmacology of tardive dyskinesia, spontaneous dyskinesia and other dystonias. <u>Journal of</u> <u>Clinical Psychopharmacology</u> 2(5):321-328, 1982.

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- 36. 1983 Stahl SM, Woo DJ, Mefford IN, Berger PA and Ciaranello RD. Hperserotonemia and platelet serotonin uptake and release in schizophrenia and affective disorders. <u>American Journal of Psychiatry</u> 140:26-30, 1983.
- 37. 1983 Stahl SM and Kasser IS. Pentazocine overdose. <u>Annals of Emergency</u> <u>Medicine</u> 12(1):28-31, 1983.
- 38. 1983 Stahl SM, Lemoine PM, Ciaranello RD and Berger PA. Platelet alpha-2 adrenergic receptor sensitivity in major depressive disorder. <u>Psychiatry Research</u> 10:157-164, 1983.
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- 40. 1984 Stahl SM, Berger PA, Newman RP and Lewitt P. Bromocriptine and lisuride in dystonias (correspondence). <u>Neurology</u> 34:135-136, 1984.
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- 42. 1984 Stahl SM and Tinklenberg JR. Dietary enhancement of CNS neurotransmitters (commentary). <u>Integrative Psychiatry</u> 2:157-159,1984.
- 43. 1984 Uhr S, Stahl SM and Berger PA. Unmasking schizophrenia. VA Practitioner 1:42-47, 1984.
- 44. 1984 Uhr S, Berger PA, Pruitt B and Stahl SM. Treatment of Tourette's syndrome with RO22-1319, a D-2 receptor antagonist. <u>New England Journal of Medicine</u> 311(15):989, 1984.
- 45. 1984 Stahl SM. Regulation of neurotransmitter receptors by desipramine and other antidepressant drugs: the neurotransmitter receptor hypothesis of antidepressant action. Journal of Clinical Psychiatry 45(10)(sec.2):37-44, 1984.
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- 49. 1985 Stahl SM. Can CSF measures distinguish among schizophrenia, depression, movement disorders, and dementia? <u>Psychopharmacology</u> <u>Bulletin</u> 21(3):396-399, 1985.
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